

**ITEM #54**

**ADDITIONAL MATERIAL**

**Regular Meeting  
SEPTEMBER 25, 2018**

**SUBMITTED AT THE REQUEST OF**

**FINANCE AND ADMINISTRATIVE  
SERVICES DEPARTMENT**



Finance and Administrative Services Department


**PURCHASING DIVISION**


115 S. Andrews Avenue, Room 212 • Fort Lauderdale, Florida 33301 • 954-357-6066 • FAX 954-357-8535

MEMORANDUM

DATE: September 21, 2018

TO: Board of County Commissioners

THRU: Kevin B. Kelleher, Deputy CFO/Deputy Director  
Finance and Administrative Services Department  Digitally signed by KEVIN KELLEHER  
Date: 2018.09.24 09:39:10 -04'00'

FROM: Brenda J. Billingsley, Director  
Purchasing Division **BRENDA BILLINGSLEY**  Digitally signed by BRENDA BILLINGSLEY  
DN: dc=city, dc=broward, dc=bc, ou=Organization, ou=BCC, ou=PU, ou=Users, cn=BRENDA BILLINGSLEY  
Date: 2018.09.21 16:15:04 -04'00'

SUBJECT: September 25, 2018 - Commission Meeting - Agenda Item No. 54  
Motion to Approve Library of Bond Underwriters, Request for Qualifications (RFQ) No. GEN2116442P1 for the Broward County Finance and Administrative Services Department

The Request for Qualifications (RFQ) No. GEN2116442P1, Library of Bond Underwriters for the Broward County Finance and Administrative Services Department requires the following modifications as noted below.

Add Exhibit 3 - Short List Summary Sheet and Individual Short List Ballots from the Evaluation Committee Members to this agenda item.

Motion currently reads as follows:

MOTION TO APPROVE Library of Bond Underwriters, Request for Proposals (RFP) No. GEN2116442P1,.....approval by the Board for a five-year period.

Motion should read as follows:

MOTION TO APPROVE Library of Bond Underwriters, Request for Qualifications (RFQ) No. GEN2116442P1,.....approval by the Board for a five-year period.

Attachment

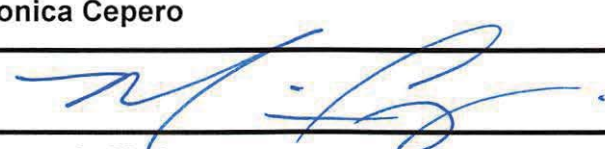
BJB/cm/mc/lg

- c: Bertha Henry, County Administrator
- Monica Cepero, Deputy County Administrator
- George Tablack, CPA, Chief Financial Officer
- Robert Melton, County Auditor
- Andrew Meyers, County Attorney

**SHORT LIST SUMMARY SHEET  
SHORT LIST MEETING**  
RFQ Number: GEN2116442P1, Library of Bond Underwriters  
August 08, 2018  
115 S. Andrews Ave., Fort Lauderdale, FL 33301 Room GC 422

Vendors Listed Alphabetically	Monica Cepero	Norman Foster	George Tablack	Douglas Wolfe	Ronald Thomas	TOTAL	Shortlisted Firms
280 Securities LLC						0	
Merrill Lynch, Pierce, Fenner & Smith Incorporated d.b.a Bank of America Merrill Lynch		1	1	1		3	X
Barclays Capital Inc., dba Barclays		1			1	2	
Blaylock Van, LLC			1			1	
Citigroup Global Markets Inc.	1	1	1	1	1	5	X
Drexel Hamilton, LLC	1	1				2	
Estrada Hinojosa & Company, Inc.	1			1	1	3	X
Hilltop Securities Inc.					1	1	
First Tennessee Bank National Association d.b.a FTN Financial Capital Markets						0	
Goldman Sachs & Co. LLC	1	1	1			3	X
Jefferies LLC			1	1	1	3	X
J.P. Morgan Securities LLC	1	1	1	1		4	X
Loop Capital Markets LLC		1				1	
Morgan Stanley & Co. LLC	1	1	1	1	1	5	X
PNC Capital Markets LLC ("PNCCM")			1			1	
Samuel A. Ramirez & Co., Inc., d.b.a Ramirez & Co., Inc.	1			1		2	
Raymond James & Associates d.b.a Raymond James	1	1		1	1	4	X
RBC Capital Markets, LLC	1	1	1	1	1	5	X
Siebert Cisneros Shank & Co. L.L.C.	1		1	1	1	4	X
Tribal Capital Markets, LLC						0	
UBS Financial Services Inc.						0	
Wells Fargo Bank, N.A. Municipal Products Group						0	

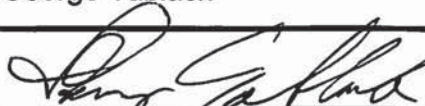
**SHORT LIST BALLOT**  
**RFQ Number: GEN2116442P1, Library of Bond Underwriters**  
**August 8, 2018**  
**115 S. Andrews Ave., Fort Lauderdale, FL 33301**

Firm Name	Check to Include Firm in Short List
280 Securities LLC	
Merrill Lynch, Pierce, Fenner & Smith Incorporated d.b.a Bank of America Merrill Lynch	
Barclays Capital Inc., dba Barclays	
Blaylock Van, LLC	
Citigroup Global Markets Inc.	✓
Drexel Hamilton, LLC	✓
Estrada Hinojosa & Company, Inc.	✓
Hilltop Securities Inc.	
First Tennessee Bank National Association d.b.a FTN Financial Capital Markets	
Goldman Sachs & Co. LLC	✓
Jefferies LLC	
J.P. Morgan Securities LLC	✓
Loop Capital Markets LLC	
Morgan Stanley & Co. LLC	✓
PNC Capital Markets LLC ("PNCCM")	
Samuel A. Ramirez & Co., Inc., d.b.a Ramirez & Co., Inc.	✓
Raymond James & Associates d.b.a Raymond James	✓
RBC Capital Markets, LLC	✓
Siebert Cisneros Shank & Co. L.L.C.	✓
Tribal Capital Markets, LLC	
UBS Financial Services Inc.	
Wells Fargo Bank, N.A. Municipal Products Group	
<b>EC Member Name: Monica Cepero</b>	
<b>EC Member Signature:</b> 	
<b>Date:</b> August 8, 2018	

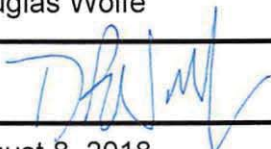
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**August 8, 2018**  
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280 Securities LLC	
Merrill Lynch, Pierce, Fenner & Smith Incorporated d.b.a Bank of America Merrill Lynch	✓
Barclays Capital Inc., dba Barclays	✓
Blaylock Van, LLC	
Citigroup Global Markets Inc.	✓
Drexel Hamilton, LLC	✓
Estrada Hinojosa & Company, Inc.	
Hilltop Securities Inc.	
First Tennessee Bank National Association d.b.a FTN Financial Capital Markets	
Goldman Sachs & Co. LLC	✓
Jefferies LLC	
J.P. Morgan Securities LLC	✓
Loop Capital Markets LLC	✓
Morgan Stanley & Co. LLC	✓
PNC Capital Markets LLC ("PNCCM")	
Samuel A. Ramirez & Co., Inc., d.b.a Ramirez & Co., Inc.	
Raymond James & Associates d.b.a Raymond James	✓
RBC Capital Markets, LLC	✓
Siebert Cisneros Shank & Co. L.L.C.	
Tribal Capital Markets, LLC	
UBS Financial Services Inc.	
Wells Fargo Bank, N.A. Municipal Products Group	
<b>EC Member Name:</b> Norman Foster	
<b>EC Member Signature:</b> <i>Norman Foster</i>	
<b>Date:</b> August 8, 2018	


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Merrill Lynch, Pierce, Fenner & Smith Incorporated d.b.a Bank of America Merrill Lynch	X
Barclays Capital Inc., dba Barclays	
Blaylock Van, LLC	X
Citigroup Global Markets Inc.	X
Drexel Hamilton, LLC	
Estrada Hinojosa & Company, Inc.	
Hilltop Securities Inc.	
First Tennessee Bank National Association d.b.a FTN Financial Capital Markets	
Goldman Sachs & Co. LLC	X
Jefferies LLC	X
J.P. Morgan Securities LLC	X
Loop Capital Markets LLC	
Morgan Stanley & Co. LLC	X
PNC Capital Markets LLC ("PNCCM")	X
Samuel A. Ramirez & Co., Inc., d.b.a Ramirez & Co., Inc.	
Raymond James & Associates d.b.a Raymond James	
RBC Capital Markets, LLC	X
Siebert Cisneros Shank & Co. L.L.C.	X
Tribal Capital Markets, LLC	
UBS Financial Services Inc.	
Wells Fargo Bank, N.A. Municipal Products Group	
<b>EC Member Name: George Tablack</b>	
<b>EC Member Signature:</b> 	
<b>Date: August 8, 2018</b>	

**SHORT LIST BALLOT**  
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Citigroup Global Markets Inc.	✓
Drexel Hamilton, LLC	
Estrada Hinojosa & Company, Inc.	✓
Hilltop Securities Inc.	
First Tennessee Bank National Association d.b.a FTN Financial Capital Markets	
Goldman Sachs & Co. LLC	
Jefferies LLC	✓
J.P. Morgan Securities LLC	✓
Loop Capital Markets LLC	
Morgan Stanley & Co. LLC	✓
PNC Capital Markets LLC ("PNCCM")	
Samuel A. Ramirez & Co., Inc., d.b.a Ramirez & Co., Inc.	✓
Raymond James & Associates d.b.a Raymond James	✓
RBC Capital Markets, LLC	✓
Siebert Cisneros Shank & Co. L.L.C.	✓
Tribal Capital Markets, LLC	
UBS Financial Services Inc.	
Wells Fargo Bank, N.A. Municipal Products Group	
<b>EC Member Name:</b> Douglas Wolfe	
<b>EC Member Signature:</b> 	
<b>Date:</b> August 8, 2018	

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Drexel Hamilton, LLC	
Estrada Hinojosa & Company, Inc.	✓
Hilltop Securities Inc.	✓
First Tennessee Bank National Association d.b.a FTN Financial Capital Markets	
Goldman Sachs & Co. LLC	
Jefferies LLC	✓
J.P. Morgan Securities LLC	
Loop Capital Markets LLC	✓
Morgan Stanley & Co. LLC	✓
PNC Capital Markets LLC ("PNCCM")	
Samuel A. Ramirez & Co., Inc., d.b.a Ramirez & Co., Inc.	✓
Raymond James & Associates d.b.a Raymond James	✓
RBC Capital Markets, LLC	✓
Siebert Cisneros Shank & Co. L.L.C.	✓
Tribal Capital Markets, LLC	
UBS Financial Services Inc.	
Wells Fargo Bank, N.A. Municipal Products Group	
<b>EC Member Name: Ronald Thomas</b>	
<b>EC Member Signature:</b>	
<b>Date: August 8, 2018</b>	