

**PORT EVERGLADES FRANCHISE APPLICATION  
MARINE TERMINAL SECURITY SERVICES**

**APPLICANT IS APPLYING FOR A FRANCHISE TO PROVIDE  
SECURITY SERVICES EMPLOYING:**

- FIREARMS CARRYING SECURITY PERSONNEL**  
 **NON-FIREARMS CARRYING SECURITY PERSONNEL**

An application will not be deemed completed and processed until all required documents and fees are received.

Note: Applicant is defined as the legal entity applying for the franchise. All information contained in this application shall apply only to the Applicant, not to any parent, affiliate, or subsidiary entities.

Applicant's Name Universal Protection Service LLC dba Allied Universal Security Services  
(Name as it appears on the certificate of incorporation, certificate of organization, charter, by-laws, or other official document)

Applicant's Business Address 3201 W Commercial Blvd #208, Fort Lauderdale, FL 33309  
Number / Street City/State/Zip

Phone # 954-698-5888 E-mail address andrew.daniels@aus.com

Fax #: 954-425-8275

Name of the person authorized to bind the Applicant  
(This person's signature must appear on Page 10.)

Name Andrew Daniels

Title Vice President Business Development

Business Address 3201 W Commercial Blvd #208, Fort Lauderdale, FL 33309  
Number / Street City/State/Zip

Phone # 954-415-1367 E-mail address andrew.daniels@aus.com Fax#: 954-425-8275

Provide the Name and Contact Information of Applicant's Representative to whom questions about this application are to be directed: (if different from the person authorized to bind the Applicant)

Representative's Name David Macedo

Representative's Title Branch Manager

Representative's Business Address 3201 W Commercial Blvd #208, Fort Lauderdale, FL 33309  
Number / Street City/State/Zip

Representative's Phone # 954-415-9976

Representative's E-mail address David.Macedo@aus.com

Representative's Fax #: 954-425-8275

**PLEASE COMPLETE THIS APPLICATION AND LABEL ALL REQUIRED BACKUP DOCUMENTATION TO CLEARLY IDENTIFY THE SECTION OF THE APPLICATION TO WHICH THE DOCUMENTATION APPLIES (I.E., SECTION A, B, C, etc.).**

**Section A**

1. List the name(s) of Applicant's officers including CEO, COO, CFO, director(s), member(s), partner(s), shareholder(s), principal(s), as registered with the Florida Department of State Division of Corporations.

Officers:

Title CEO & President  
First Name Steven Middle Name \_\_\_\_\_  
Last Name Jones  
Business Street Address 1551 N. Tustin Avenue, #650  
City, State, Zip Code Santa Ana, CA 92705  
Phone Number 866-877-1965 Fax Number 714-619-9701  
Email Address steve.jones@aus.com

Title CFO, Treasurer & SVP  
First Name William Middle Name Augustine  
Last Name Torzollini  
Business Street Address Eight Bridge Tower, 161 Washington Street, Suite 600  
City, State, Zip Code Conshocken, PA 19428  
Phone Number 484-351-1300 Fax Number 610-941-1005  
Email Address william.torzolini@aus.com

Title General Counsel, Secretary, EVP  
First Name David Middle Name Issac  
Last Name Buckman  
Business Street Address Eight Bridge Tower, 161 Washington Street, Suite 600  
City, State, Zip Code Conshocken, PA 19428  
Phone Number 484-351-1300 Fax Number 484-351-1300  
Email Address david.buckman@aus.com

Title \_\_\_\_\_  
First Name \_\_\_\_\_ Middle Name \_\_\_\_\_  
Last Name \_\_\_\_\_  
Business Street Address \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_  
Phone Number \_\_\_\_\_ Fax Number \_\_\_\_\_  
Email Address \_\_\_\_\_

Attach additional sheets if necessary.

2. Resumes:

Provide a resume for each officer, director, member, partner, shareholder, principal, as listed above.

See Attachment A.2 for resumes of company officers.

## Section B

1. Place checkmark to describe the Applicant:

Sole Proprietorship  Corporation  Partnership  Joint Venture  Limited Liability Company

2. Provide copies of the documents filed at the time the Applicant was formed including Articles of Incorporation (if a corporation); Articles of Organization (if an LLC); or Certificate of Limited Partnership or Limited Liability Limited Partnership (if a partnership). If the Applicant was not formed in the State of Florida, provide a copy of the documents demonstrating that the Applicant is authorized to conduct business in the State of Florida.

See Attachment B.2 and Attachment B.2.A

## Section C

1. Has there been any change in the ownership of the Applicant within the last five (5) years? (e.g., any transfer of interest to another party)

Yes \_\_\_ No X If "Yes," please provide details in the space provided. Also supply documentation evidencing the changes. Attach additional sheets if necessary.

2. Has there been any name change of the Applicant or has the Applicant operated under a different name within the last five (5) years?

Yes X No \_\_\_ If "Yes," please provide details in the space provided, including: Prior name(s) and Date of name change(s) filed with the State of Florida's Division of Corporations or other applicable state agency.

Attach additional sheets if necessary.

Universal Protection Service, LLC was organized on 10/25/2011 under the name "Security Forces, LLC". The company filed a Certificate of Amendment with the Delaware Secretary of State to change its name to "Universal Protection Service, LLC" on 7/11/2012. The name change was filed with the State of Florida's Division of Corporations on 8/30/2012. See Attachment C.2 and Attachment B.2.

3. Has there been any change in the officers, directors, executives, partners, shareholders, or members of the Applicant within the past five (5) years?

Yes X No \_\_\_ If "Yes," please provide details in the space provided, including:

Prior officers, directors, executives, partners, shareholders, members

Name(s) Prior to August 1, 2016 the officers were: Steven S. Jones, CEO & Secretary; Brian K. Cescolini, President & Treasurer; Frank Schools, CFO

New officers, directors, executives, partners, shareholders, members

Name(s) See Attachment C.3 for a list of officers on and after August 1, 2016

Also supply documentation evidencing the changes including resolution or minutes appointing new officers, list of new principals with titles and contact information, and effective date of changes. Attach additional sheets if necessary.

## Section D

Provide copies of all fictitious name registrations filed by the Applicant with the State of Florida's Division of Corporations or other state agencies. If none, indicate "None" \_\_\_\_\_.

See Attachment D - Fictitious Name Registrations

# COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** Universal Protection Service, LLC  
Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

David Buckman  
Name of Person

Universal Protection Service, LLC DBA Allied Universal Security Service  
Firm/Company

Eight Tower Bridge, 161 Washington St. Suite 600  
Address

Conshohocken, PA 19428  
City/State and Zip Code

govservices@aus.com  
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Maryll Kersting at ( 703 ) 599-2324  
Name of Person Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**  
Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, Florida 32301

**MAILING ADDRESS:**  
Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, Florida 32314

**Enclosed is a check for the following amount:**

- \$25 Filing Fee
- \$30 Filing Fee & Certificate of Status
- \$55 Filing Fee & Certified Copy
- \$60 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE  
AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT  
BUSINESS IN FLORIDA**

**SECTION I (1-4 must be completed)**

1. Name of limited liability Company as it appears on the records of the Florida Department of

State: Universal Protection Service, LLC

Enter new principal office address, if applicable: \_\_\_\_\_

**(Principal office address  
MUST BE A STREET ADDRESS)** \_\_\_\_\_  
\_\_\_\_\_

Enter new mailing address, if applicable: \_\_\_\_\_

**(Mailing address  
MAY BE A POST OFFICE BOX)** \_\_\_\_\_  
\_\_\_\_\_

2. The Florida document number of this limited liability company is: M12000000566

3. Jurisdiction of its organization: DE

4. Date authorized to do business in Florida: 1/30/2012

**SECTION II (5-9 complete only the applicable changes)**

5. New name of the limited liability company: \_\_\_\_\_  
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C." or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: \_\_\_\_\_

New Registered Office Address: \_\_\_\_\_  
*Enter Florida Street Address*

\_\_\_\_\_, **Florida** \_\_\_\_\_  
*City Zip Code*

New Registered Agent's Signature, if changing Registered Agent:

*I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.*

\_\_\_\_\_  
If Changing Registered Agent, Signature of New Registered Agent

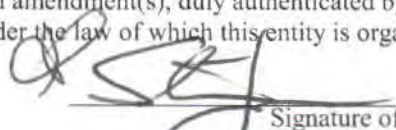
7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

N/A

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(e), indicate that change:

<u>Title/ Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
Chief Financial Officer	William Torzolini	161 Washington Street, Suite 600 Conshohocken, PA 19428	<input checked="" type="checkbox"/> Add <input type="checkbox"/> Remove
General Counsel and Executive Vice President	David Buckman	161 Washington Street, Suite 600 Conshohocken, PA 19428	<input checked="" type="checkbox"/> Add <input type="checkbox"/> Remove
			<input type="checkbox"/> Add <input type="checkbox"/> Remove
			<input type="checkbox"/> Add <input type="checkbox"/> Remove
			<input type="checkbox"/> Add <input type="checkbox"/> Remove

9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

  
\_\_\_\_\_  
Signature of the authorized representative

Steven Jones

\_\_\_\_\_  
Typed or printed name of signee

**Filing Fee: \$25.00**

## Section E

1. Has the Applicant acquired another business entity within the last five (5) years?  
Yes \_\_\_ No X If "Yes," please provide the full legal name of any business entity which the Applicant acquired during the last five (5) years which engaged in a similar business activity as the business activity which is the subject of this Port Everglades Franchise Application.  
If none, indicate "None" \_\_\_\_\_.
2. Indicate in the space provided the date of the acquisition and whether the acquisition was by a stock purchase or asset purchase and whether the Applicant herein is relying on the background and history of the acquired firm's officers, managers, employees and/or the acquired firm's business reputation in the industry to describe the Applicant's experience or previous business history. Also supply documentation evidencing the changes. Attach additional sheets if necessary.  
Not Applicable
3. Has the Applicant been acquired by another business entity within the last five (5) years? Yes \_\_\_ No x If "Yes," provide the full legal name of any business entity which acquired the Applicant during the last five (5) years which engaged in a similar business activity as the business activity which is the subject of this Port Everglades Franchise Application.  
If none, indicate "None" \_\_\_\_\_.
4. Indicate in the space provided the date of the acquisition and whether the acquisition was by a stock purchase or asset purchase and whether the Applicant herein is relying on the background and history of the parent firm's officers, managers, employees and/or the parent firm's business reputation in the industry to describe the Applicant's experience or previous business history. Also supply documentation evidencing the changes. Attach additional sheets if necessary.  
Not Applicable

## Section F

Provide Applicant's previous business history as it relates to providing seaport security services at Port Everglades, and at any other U.S. seaport within the last five (5) years.

See Attachment F - Seaport Specific Qualifications Business History

## Section G

1. Provide a list of Applicant's current managerial employees, including supervisors, superintendents, and forepersons who will be assigned to Port Everglades.
2. Provide resumes of Applicant's current managerial employees, to include the experience and length of time performing specific seaport security duties.

See Attachment G - Management\_Supervision\_Training

**Section H**

List all seaports, including Port Everglades if applicable, where the Applicant is currently performing seaport security services.

Attachment F - Seaport Specific Qualifications Business History

Use one form for each seaport listed. Photocopy additional pages as needed (one page for each seaport listed).

If none, state "None" \_\_\_\_\_

Seaport Port Everglades, FL Number of Years Operating at this Seaport 7

List below all of the Applicant's Clients for which it provides services at the seaport listed above.

Client Name (Company) and Contact Information	Number of Years Applicant has Supplied Services to this Client
Crowley, Ed Alford, Corp. Security Director 305-470-4089	10 Years
Seacor Lines, Marcus Armenta, Dir. 954-920-9292	4 Years
Broward Convention Center, Twee Vuong, tvuong@ftlauderdalecc.com, (954) 765-8904	7 Years





## Allied Universal Ports of Service

### PORT OF SAN DIEGO

Rita Smith-Pomatto, Facility Security Officer (Since 1990)  
687 Switzer Street, 10th Avenue Marine Terminal, P.O. Box 120488, San Diego, CA 92112-0488  
E-mail: [plibuda@portofsandiego.org](mailto:plibuda@portofsandiego.org)  
(619) 686-8067

### PORT OF HOUSTON

Marcus Woodring, Sr. Managing Director (Since 2012)  
111 East Loop North  
Houston, TX 77029  
713-670-3612 / 713-670-3619

### DISNEY CRUISE LINES AT PORTMIAMI

Phillip Halsall, Security Safety Manager (since 2014)  
111 NW 1st Street, 17th Floor, Suite 202, Miami, FL 33128-1983  
Office: 407-566-7463  
Cellular: 407-247-3117

### South Carolina State Port Authority, since 2013

Stephen Conner  
Vice President  
176 Concord Street  
Charleston, SC 29401  
843.577.8134  
[sconner@scspa.com](mailto:sconner@scspa.com)

### Port of Texas City, since 2010

James Whitehead  
Security Director  
1 2nd Avenue South  
Texas City, Texas 77592  
409.949.9423  
[jwhitehead@ptcsc.org](mailto:jwhitehead@ptcsc.org)

### Maryland Port Administration, since 2014

David Espie  
Director of Security  
DMT Dunmar Building 97B, Suite 203  
2700 Broening Highway  
Baltimore Maryland 21222  
410.633.1153  
[despie@marylandports.com](mailto:despie@marylandports.com)

## Section I

1. Provide a description of all past (within the last five (5) years) and pending litigation and legal claims where the Applicant is a named party, whether in the State of Florida or in another jurisdiction, involving allegations that Applicant has violated or otherwise failed to comply with environmental laws, rules, or regulations or committed a public entity crime as defined by Chapter 287, Florida Statutes, or theft-related crime such as fraud, bribery, smuggling, embezzlement or misappropriation of funds or acts of moral turpitude, meaning conduct or acts that tend to degrade persons in society or ridicule public morals.

The description must include all of the following:

- a. The case title and docket number
  - b. The name and location of the court before which it is pending or was heard
  - c. The identification of all parties to the litigation
  - d. General nature of all claims being made
- If none, indicate "None" NONE.

2. Indicate whether in the last five (5) years the Applicant or an officer, director, executive, partner, or a shareholder, employee or agent who is or was (during the time period in which the illegal conduct or activity took place) active in the management of the Applicant was charged, indicted, found guilty or convicted of illegal conduct or activity (with or without an adjudication of guilt) as a result of a jury verdict, nonjury trial, entry of a plea of guilty or nolo contendere where the illegal conduct or activity (1) is considered to be a public entity crime as defined by Chapter 287, Florida Statutes, as amended from time to time, or (2) is customarily considered to be a white-collar crime or theft-related crime such as fraud, smuggling, bribery, embezzlement, or misappropriation of funds, etc. or (3) results in a felony conviction where the crime is directly related to the business activities for which the franchise is sought.

Yes \_\_\_ No x

If "Yes," please provide all of the following information for each indictment, charge, or conviction:

- a. A description of the case style and docket number
- b. The nature of the charge or indictment
- c. Date of the charge or indictment
- d. Location of the court before which the proceeding is pending or was heard
- e. The disposition (e.g., convicted, acquitted, dismissed, etc.)
- f. Any sentence imposed
- g. Any evidence which the County (in its discretion) may determine that the Applicant and/or person found guilty or convicted of illegal conduct or activity has conducted itself, himself or herself in a manner as to warrant the granting or renewal of the franchise.

Attach additional sheets if necessary.

## Section J

The Applicant must provide a current certificate(s) of insurance. Franchise insurance requirements are determined by Broward County's Risk Management Division and are contained in the Port Everglades Tariff No. 12 as amended, revised or reissued from time to time. The Port Everglades Tariff is contained in the Broward County Administrative Code, Chapter 42, and is available for inspection on line at: <http://www.porteverglades.net/development/tariff>. See Attachment J - Broward County COI

**Section K**

1. The Applicant must provide its most recent audited or reviewed financial statements prepared in accordance with generally accepted accounting principles, or other documents and information which demonstrate the Applicant's creditworthiness, financial responsibility, and resources, which the Port will consider in evaluating the Applicant's financial responsibility. See Attachment K - Financials
2. Has the Applicant or entity acquired by Applicant (discussed in Section E herein) sought relief under any provision of the Federal Bankruptcy Code or under any state insolvency law filed by or against it within the last five (5) year period?

Yes \_\_\_ No

If "Yes," please provide the following information for each bankruptcy or insolvency proceeding:

- a. Date petition was filed or relief sought
- b. Title of case and docket number
- c. Name and address of court or agency
- d. Nature of judgment or relief
- e. Date entered

3. Has any receiver, fiscal agent, trustee, reorganization trustee, or similar officer been appointed in the last five (5) year period by a court for the business or property of the Applicant?

Yes \_\_\_ No

If "Yes," please provide the following information for each appointment:

- a. Name of person appointed
- b. Date appointed
- c. Name and address of court
- d. Reason for appointment

4. Has any receiver, fiscal agent, trustee, reorganization trustee, or similar officer been appointed in the last five (5) year period by a court for any entity, business, or property acquired by the Applicant?

Yes \_\_\_ No

If "Yes," please provide the following information for each appointment:

- a. Name of person appointed
- b. Date appointed
- c. Name and address of court
- d. Reason for appointment

## Section L

List four (4) credit references for the Applicant, one of which must be a bank. Use this format:

Name of Reference \_\_\_\_\_ Nature of Business \_\_\_\_\_  
Contact Name \_\_\_\_\_ Title \_\_\_\_\_  
Legal Business Street Address \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_  
Phone Number \_\_\_\_\_

(Provide three others on a separate sheet.)

See Attachment L - Allied Universal Credit References

## Section M

1. Security: Pursuant to Port Everglades Tariff 12, Item 960, all Franchisees are required to furnish an Indemnity and Payment Bond or Irrevocable Letter of Credit drawn on a U.S. bank, in a format and an amount not less than \$20,000 as required by Broward County Port Everglades Department.

Updated Payment Bond already provided

2. Has the Applicant been denied a bond or letter of credit within the past five (5) years?

Yes \_\_\_ No

If "Yes," please provide a summary explanation in the space provided of why the Applicant was denied. Use additional sheets if necessary.

## Section N

### SECURITY EQUIPMENT

1. Provide a list of all metal detection devices, walk-through and hand held, as well as all luggage and carryon x-ray machines owned or leased, to be used or domiciled at Port Everglades. Listing must include brand name and model. Not Applicable
2. Provide a copy of all manufacturers recommended service intervals and name of company contracted to provide such services on all aforementioned equipment. Not Applicable
3. Provide description of current method employed to assure all equipment is properly calibrated and functioning. Not Applicable
4. Provide current training requirements and training syllabus for employees operating x-ray equipment. Highlight emphasis on weapon and contraband identification. Include equipment operator certificates, if any. Not Applicable

## Section O

1. Provide copies of all local, state and federal licenses, including:

- a. A copy of the Applicant's State of Florida Business License.
- b. A copy of security agency's Manager's "M" or "MB" License and a copy of the security agency's "B" or "BB" License issued by the Florida Department of Agriculture and Consumer Services.
- c. A copy of the Applicant's current Broward County Business Tax Receipt (formerly Occupational License).

See Attachments B.2, B.2.A, O.b - License Gattis MB, O.b. - BB License, O.c - Broward County Business Tax Receipt

## Section P

1. Provide a copy of Applicant's safety program.

See Attachment P.1 - Safety Manual

2. Provide a copy of Applicant's substance abuse policy.

See Attachment P-2 - Employee Handbook

3. SECURITY GUARDS / SUPERVISORS

a. Provide Applicant's background requirements, education, training etc., for personnel hired as security guards. See Attachment F - Seaport Specific Qualifications Business History

b. Provide historic annual turnover ratio for security guards. 44.2%

c. Provide a copy of Applicant's job training program/policy including a copy of training curriculum and copies of all manuals and take-home materials made available to security guards. Include information regarding frequency of training. See Attachment F - Seaport Specific Qualifications Business History

d. Provide background requirements, experience, licensing and any and all advanced training provided to supervisory personnel. See Attachment F - Seaport Specific Qualifications Business History

e. Provide present policy for individual communication devices either required of security guards or supplied by the employer. See Attachment P-2 - Employee Handbook

f. Provide procurement criteria and source as well as Applicant's certification requirements for K-9 workforce. Not Applicable

g. Provide information on the number of security guards / supervisors currently employed or expected to be employed to provide security services at Port Everglades.

Supervisors	12
Class D Guards	180
Class G Guards	N/A
K-9 Handlers	N/A

## Section Q

1. Has the Applicant received within the past five (5) years or does the Applicant have pending any citations, notices of violations, warning notices, or fines from any federal, state, or local environmental regulatory agencies?

Yes \_\_\_ No

2. Has the Applicant received within the past five (5) years or does the Applicant have pending any citations, notices of violations, warning notices, or civil penalties from the U.S. Coast Guard? Yes  No \_\_\_

See Attachment Q.2 - Violations

3. Has the Applicant received within the past five (5) years or does the Applicant have pending any citations, notices of violations, warning notices, or fines from the Occupational Safety and Health Administration?

Yes  No \_\_\_  
See Attachment Q-3 - AB EMR experience modification letter & Attachment Q-3 - Sample Safety Questionnaire.  
Please note that consolidated OSHA data is scheduled to be merged February 2017. AlliedBarton is subject to OSHA evaluations during the course of its business which is customary and typical for a large specialized staffing business. We believe the volume of litigation experienced by AlliedBarton is more or less typical of companies of our size.

If you responded "Yes" to any of this section's questions 1, 2, or 3 above, please provide a detailed summary for each question containing the following information:

- a. Name and address of the agency issuing the citation or notice
- b. Date of the notice
- c. Nature of the violation
- d. Copies of the infraction notice(s) from the agency
- e. Disposition of case
- f. Amount of fines, if any
- g. Corrective action taken

Attach copies of all citations, notices of violations, warning notices, civil penalties and fines issued by local, state, and federal regulatory agencies, all related correspondence, and proof of payment of fines.

4. Provide a statement (and/or documentation) which describes the Applicant's commitment to environmental protection, environmental maintenance, and environmental enhancement in Port Everglades.

See Attachment Q.4

## Section R

Provide written evidence of Applicant's ability to promote and develop growth in the business activities, projects or facilities of Port Everglades through its provision of the services (i.e., stevedore, cargo handler or steamship agent) it seeks to perform at Port Everglades. For first-time applicants (stevedore, cargo handler and steamship agent), the written evidence must demonstrate Applicant's ability to attract and retain new business such that, Broward County may determine in its discretion that the franchise is in the best interests of the operation and promotion of the port and harbor facilities. The term "new business" is defined in Chapter 32, Part II of the Broward County Administrative Code as may be amended from time to time.

As a partner to Port Everglades, Allied Universal has worked to assist the port in meeting both security and customer service objectives to help grow business to the local community. As a company focused solely on security services we have no conflicts of interest with stevedore, cargo handlers or steamship agents.

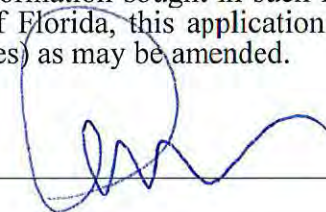
By signing and submitting this application, Applicant certifies that it has read and understands the governing rules and regulations for a franchise as provided in Chapter 32, Part II, of the Broward County Administrative Code as amended. For additional information, visit:  
<http://www.municode.com/resources/gateway.asp?pid=13528&sid=9>.

By signing and submitting this application, Applicant certifies that all information provided in this application is true and correct and further, understands that providing false or misleading information on this application may result in the franchise application being denied, or in instances of renewal, a franchise revoked. Applicant hereby waives any and all claims for any damages resulting to the Applicant from any disclosure or publication in any manner of any material or information acquired by Broward County during the franchise application process or during any inquiries, investigations, or public hearings.

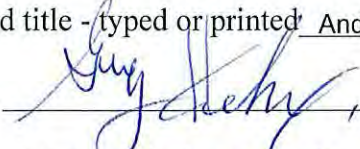
The individual executing this application personally warrants that s/he has the full binding authority to execute this application on behalf of the Applicant. Applicant further understands that if there are any changes to the information provided herein (subsequent to this application submission) and/or to its officers, directors, senior management personnel and/or in its business operation as stated in this application, Applicant agrees to provide such updated information to the Port Everglades Department of Broward County including the furnishing of the names, addresses (and other information as required above) with respect to persons becoming associated with Applicant after its franchise application is submitted and any other required documentation requested by Port Everglades Department staff as relating to the changes in the business operation. This information must be submitted within ten (10) calendar days from the date of any change made by the Applicant.

Applicant certifies that all workers performing functions for Applicant who are subject to the Longshore and Harbor Workers' Act are covered by Longshore & Harbor Workers' Act, Jones Act Insurance, as required by federal law.

By signing and submitting this application, Applicant authorizes the Port Everglades Department of Broward County to make any inquiry or investigation it deems appropriate to verify or augment the information contained in this application, and authorizes others to release to the Port Everglades Department of Broward County any and all information sought in such inquiry. Applicant further understands that under the laws of the State of Florida, this application is subject to the Florida Public Records Act (Chapter 119, Florida Statutes) as may be amended.

Signature of Applicant's Authorized Representative  Date \_\_\_\_\_  
Signed 3-6-2017

Signature name and title - typed or printed Andrew Daniels, VP Business Development

Witness Signature  Business Development Manager

Witness name-typed or printed ~~George Hendrix~~ George Hendrix

Witness Signature 

Witness name-typed or printed David J. MACEDO

If a franchise is granted, all official notices/correspondence should be sent to:

Name Andrew Daniels Title VP Business Development  
3201 W Commercial Blvd #208  
Address Fort Lauderdale, FL 33309 Phone 954-413-1367



*There for you.*



**CEO**

**Steve Jones**

Years with the Company: 20

Years in Industry: 20

### **Past Positions**

---

- CEO, Universal Services of America, Santa Ana, CA, 2007-2016
- Co-CEO, Universal Services of America, Santa Ana, CA 2000-2007

### **Experience**

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- Steve Jones presided over four major Universal Services of America divisions: Universal Protection Service, Universal Protection Security Systems; Universal Building Maintenance and Universal Fire/Life Safety Services. He began his career with Universal in 1996 after holding executive level and management positions within two Fortune 500 companies. Past sales and operations experience at large, national service companies made him a perfect fit when Universal Protection Service was beginning to grow and expand from a small local Orange County-based security company to a multi-billion dollar organization.
- In December of 1999, he and his business partner acquired the sale of equity from Universal's original founders. He then led several subsequent transitions of equity transfers, culminating with the final management buy-out of the firm. Under his leadership, Universal grew from \$12 million in revenue to more than \$2.5 billion and has acquired more than 50 companies from 2011 to 2016. Jones's vision for Universal is to be the leader in integrated security solutions, offering clients manned guarding and systems technology to create the best possible security solution. The merger of Allied Universal and Universal to form Allied Universal in 2016 brings him closer to his goal.

### **Certifications & Awards**

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- Jones is a sought-after speaker on many topics in the security field as well as in customer service.
- Inc. Hire Power Award for being a top job creator for 2012-2013
- [Inc. 5000](#) Fastest Growing Private Companies list, 2010-2016
- Vistage International Leadership Award in 2010
- Ernst & Young Entrepreneur of the Year Award, 2009

### **Industry Associations**

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- Member of the Young Presidents' Organization, and serves on various boards, including that of Universal Services of America.

### **Education**

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*There for you.*

- Bachelor of Arts degree in Political Science from California Polytechnic University, 1992
- San Luis Obispo, and an MBA from the University of Redlands, California, 2000



**Executive Vice President and General Counsel**

**David I. Buckman**

Years with the Company: 11

Years in Industry: 11

**Past Positions**

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- EVP and General Counsel, Allied Universal, Conshohocken, PA, 2005-2016
- Associate General Counsel, ARAMARK Corporation, Philadelphia, PA, 1994-2005
- Associate Attorney, Morgan, Lewis & Bockius, Philadelphia, PA, 1988-1994

**Experience**

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- Responsible for all legal affairs of the company, including strategic transactions, contract management, compliance, corporate governance, litigation, licensing, risk management and labor and employment. Also holds supervisory responsibility for corporate security, human resources, training and recruiting
- Served as general counsel to ARAMARK's Sports and Entertainment Services Group, a leading national provider of food, retail and support services to major sports and concert venues, convention centers and state parks

**Industry Associations**

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- Board Member, Education Law Center of PA, 2012-present
- Board Member, Economy League of Greater Philadelphia, 2011-present
- Board Chair, Pennsylvania College of Art & Design, 2009-present
- Board Member and Treasurer, National Association of Security Companies (NASCO), 2005-present
- American Corporate Counsel Association, 1997-present

**Education**

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- J.D., University of Pennsylvania Law School, Philadelphia, PA, 1988
- A.B., American Studies, Amherst College, Amherst, MA, 1984



*There for you.*



**Chief Financial Officer**

**William A. Torzolini, CPA**

Years with the Company: 16

Years in Industry: 16

**Past Positions**

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- Senior Vice President, Chief Financial Officer and Treasurer, Allied Universal, Conshohocken, PA 2001-2016
- Senior Vice President and Chief Financial Officer, Crothall Services Group, Wayne, PA, 2000-2001
- Chief Financial Officer, ORBIT/FR, Inc., Horsham, PA, 1998-2000
- Vice President and Chief Financial Officer, Novacare, Inc., Outpatient Division, King of Prussia, PA, 1990-1998

**Experience**

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- Serves as Allied Holdings' Senior Vice President, Chief Financial Officer and Treasurer since its formation in August 2004
- Oversees all accounting, finance and reporting, as well as management of Information Systems and Procurement functions
- Extensive M & A experience

**Certifications & Awards**

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- Certified Public Accountant

**Industry Associations**

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- Member of Pennsylvania Institute of CPAs
- Member of American Institute of CPAs
- Board Member - Drexel University Law School

**Education**

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- B.S., Business Administration, Drexel University, 1983, Magna Cum Laude



**Vice President, Business Development**  
**Andrew Daniels**

Years with the Company: 6

Years in Industry: 6

**Past Positions**

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- Business Development Manager, Allied Universal , Broward/Palm Beach Counties, FL, 2010-2012
- Professional Sales Representative, The Schering-Plough Corporation, Fort Lauderdale/Coral Springs/Boca Raton, FL, 1999-2010
- Sales Associate, The Amerbelle Corporation, Vernon, CT, 1995-1999

**Experience**

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- Creating and maintaining deep, ongoing relationships with current and potential new clients through expertise and knowledge of products and services
- Ability to develop a connection built on trust and proactive anticipation of client needs
- Exceeded 2011 hours per week and revenue goals and achieved more than 165% quotas in both categories
- Ranked in the top 10% of the region at The Schering-Plough Corporation for total product portfolio in 2009
- Developed marketing materials and programs for client meetings and events for The Amerbelle Corporation. Presented product offering at industry conferences and create a company brochure in conjunction with Allied Signal

**Certifications & Awards**

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- President's Award, Allied Universal, 2011
- Rookie of the Year, Allied Universal, 2011
- Nominated for the BOMA Associate Member of the Year Award, Allied Universal, 2011
- Platinum Club sales award, Allied Universal, 2010
- President Award, The Schering-Plough Corporation, 2002
- President Award Runner Up, The Schering-Plough Corporation, 2001
- Nasonex High Share Change Award, The Schering-Plough Corporation, 1999

**Industry Associations**

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- Building Owners and Managers Association
- American Society for Industrial Security
- Community Associations Institute

**Education**

- B.A., Speed Communication, Ithaca College, Ithaca, NY, 1994



**Regional Vice President**

**Jose Ubieta**

Years with the Company: 8

Years in Industry: 26

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**Past Positions**

- Vice President Operations, Allied Universal, Miami, FL 2013-2016
- Branch Manager, Allied Universal, Miami, FL, 2009-2013
- GSA Security Management, Miami, FL, 2008-2009
- Operations Manager, Allied Universal, Miami, FL, 2007-2008
- Branch Manager, US Security Associates, Miami, FL, 2001-2007
- Operations Manager, Burns International, Miami, FL, 1990-2001

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**Experience**

- Successfully managed branch operations by providing service excellence to national customers such as Merck, Johnson & Johnson and VISA, as well as numerous Miami-Dade County departments such as GSA, Miami International Airport, water and sewage treatment plants, election headquarters, Office of Emergency Management and the Department of Juvenile Justice
- Coordinated contract and event security operations for the 1997 World Series at Pro Player Stadium in Miami, Florida
- Extensive security experience spanning 24 years with combined commercial, private, and governmental agencies
- Supervised contract security services for Miami-Dade County Courthouses including screening, personal protection, and emergency response

---

**Certifications**

- Surveillance Detection Certification, Department of Homeland Security, 2008
- Certification, Introduction to the Incident Command System (ICS 100), FEMA, 2008
- Certification, National Incident Management System (NIMS), FEMA, 2009
- Certification, ICS for Single Resources and Initial Action Incidents, FEMA, 2008
- Certified Instructor, Incident Response to Terrorist Bombings, New Mexico Tech, 2008

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**Industry Associations**

- ASIS International, 2014-present

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**Education**

- B.A., Criminal Justice Administration, Florida International University, Miami, FL, 1999



**President, Southeast  
Robert Wood, CPP**  
Years with the Company: 4  
Years in Industry: 30

### **Past Positions**

---

- President, Northeast Region, Universal Protection Service, New York, NY, 2013-2016
- Senior Vice President and Executive, Universal Protection Service, New York, NY, 2012-2013
- Vice President of the Security Officer Services Division, T&M Protection Resources, New York, NY, 2004 to 2012.

### **Experience**

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- Wood is responsible for the management and oversight of all Universal field security operations, including account management, field supervision, customer relations management and business development.
- He has over 30 years of career experience in the private security industry with the 3rd largest U.S. protective services firm, where he held key management positions responsible for operations in the Mid-Atlantic, New England and New York regional markets. These roles included directing uniformed security services and relationship management for very high profile clients, including Fortune 500 companies.

### **Certifications & Awards**

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- Selected as "Enlisted Man of the Year" in 1985 while serving in the Army National Guard's 114th Infantry 50th Armored Division.
- Ernst & Young Entrepreneur of the Year Award, 2009
- He has also earned board certification as a Certified Protection Professional from ASIS International and his Certified Fraud Examiners designation from the Association of Certified Fraud Examiners.

### **Industry Associations**

---

- Member of ASIS International, Association of Certified Fraud Examiners

### **Education**

---

- Bachelor of Science in Criminal Justice, College of New Jersey, 1984

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "UNIVERSAL PROTECTION SERVICE, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SEVENTH DAY OF JULY, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



  
Jeffrey W. Bullock, Secretary of State

5056717 8300

SR# 20165098227

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202728727

Date: 07-27-16





FLORIDA DEPARTMENT OF STATE  
Division of Corporations

July 26, 2016

ALLIED UNIVERSAL SECURITY SERVICES, LLC  
1551 N. TUSTIN AVE., STE 650  
SANTA ANA, CA 92705

Subject: **ALLIED UNIVERSAL SECURITY SERVICES, LLC**

REGISTRATION NUMBER: **G16000073407**

This will acknowledge the filing of the above fictitious name registration which was registered on July 26, 2016. This registration gives no rights to ownership of the name.

Each fictitious name registration must be renewed every five years between January 1 and December 31 of the expiration year to maintain registration. Three months prior to the expiration date a statement of renewal will be mailed.

If the mailing address of this business changes, please notify this office in writing, or through the link provided on our website [www.sunbiz.org](http://www.sunbiz.org) for Address & FEI/EIN Changes. Please reference the original registration number.

Should you have any questions regarding this matter you may contact our office at (850) 245-6058.

Lewis S Berger  
Reinstatement Section  
Division of Corporations

Letter No. 916A00015625

### APPLICATION FOR REGISTRATION OF FICTITIOUS NAME

Note: Acknowledgements/certificates will be sent to the address in Section 1 only.

2016 JUL 26 PM 12:27

Section 1

1. Allied Universal Security Services, LLC  
Fictitious Name to be Registered (see instructions if name includes "Corp" or "Inc")

---

1551 N. Tustin Ave., Ste 650  
Mailing Address of Business

Santa Ana CA 92705  
City State Zip Code

3. Florida County of principal place of business: \_\_\_\_\_  
Hillsborough - Multiple  
(see instructions if more than one county)

FEI Number: 56-0515447

G16000073407  
07/26/16--01001--013 \*\*50.00

This space for office use only

Section 2

**A. Owner(s) of Fictitious Name If Individual(s): (Use an attachment if necessary):**

1. Last \_\_\_\_\_ First \_\_\_\_\_ M.I. \_\_\_\_\_  
 Address \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

2. Last \_\_\_\_\_ First \_\_\_\_\_ M.I. \_\_\_\_\_  
 Address \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

**B. Owner(s) of Fictitious Name If other than an individual: (Use attachment if necessary):**

1. Universal Protection Service, LLC  
Entity Name  
1551 N. Tustin Ave., Ste 650  
Address  
Santa Ana CA 92705  
City State Zip Code  
 Florida Document Number M12000000566  
 FEI Number: 56-0515447  
 Applied for  Not Applicable

2. \_\_\_\_\_  
Entity Name  
 Address \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
 Florida Document Number \_\_\_\_\_  
 FEI Number: \_\_\_\_\_  
 Applied for  Not Applicable

Section 3

I the undersigned, being an owner in the above fictitious name, certify that the information indicated on this form is true and accurate. In accordance with Section 865.09, F.S., I further certify that the fictitious name to be registered has been advertised at least once in a newspaper as defined in chapter 50, Florida Statutes, in the county where the principal place of business is located. I understand that the signature below shall have the same legal effect as if made under oath.

Swathi Staley 7/20/16 swathi.staley@universalpro.com  
Signature of Owner Date E-mail address: (to be used for future renewal notification)

Phone Number: 224-315-8552

Section 4

**FOR CANCELLATION COMPLETE SECTION 4 ONLY:  
 FOR FICTITIOUS NAME OR OWNERSHIP CHANGE COMPLETE SECTIONS 1 THROUGH 4:**

I (we) the undersigned, hereby cancel the fictitious name \_\_\_\_\_  
 \_\_\_\_\_, which was registered on \_\_\_\_\_ and was assigned  
 registration number \_\_\_\_\_

\_\_\_\_\_  
Signature of Owner Date      \_\_\_\_\_  
Signature of Owner Date

Mark the applicable boxes  Certificate of Status — \$10  Certified Copy — \$30

NON-REFUNDABLE PROCESSING FEE: \$50

Form **W-9**  
(Rev. December 2014)  
Department of the Treasury  
Internal Revenue Service

**Request for Taxpayer  
Identification Number and Certification**

Give Form to the  
requester. Do not  
send to the IRS.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.  
**UNIVERSAL PROTECTION SERVICE, LLC**

2 Business name/disregarded entity name, if different from above  
**DBA ALLIED UNIVERSAL SECURITY SERVICES**

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:  
 Individual/sole proprietor or single-member LLC  
 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ \_\_\_\_\_  
 Other (see instructions) ▶ \_\_\_\_\_  
 C Corporation  
 S Corporation  
 Partnership  
 Trust/estate

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  
Exempt payee code (if any) \_\_\_\_\_  
Exemption from FATCA reporting code (if any) \_\_\_\_\_  
*(Applies to accounts marketed outside the U.S.)*

5 Address (number, street, and apt. or suite no.)  
**161 WASHINGTON STREET SUITE 600**

6 City, state, and ZIP code  
**CONSHOHOCKEN, PA 19428**

7 List account number(s) here (optional):

Requester's name and address (optional)

Print or type  
See Specific Instructions on page 2.

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number

			-			-			
--	--	--	---	--	--	---	--	--	--

or

Employer identification number

5	6	-	0	5	1	5	4	4	7
---	---	---	---	---	---	---	---	---	---

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

**Part II Certification**

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ *Kenneth Hurdin* Date ▶ 11/28/16

**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.  
Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).

**Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
  - Form 1099-C (canceled debt)
  - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

# Delaware

PAGE 2

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "SECURITY FORCES, LLC" FILED IN THIS OFFICE ON THE TWENTY-FIFTH DAY OF OCTOBER, A.D. 2011, AT 6:15 O'CLOCK P.M.



5056717 8100V

111224360

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

  
\_\_\_\_\_  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 9176293

DATE: 11-22-11

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 06:29 PM 10/25/2011  
FILED 06:15 PM 10/25/2011  
SRV 111134973 - 5056717 FILE

STATE of DELAWARE  
LIMITED LIABILITY COMPANY  
CERTIFICATE of FORMATION

• **First:** The name of the limited liability company is Security Forces, LLC

• **Second:** The address of its registered office in the State of Delaware is  
1209 Orange Street in the City of Wilmington  
Zip Code 19801

The name of its Registered agent at such address is  
The Corporation Trust Company

• **Third:** (Insert any other matters the members determine to include herein.)

In Witness Whereof, the undersigned have executed this Certificate of Formation this  
25th day of October, 2011

By:   
Authorized Person(s)

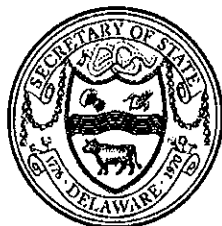
Name: Brian Cescolini  
Typed or Printed

# Delaware

PAGE 1

*The First State*

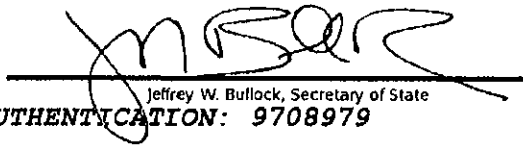
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SECURITY FORCES, LLC", CHANGING ITS NAME FROM "SECURITY FORCES, LLC" TO "UNIVERSAL PROTECTION SERVICE, LLC", FILED IN THIS OFFICE ON THE ELEVENTH DAY OF JULY, A.D. 2012, AT 9:42 O'CLOCK P.M.



5056717 8100

120828050

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 9708979

DATE: 07-13-12

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 10:00 PM 07/11/2012  
FILED 09:42 PM 07/11/2012  
SRV 120828050 - 5056717 FILE

## STATE OF DELAWARE CERTIFICATE OF AMENDMENT

1. Name of Limited Liability Company: SECURITY FORCES, LLC
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

Article FIRST of the Certificate of Formation of the company is hereby amended in its entirety to read as follows:

First: The name of the limited liability company is UNIVERSAL PROTECTION SERVICE, LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 11th day of July, A.D. 2012.

By: \_\_\_\_\_  
Authorized Person(s)

Name: SEE EXHIBIT A ATTACHED  
Print or Type

EXHIBIT A

SIGNATURE OF MEMBER OF SECURITY FORCES, LLC

Signature of Member:



Brian K. Cescolini, President

Universal Protection Service GP, Inc.,  
a California corporation  
General Partner of  
Universal Protection Service, LP,  
a California limited partnership  
its SOLE MEMBER



### SECRETARY'S CERTIFICATE

I, David I. Buckman, hereby certify that: (i) I am the Secretary of Universal Protection Service, LLC, d/b/a Allied Universal Security Services (the "Company") with an address of Eight Tower Bridge, 161 Washington Street, Suite 600, Conshohocken, PA 19428, (ii) Steven S. Jones is President and CEO of the Company and William A. Torzolini is CFO and Treasurer of the Company, (iii) Andrew Daniels, a VP of Business Development of the Company, is duly authorized and empowered to execute security service contracts and proposals on behalf of the Company, including, without limitation, all documents relating to Contract No. R1311116P1 for Security Officer Services For Port Everglades, Fort Lauderdale – Hollywood International Airport and North Perry Airport.

In witness whereof, I have set my hand and the seal of the Company  
this 7th day of December, 2016.



---

David I. Buckman  
Secretary

(Company Seal)

# *State of Florida*

## *Department of State*

I certify from the records of this office that UNIVERSAL PROTECTION SERVICE, LLC is a Delaware limited liability company authorized to transact business in the State of Florida, qualified on January 30, 2012.

The document number of this limited liability company is M12000000566.

I further certify that said limited liability company has paid all fees due this office through December 31, 2016, that its most recent annual report was filed on March 17, 2016, and that its status is active.

I further certify that said limited liability company has not filed a Certificate of Withdrawal.

*Given under my hand and the  
Great Seal of the State of Florida  
at Tallahassee, the Capital, this  
the Sixteenth day of January, 2017*



*Ken Dutzner*  
*Secretary of State*

Tracking Number: CU0084302717

To authenticate this certificate, visit the following site, enter this number, and then follow the instructions displayed.

<https://services.sunbiz.org/Filings/CertificateOfStatus/CertificateAuthentication>

Division of Corporations

Page 1 of 1

# M12000000566

Florida Department of State  
Division of Corporations  
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

((H12000216742 3)))



H120002167423ABCZ

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To: Division of Corporations  
Fax Number : (850) 617-6383

From: Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (850) 222-1092  
Fax Number : (850) 878-5368

**\*RE-SUBMIT\***

Please retain original filing date of submission

\*\*Enter the email address for this business entity to be used for future annual report mailings.. Enter only one email address please.\*\*

Email Address: \_\_\_\_\_

LLC AMND/RESTATE/CORRECT OR M/MG RESIGN  
SECURITY FORCES, LLC

Certificate of Status	0
Certified Copy	0
Page Count	03
Estimated Charge	\$25.00

Attn:  
Joey  
Bryan

W12-45221

Electronic Filing Menu Corporate Filing Menu Help

J. BRYAN

<https://efile.sunbiz.org/scripts/efilcovr.exe>

SEP 20 2012

FILED  
2012 AUG 30 AM 7:53  
FLORIDA DEPARTMENT OF STATE  
TALLAHASSEE, FLORIDA

RECEIVED  
2012 AUG 31 AM 9:54  
FLORIDA DEPARTMENT OF STATE  
TALLAHASSEE, FLORIDA

850-617-6381

8/31/2012 7:48:54 AM PAGE 1/001 FAX COVER



August 31, 2012

FLORIDA DEPARTMENT OF STATE  
Division of Corporations

C T CORPORATION SYSTEM

SUBJECT: SECURITY FORCES, LLC  
REF: M1200000566

FILED  
AUG 30 AM 7:53  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refile the complete document, including the electronic filing cover sheet.

A certificate or a document of similar import evidencing the amendment must be submitted with the application. The certificate should be authenticated as of a date not more than 90 days prior to delivery of the application to the Department of State by the Secretary of State or other official having custody of the records in the jurisdiction under the laws of which it is incorporated, formed, or organized. A translation of the certificate, under oath or affirmation of the translator, must be attached to a certificate which is not in English.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Joey Bryan  
Regulatory Specialist II

FAX Aud. #: H12000216742  
Letter Number: 412A00022214

P.O BOX 6327 - Tallahassee, Florida 32314

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE  
AMENDMENT TO APPLICATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

**SECTION I (1-3 must be completed)**

- 1. Name of limited liability company as it appears on the records of the Florida Department of State: SECURITY FORCES, LLC
- 2. Jurisdiction of its organization: DELAWARE
- 3. Date authorized to do business in Florida: JANUARY 30, 2012

FILED  
 2012 AUG 30 AM 7:53  
 SECRETARY OF STATE  
 TALLAHASSEE, FLORIDA

**SECTION II (4-7 complete only the applicable changes)**

- 4. If the amendment changes the name of the limited liability company, when was the change effected under the laws of its jurisdiction of organization? \_\_\_\_\_
- 5. New name of the limited liability company: UNIVERSAL PROTECTION SERVICE, LLC  
(must end with "Limited Liability Company," "L.L.C.," or "LLC.")

*(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must end with "Limited Liability Company," "L.L.C." or "LLC.")*

- 6. If the amendment changes the period of duration, indicate new period of duration: \_\_\_\_\_
- 7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction: \_\_\_\_\_
- 8. If the amendment corrects any false statement, indicate the statement being corrected and the correction: \_\_\_\_\_
- 9. Attached is an original certificate, no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

Signature of a member or the authorized representative of a member

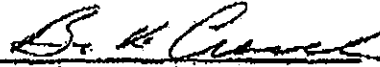
SEE EXHIBIT A ATTACHED  
Typed or printed name of signer

Filing Fee: \$25.00

11001 - 05/04/2009 C.T. SYSTEM ONLINE

**EXHIBIT A**  
**SIGNATURE OF MEMBER OF SECURITY FORCES, LLC**

Signature of Member:



Brian K. Cescolini  
President  
Universal Protection Service GP, Inc.,  
a California corporation  
General Partner of  
Universal Protection Service, LP,  
a California limited partnership  
its SOLE MEMBER

**FILED**  
2012 AUG 30 AM 7:53  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SECURITY FORCES, LLC", CHANGING ITS NAME FROM "SECURITY FORCES, LLC" TO "UNIVERSAL PROTECTION SERVICE, LLC", FILED IN THIS OFFICE ON THE ELEVENTH DAY OF JULY, A.D. 2012, AT 9:42 O'CLOCK P.M.

FILED  
2012 AUG 30 AM 7:54  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA



5056717 8100

120985325

You may verify this certificate online  
at [corp.delaware.gov/auchver.shtml](http://corp.delaware.gov/auchver.shtml)

  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 9814412

DATE: 08-29-12

*Execution Version*

**WRITTEN CONSENT OF  
THE MANAGER OF  
UNIVERSAL PROTECTION DEFENSE SERVICE GP, LLC AND  
  
THE SOLE MEMBER OR MANAGING MEMBER OF EACH OF  
SFI ELECTRONICS, LLC  
TRANSIT SYSTEMS SECURITY, LLC  
UNIVERSAL GROUP HOLDINGS LLC  
UNIVERSAL PROTECTION GP, LLC  
UNIVERSAL PROTECTION SERVICE, LLC  
UNIVERSAL PROTECTION SERVICE OF SEATTLE, LLC  
UNIVERSAL THRIVE TECHNOLOGIES, LLC  
USA GP SUB LLC**

**AND THE UNANIMOUS WRITTEN CONSENT OF THE BOARD OF DIRECTORS OF  
EACH OF  
GUARDSMARK, LLC  
GUARDSMARK GP, LLC  
GUARDSMARK (PUERTO RICO), LLC  
GUARDSMARK INTERNATIONAL, LLC**

**August 1, 2016**

The undersigned:

(i) Universal Services of America, LP, acting through its general partner USA GP Sub LLC (in such capacity, "USA GP GenPar"), being the sole member (in such capacity, "USA LP") of (a) SFI Electronics, LLC ("SFI"), (b) Universal Protection GP, LLC ("UP GP LLC") and (c) Universal Thrive Technologies, LLC ("Thrive"), each a Delaware limited liability company;

(ii) Universal Protection Service, LP, acting through its general partner Universal Protection GP, LLC (in such capacity, "UP GP GenPar" and, together with USA GP GenPar, the "General Partners" and each, a "General Partner"), being the sole member (in such capacity, "UPS LP Member") of (a) Transit Systems Security, LLC ("Transit"), (b) Universal Protection Service, LLC ("UPS LLC") and (c) Universal Protection Service of Seattle, LLC ("UPSS"), each a Delaware limited liability company;

(iii) Steven S. Jones, being the manager (in such capacity, "UPDS Manager") of Universal Protection Defense Service GP, LLC ("UPDS"), a Delaware limited liability company;

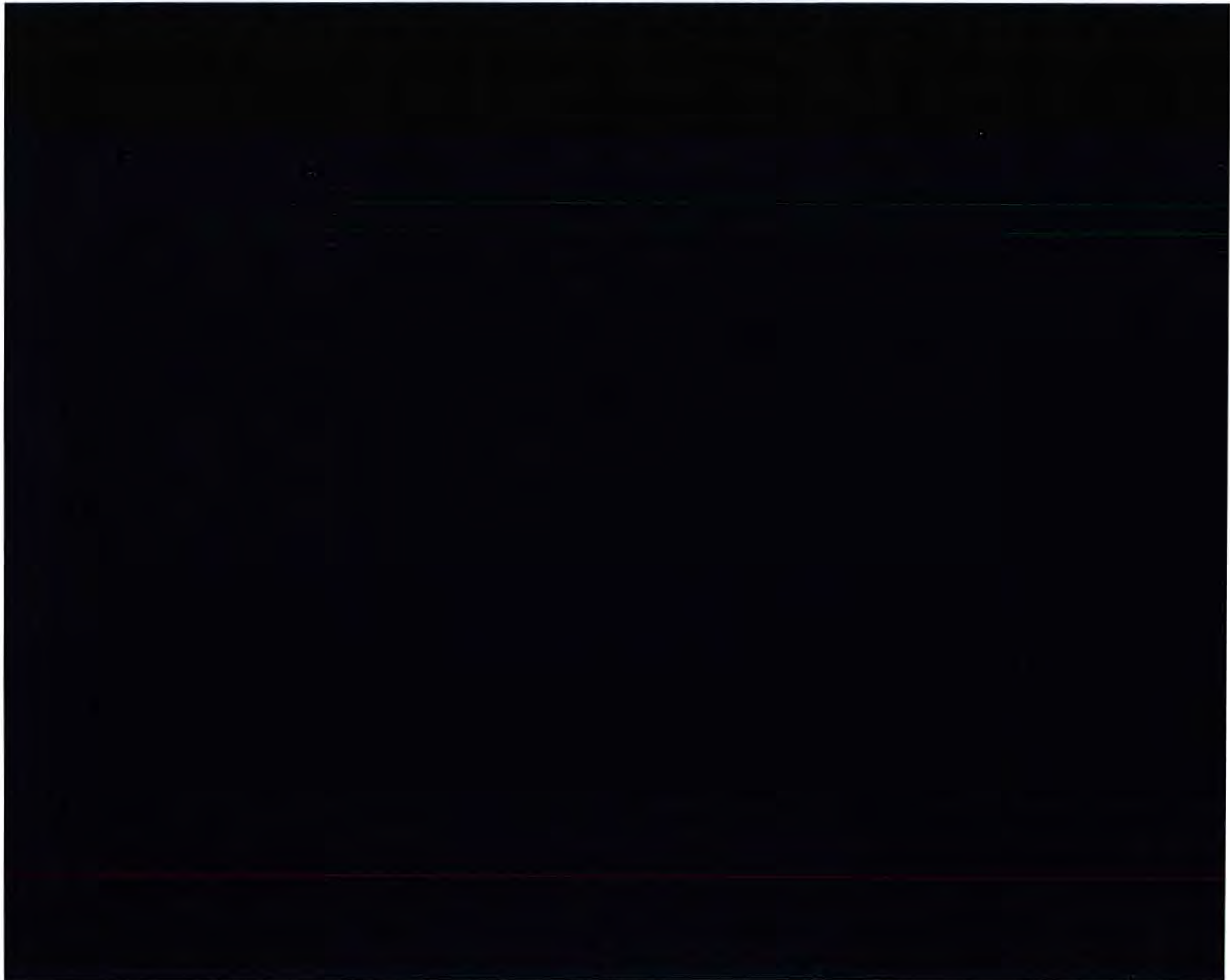
(iv) Allied Universal Holdco LLC (f/k/a USAGM Holdco, LLC), being the managing member (in such capacity, "UGH Member") of Universal Group Holdings LLC ("UGH LLC"), a Delaware limited liability company; and

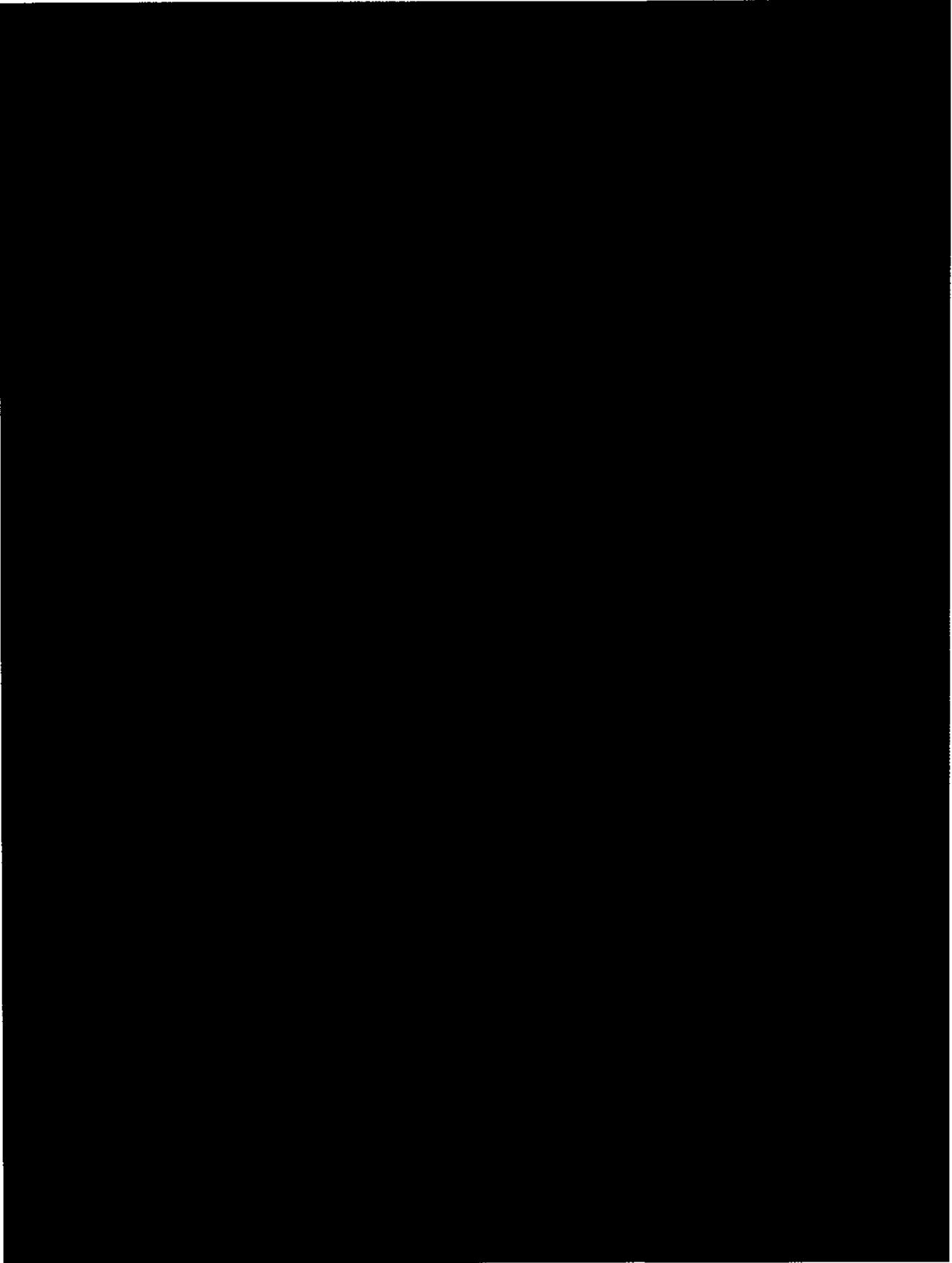


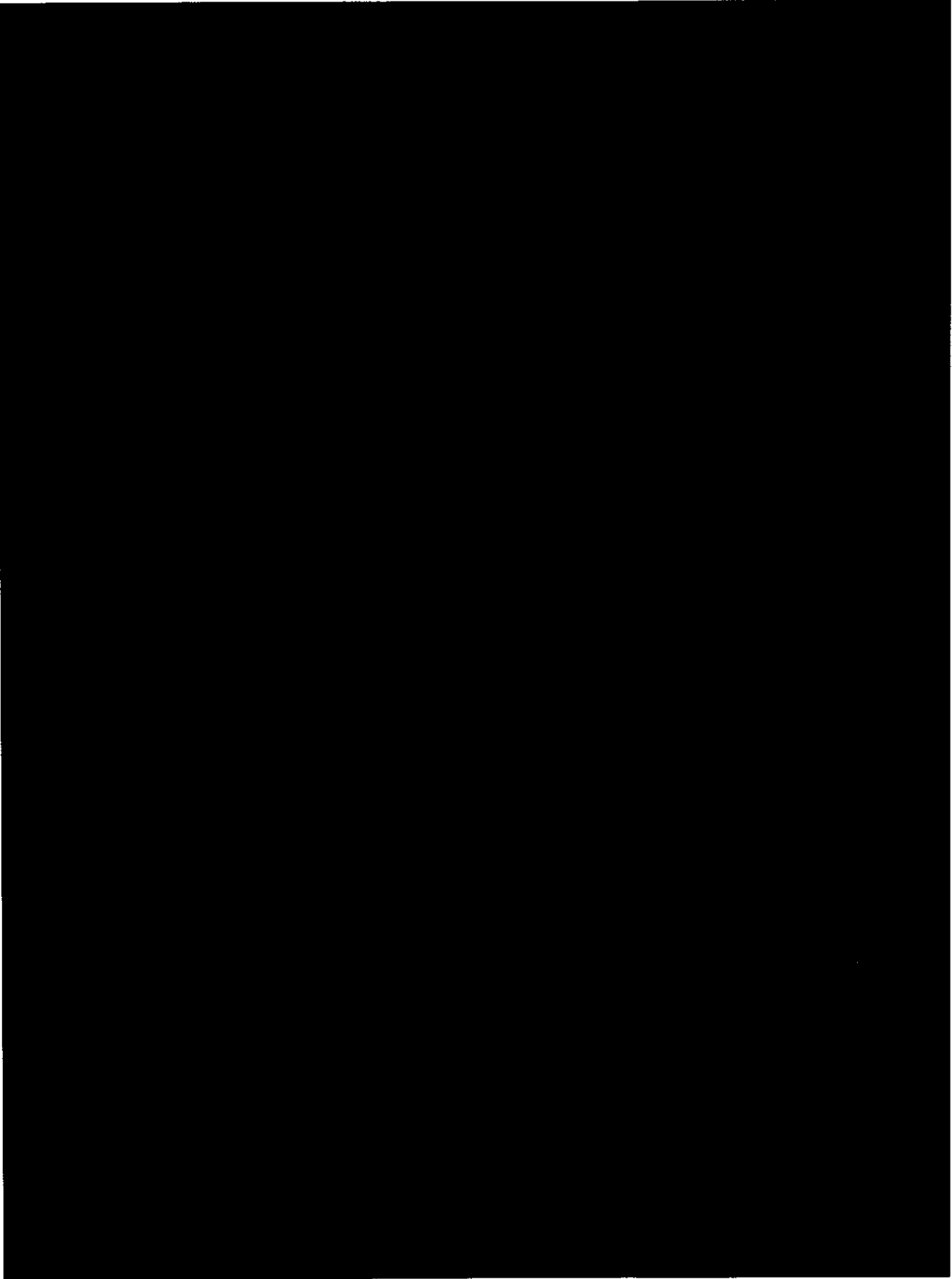
(v) UGH LLC, being the sole member (in such capacity, "USA GP Sub Member") of USA GP Sub LLC ("USA GP Sub"), a Delaware limited liability company; and

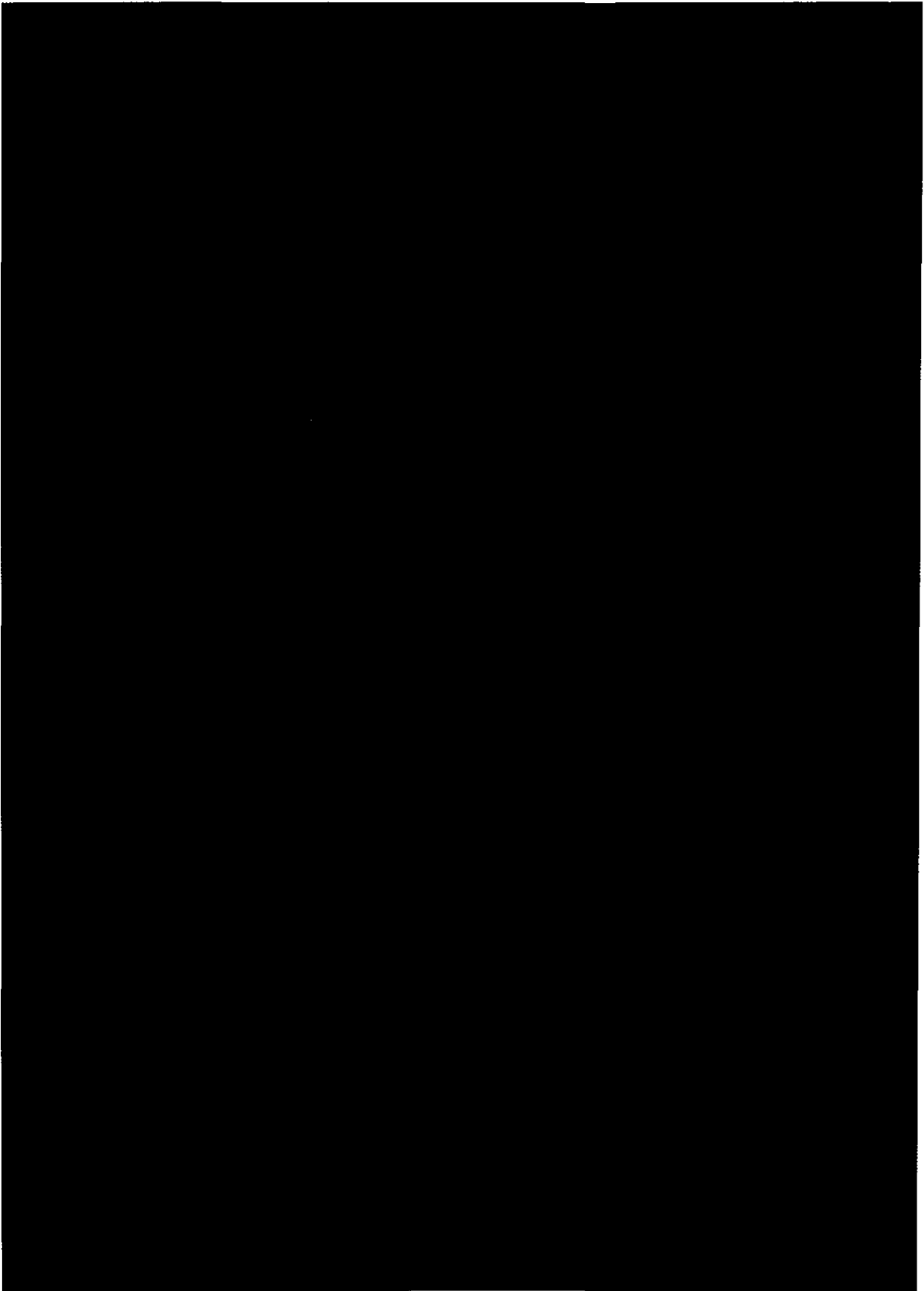
(vi) Steven S. Jones, being the sole member of the board of directors (the "Director") and, together with UPDS Manager, USA GP Sub Member, USA LP, UPS LP Member and UGH Member, the "Authorizing Persons" and each an "Authorized Person") of (a) Guardsmark, LLC ("Guardsmark"), (b) Guardsmark GP, LLC ("Guardsmark GP"), (c) Guardsmark (Puerto Rico), LLC ("Guardsmark (Puerto Rico)") and (d) Guardsmark International, LLC ("Guardsmark International") and, together with UPDS, USA GP Sub, UPSS, Thrive, Transit, SFI, UP GP LLC, UPS LLC, UGH LLC, Guardsmark, Guardsmark GP and Guardsmark (Puerto Rico), the "Companies" and each, a "Company"), each a Delaware limited liability company;

do, in each case, hereby take the following actions by written consent in lieu of a meeting, pursuant to Section 18-302 or 18-404, as applicable, of the Delaware Limited Liability Company Act, adopt the following recitals and resolutions, and direct that this written consent (this "Consent") be entered into the minute book of each of the Companies.









[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

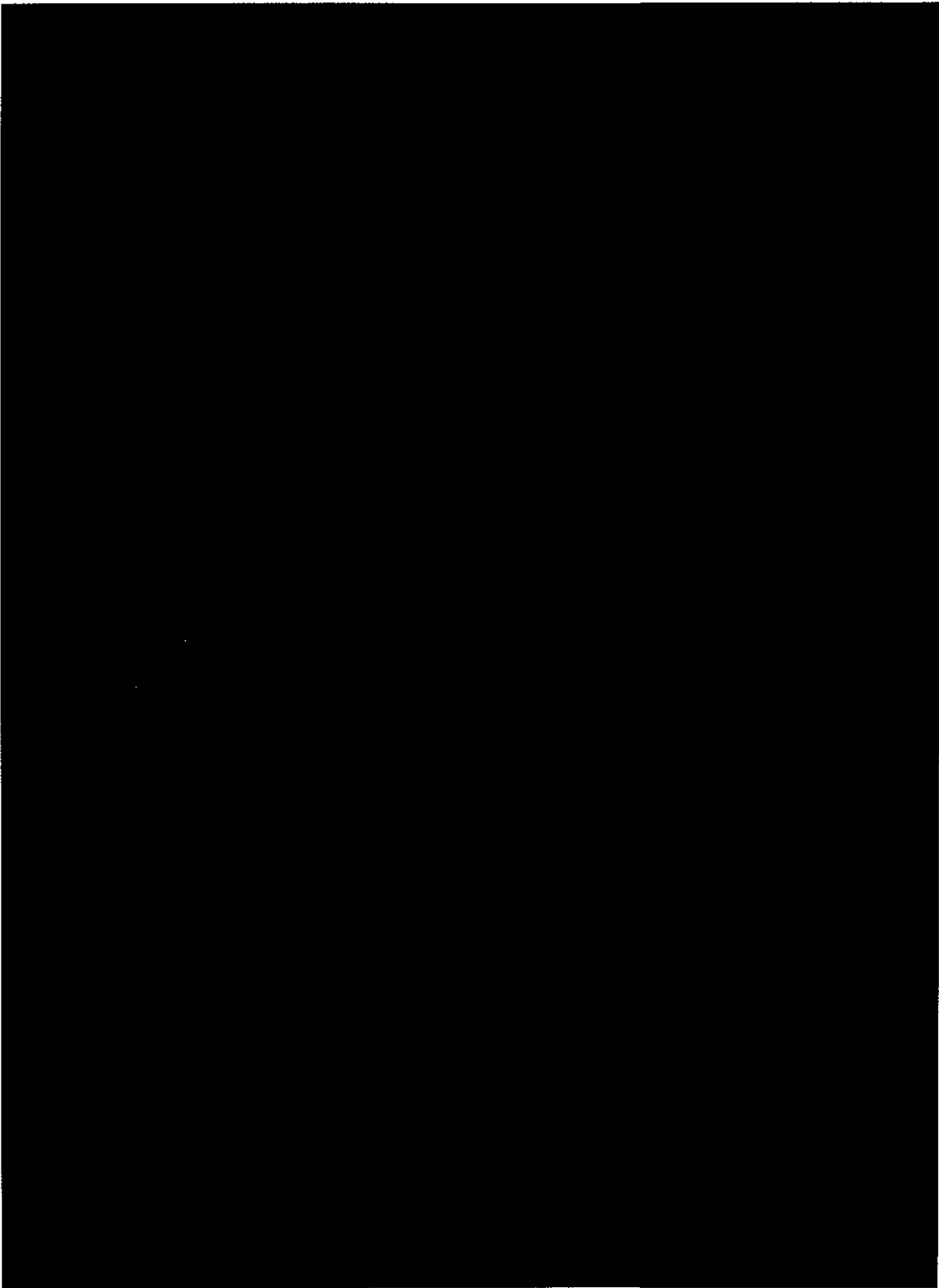
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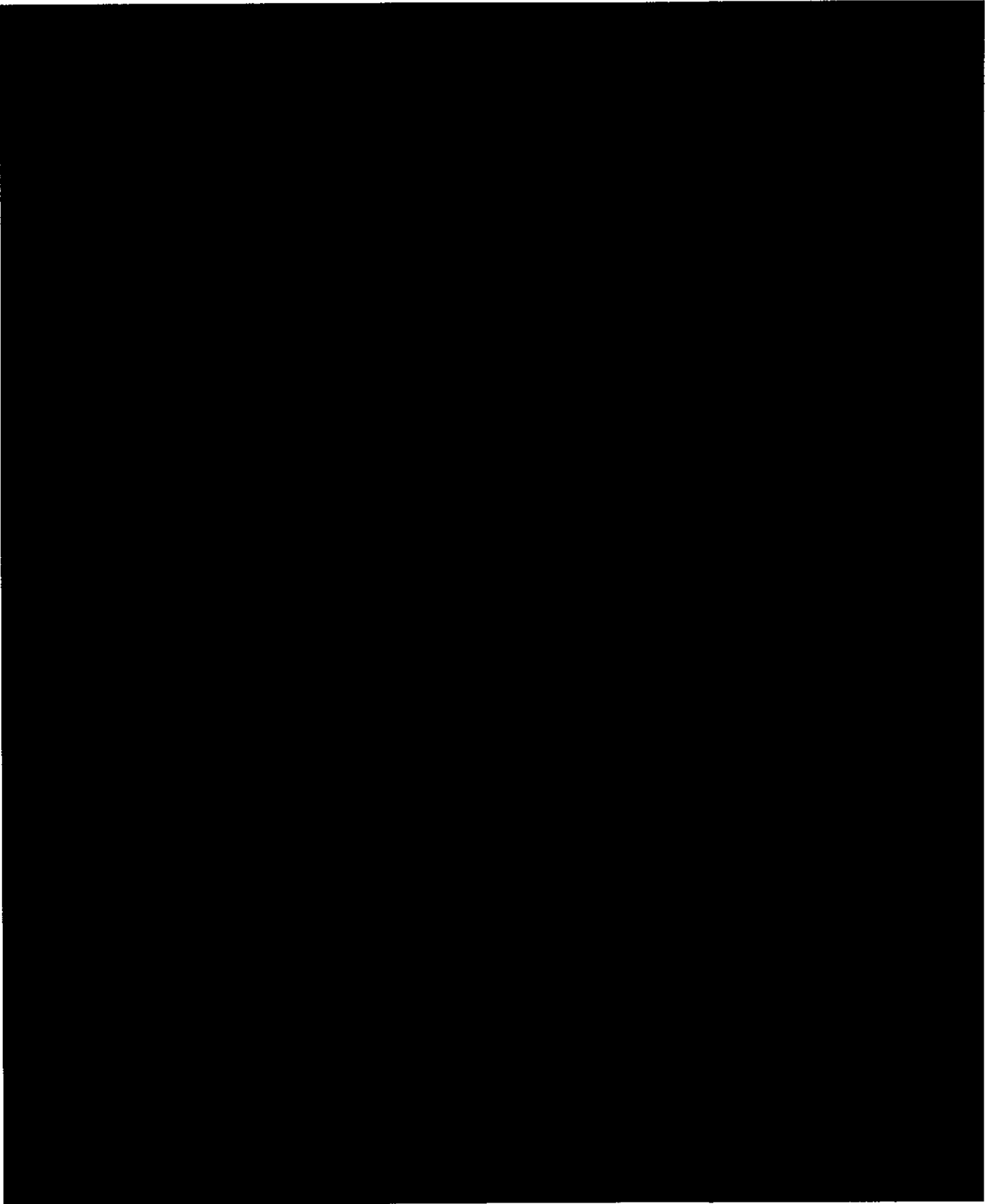
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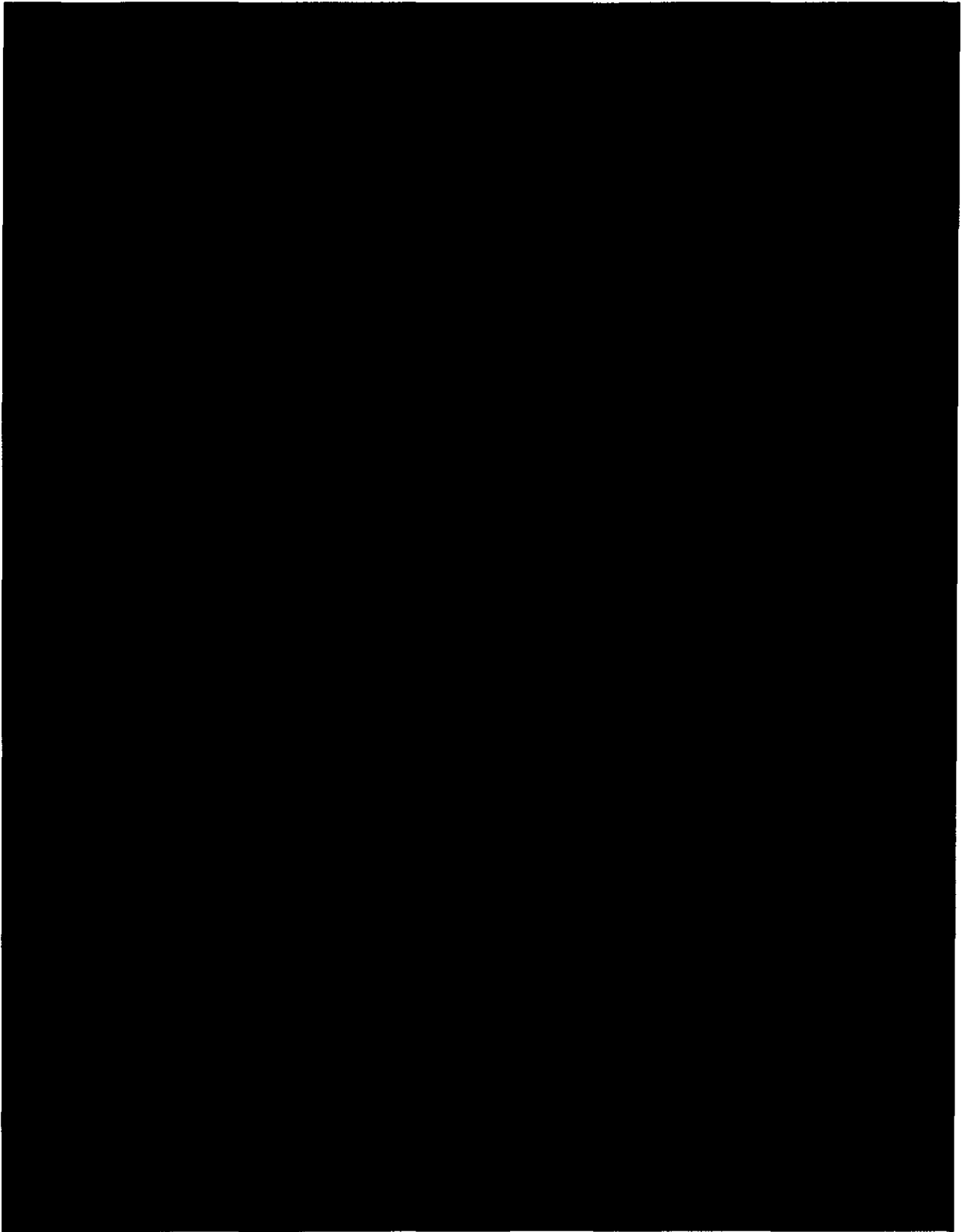
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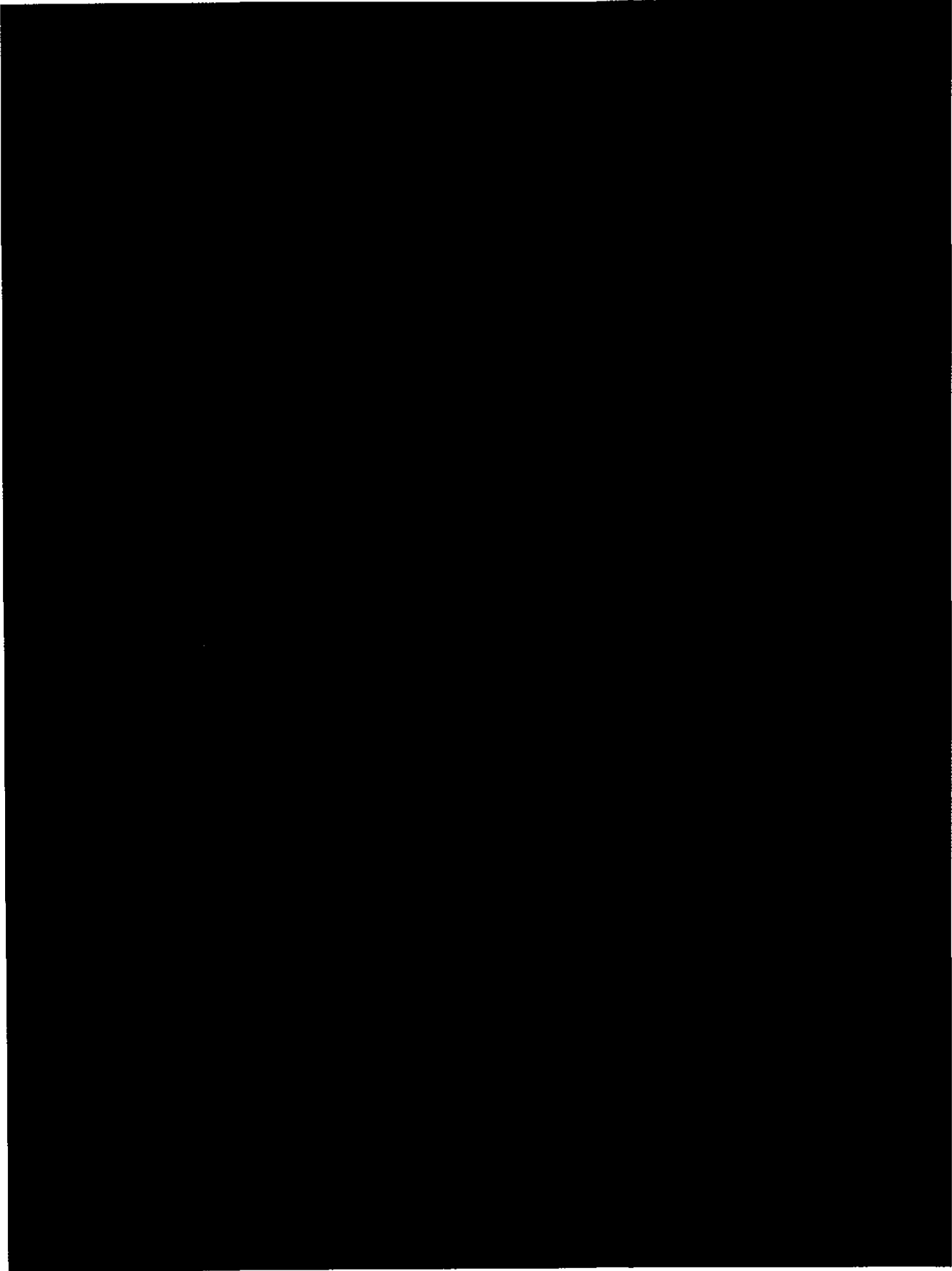
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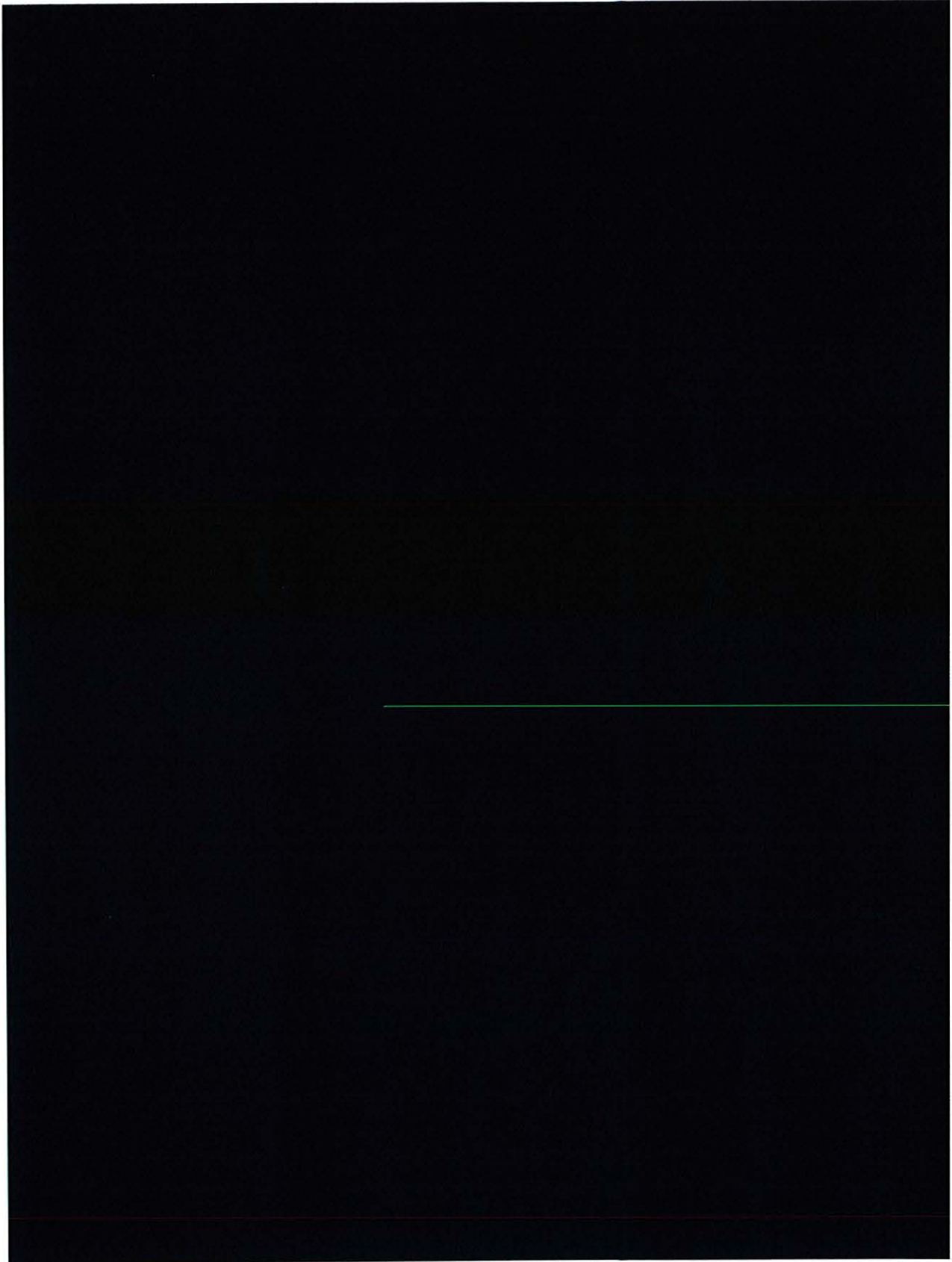


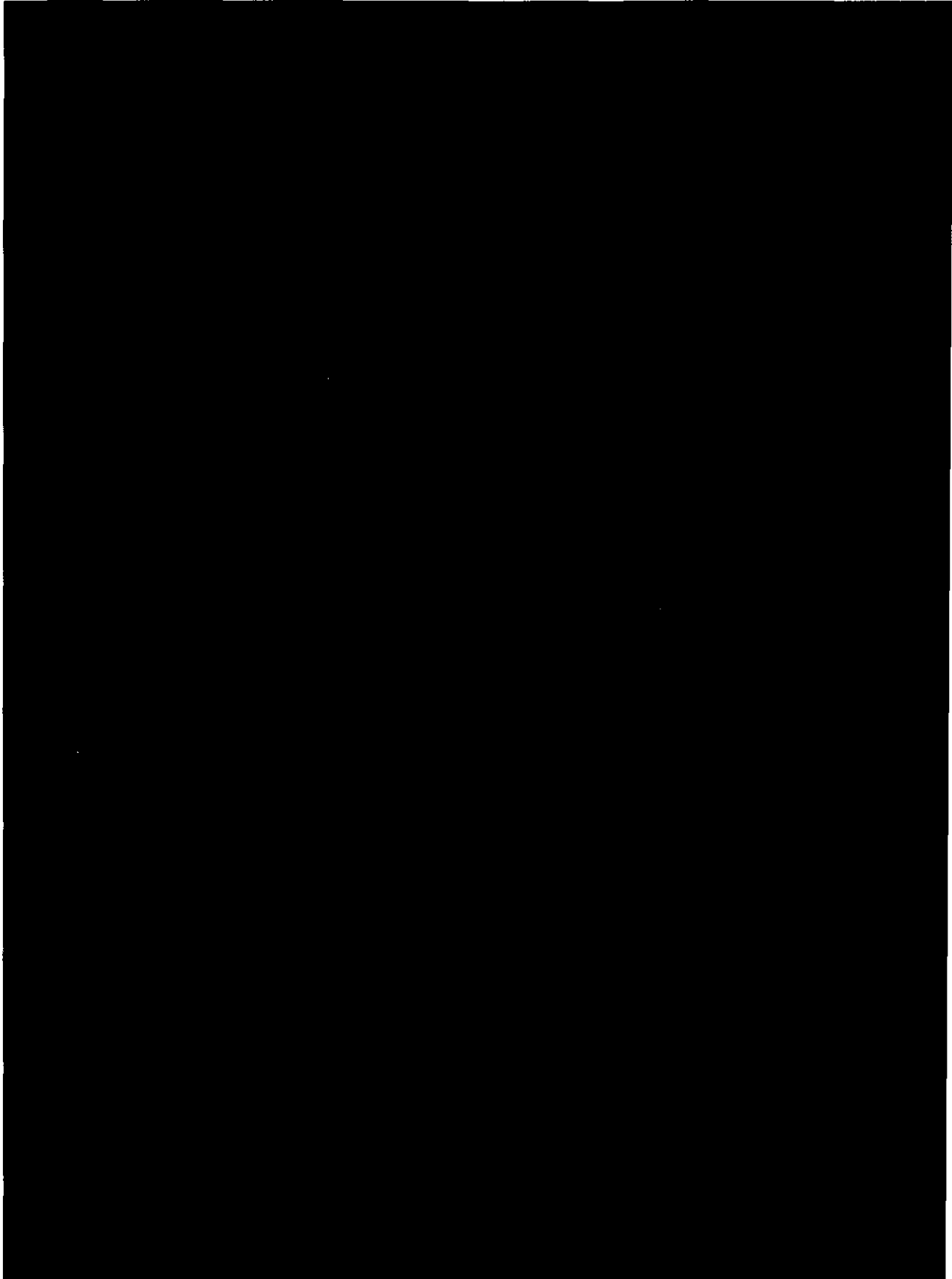


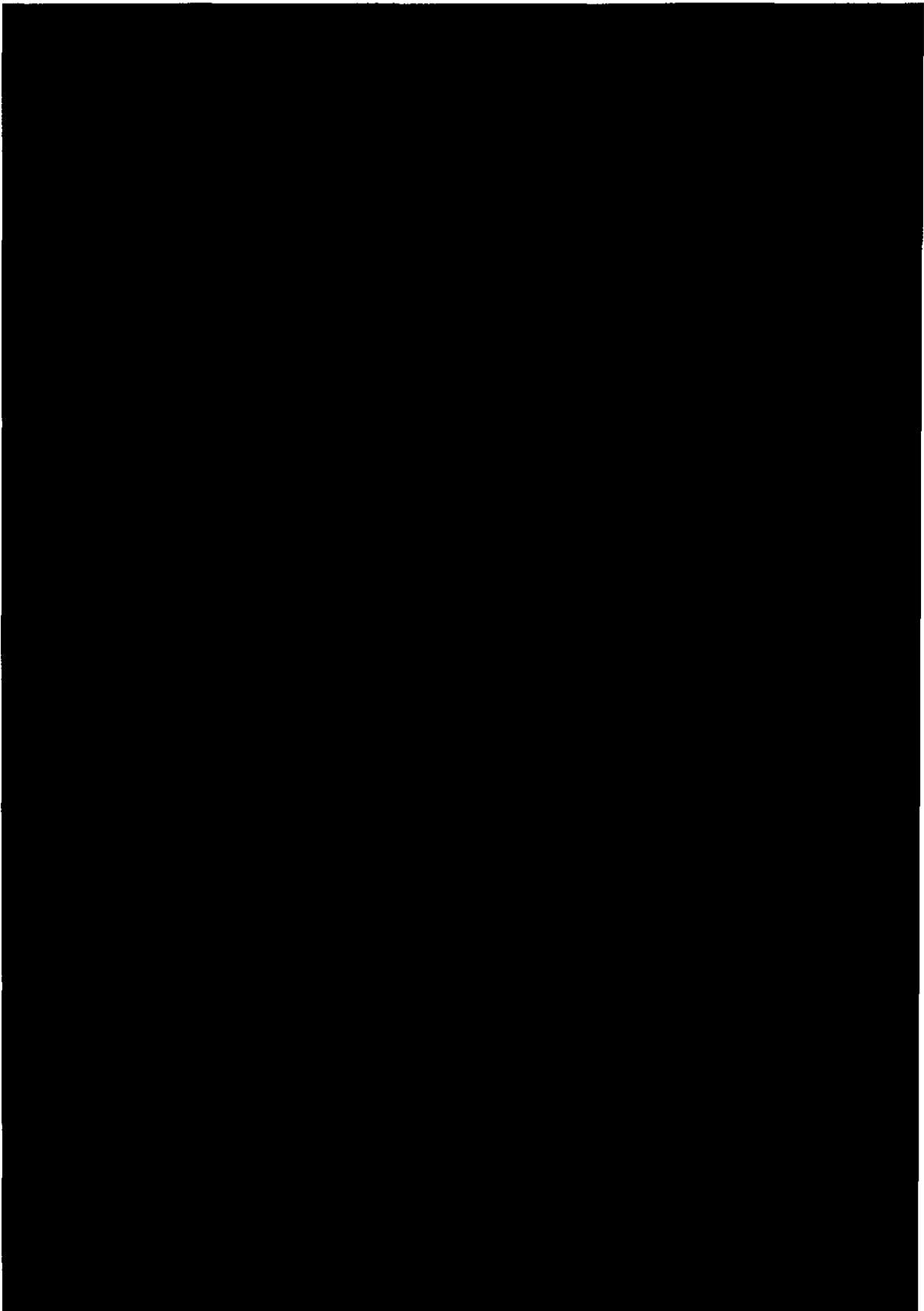


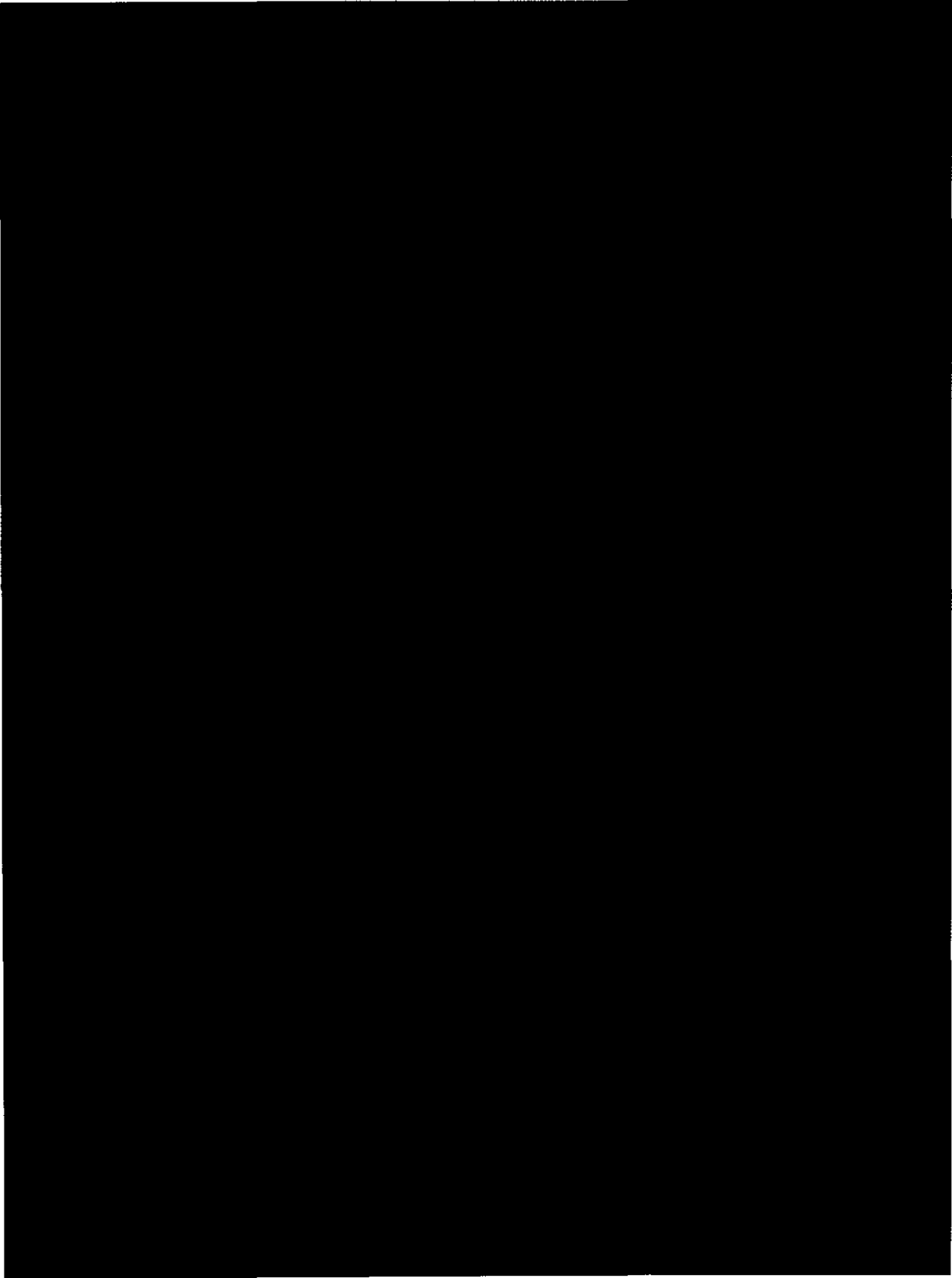












[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

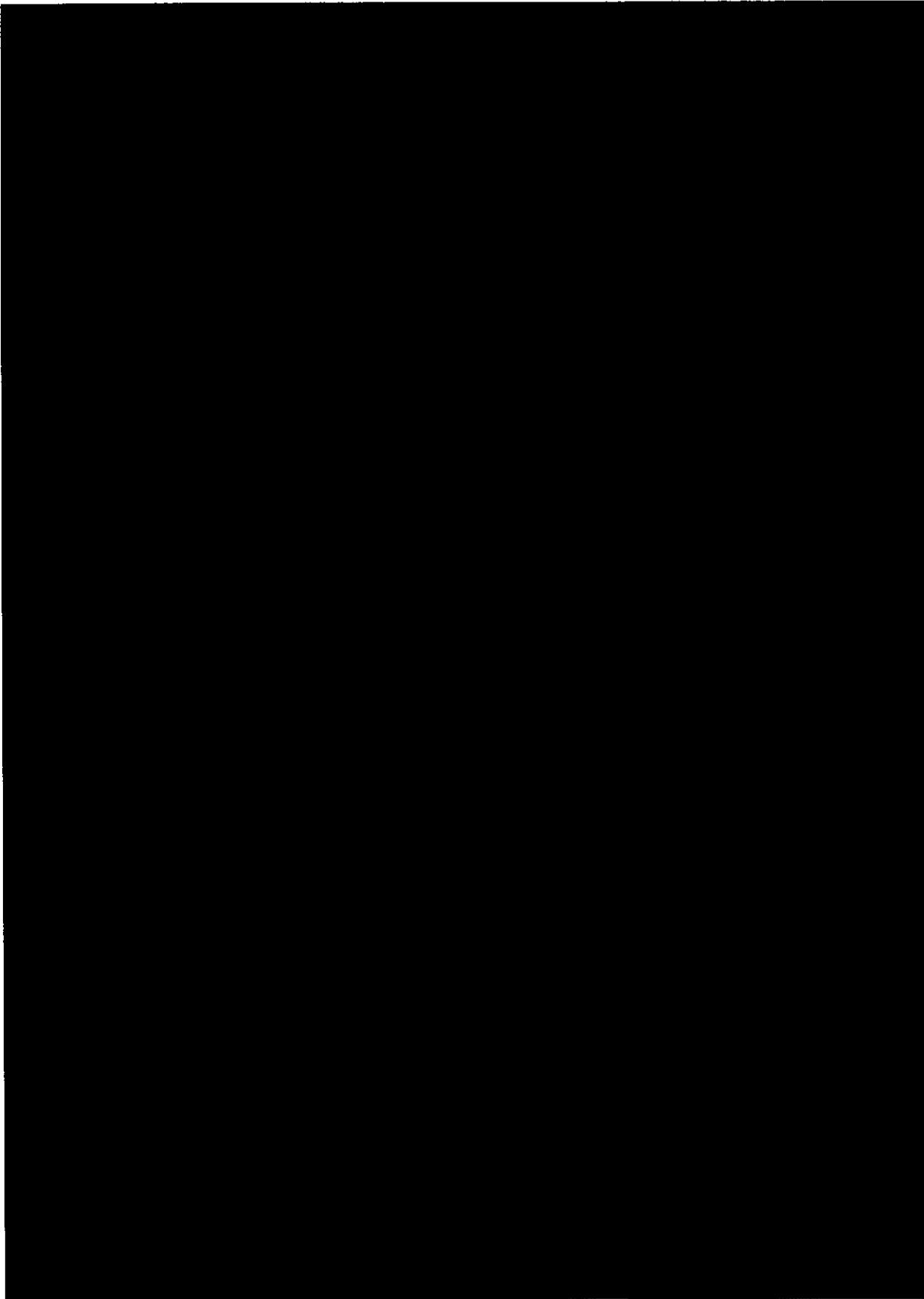
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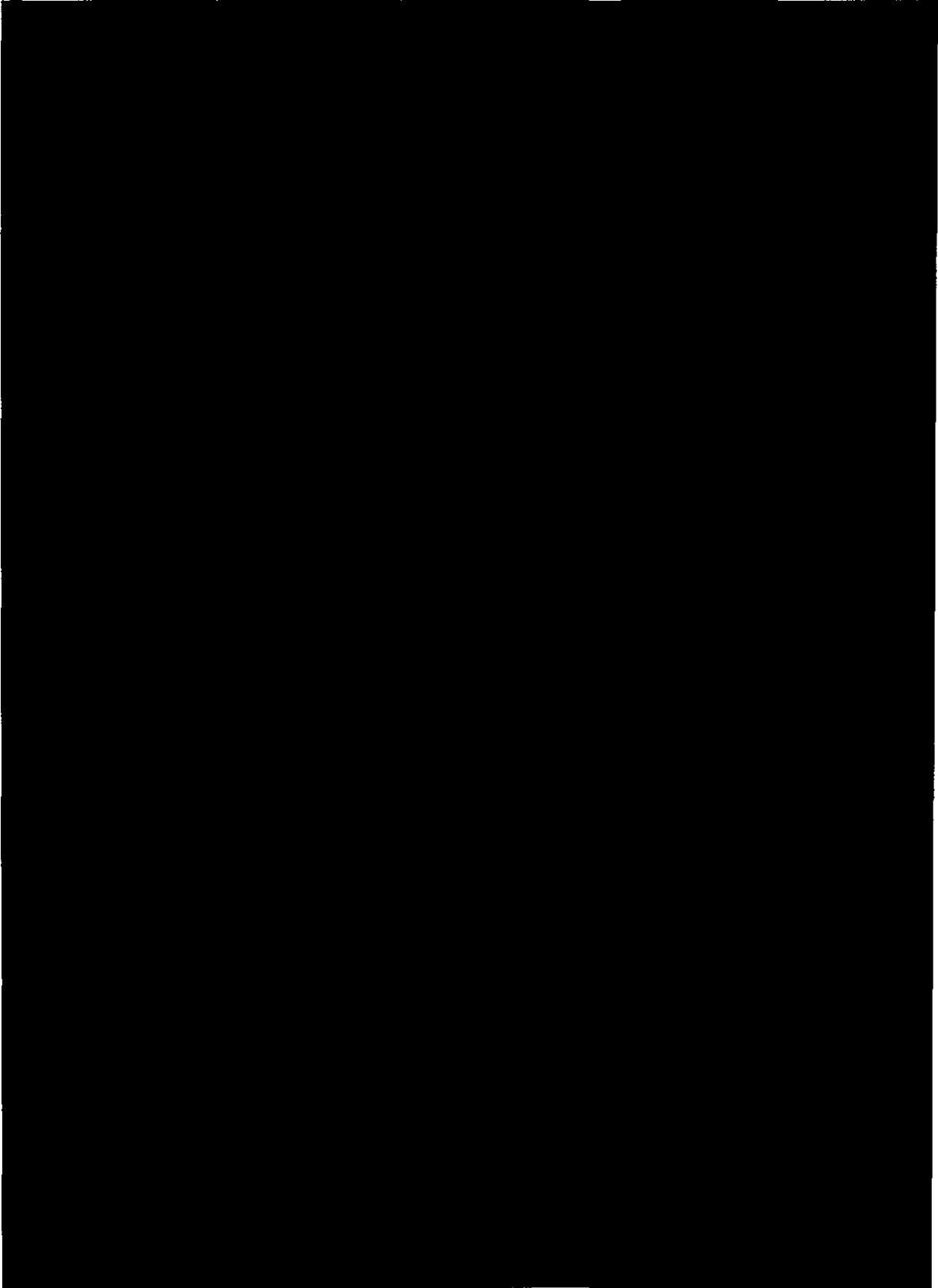
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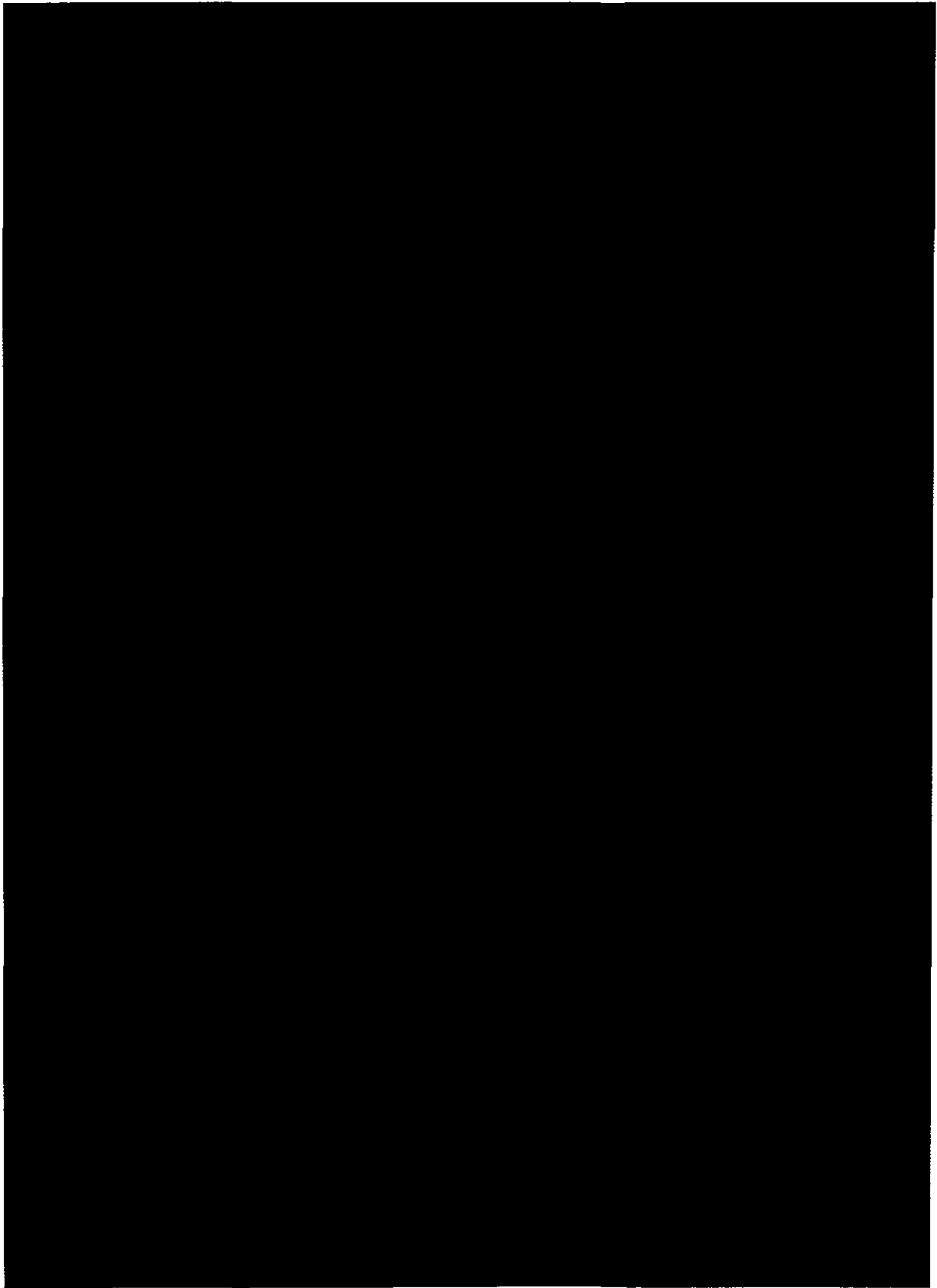
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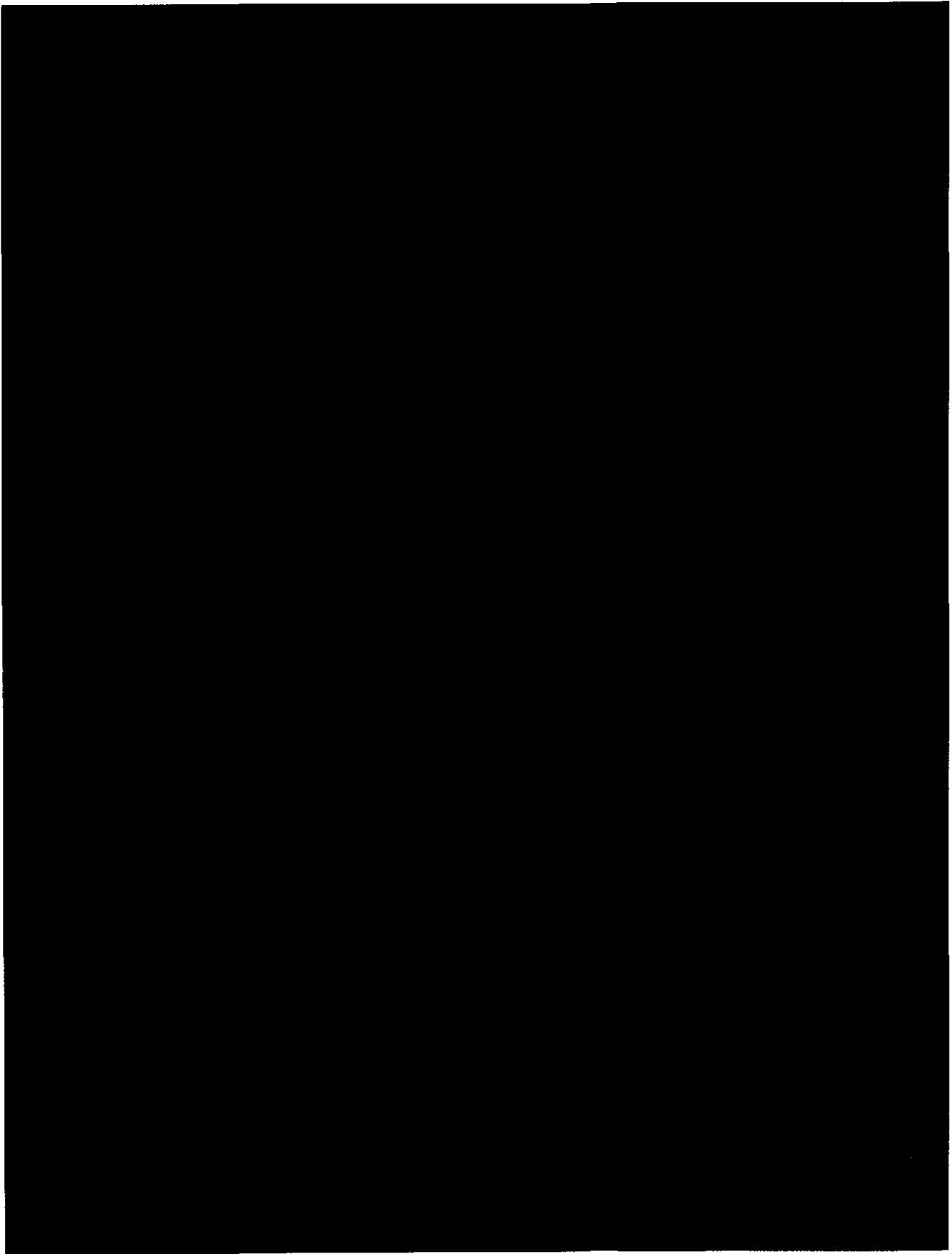
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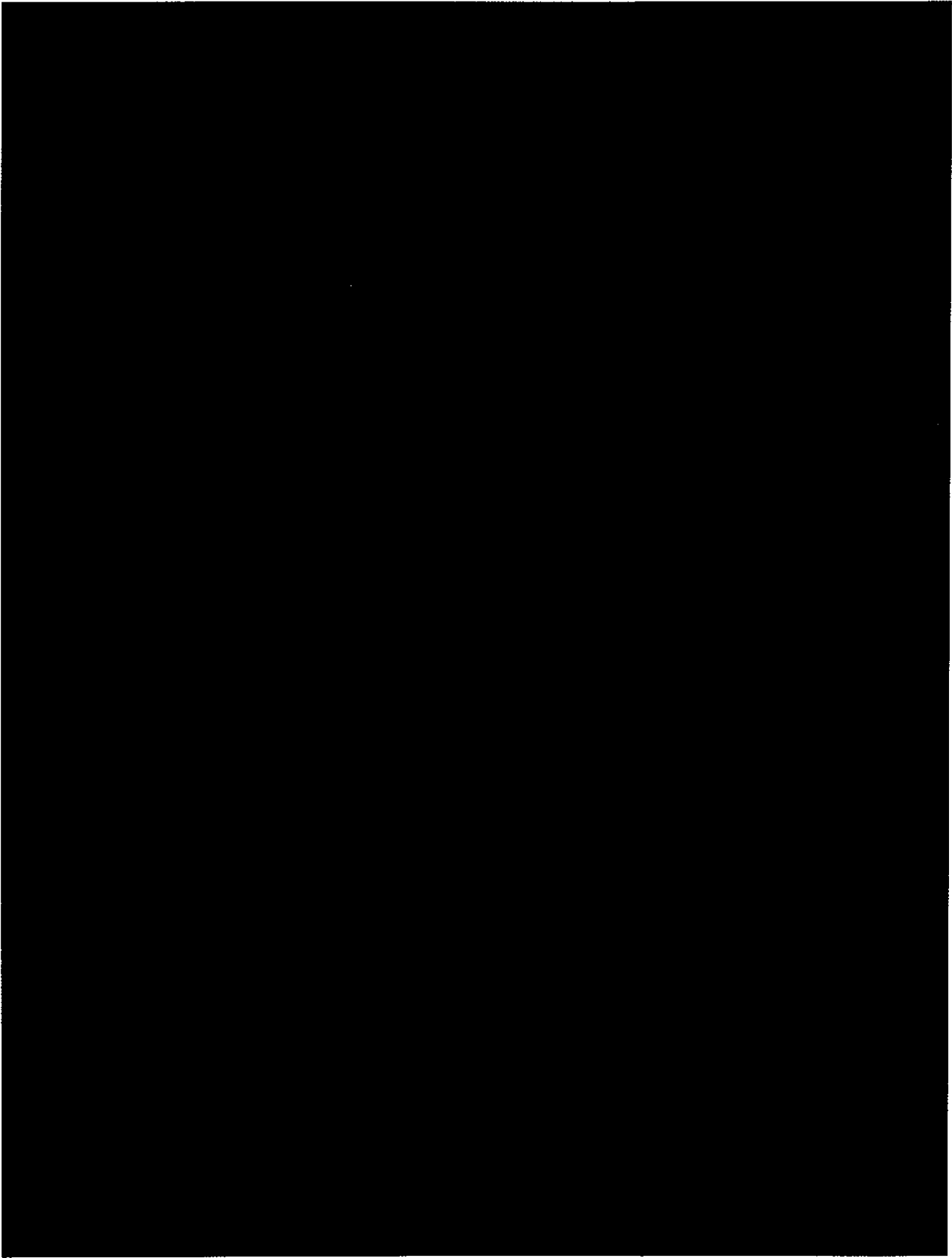


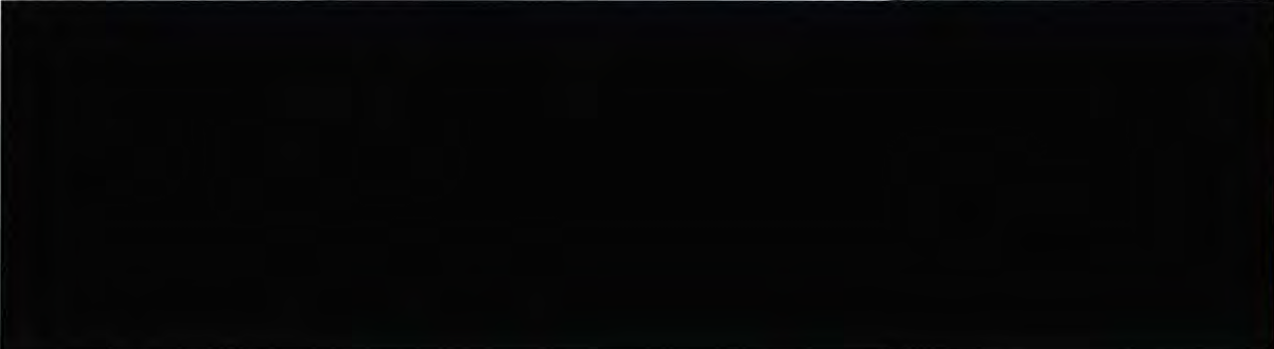









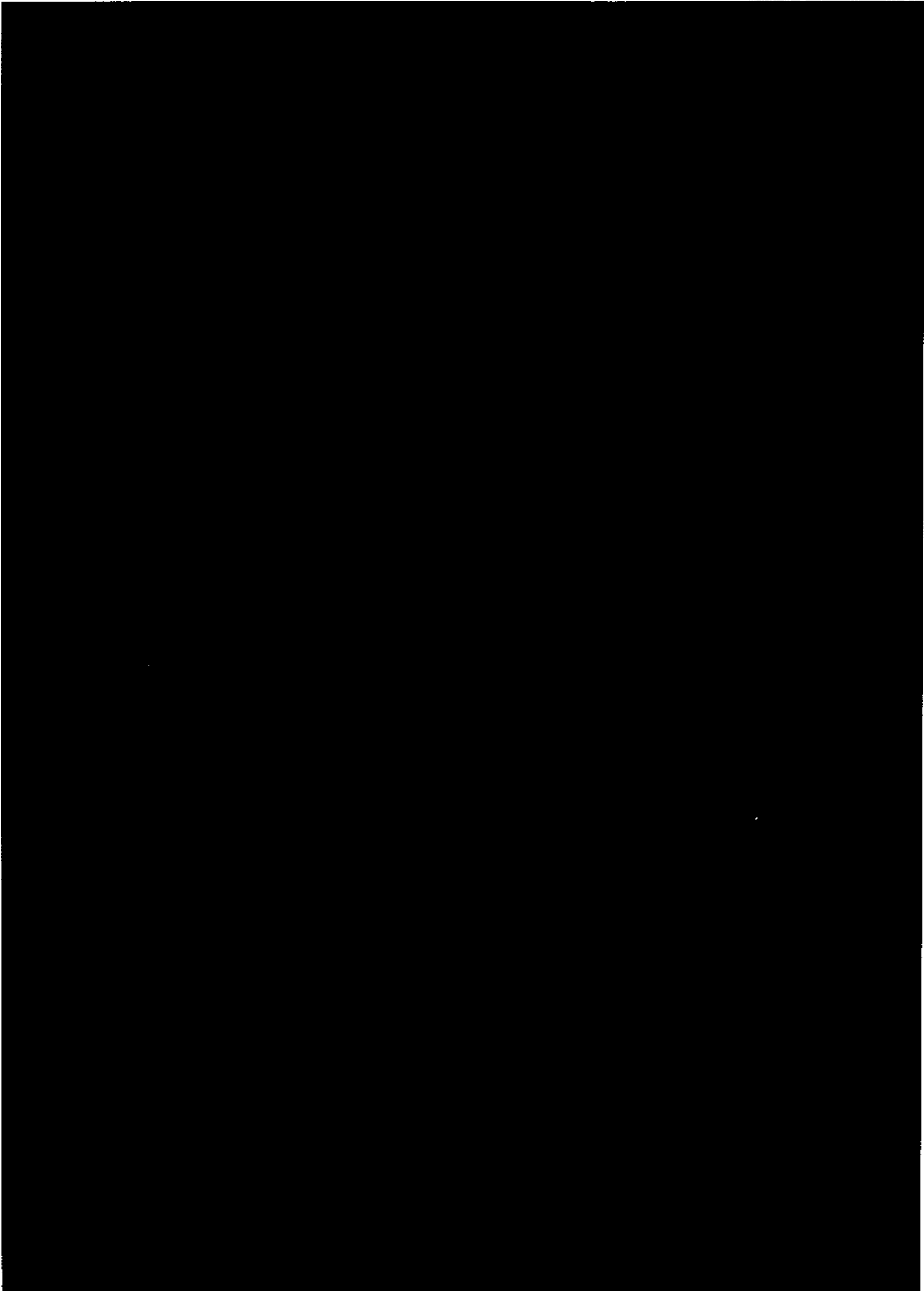


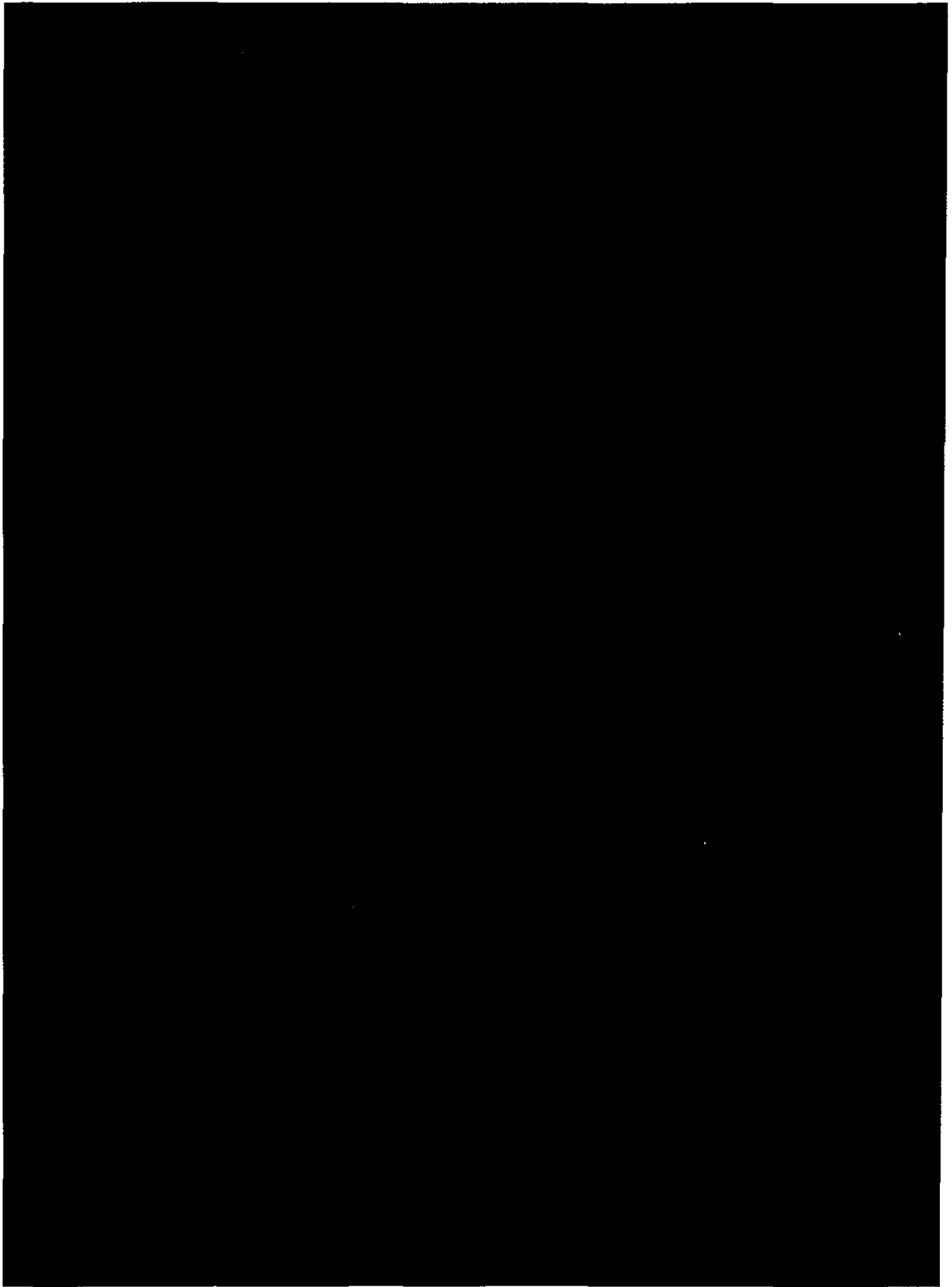


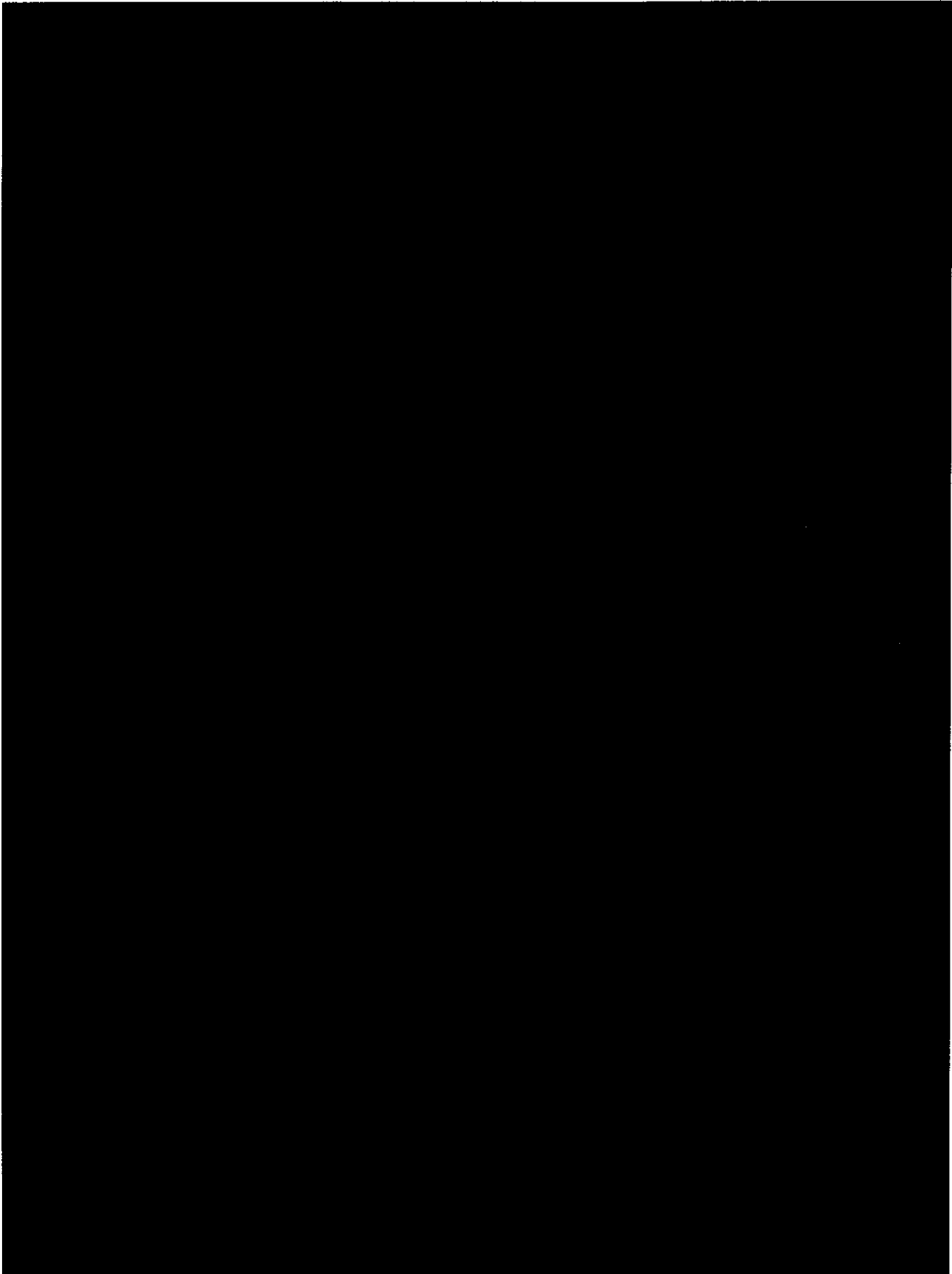
**RESOLVED FURTHER**, that the following individuals be and hereby are elected and/or confirmed as to their prior election to the offices of UPS LLC set forth opposite their respective names to hold office as provided in the UPS LLC Operating Agreement of UPS LLC and until their respective successors shall be elected and qualified:

NAME	TITLE
Steven S. Jones	President and Chief Executive Officer
William A. Torzolini	Senior Vice President, Chief Financial Officer and Treasurer
David I. Buckman	Executive Vice President, General Counsel and Secretary
Frank Schools	Vice President of Finance and Assistant Treasurer
Paula Malone	Vice President and Assistant Secretary
Stephen Martin	Vice President and Assistant Secretary
Nancy Peterson	Assistant Secretary
Ashley Coleman	Assistant Secretary
Michael Smidt	Regional President, Northwest
Steven Claton	Regional President, Southwest
Eliot Hermanson	Regional President, Midwest
Randy Dorn	Regional President, Central
Caress Kennedy	Regional President, Northeast
DelMar Laury	Regional President, Mid-Atlantic
Robert Wood	Regional President, Southeast
Ty Richmond	Regional President, Regional Accounts











*General Authorization*

**RESOLVED FURTHER**, that each Authorized Officer be, and each hereby is, authorized and empowered, acting on behalf of each Company, to perform such acts, including, but not limited to, the payment of fees and expenses in connection with the foregoing, and to prepare, execute and deliver such additional agreements, documents, supplements and instruments, including any filings with any governmental body of any state or nation (including, without limitation, the filing of any mortgages or UCC financing statements), engagement letters, fee letters, side letters, repayment notices, certificates, notes, applications, requests, receipts and stock and note powers, and to obtain such consents and take all other actions as such Authorized Officer may deem necessary or appropriate to implement the provisions of the foregoing resolutions, to consummate the transactions contemplated thereby to ensure performance by each Company of its obligations thereunder and to comply with and carry out the terms and provisions of the Amendment Transactions, the Notes Transactions Documents and any other agreement, document or instrument contemplated by the foregoing resolutions, as contemplated thereby;

**RESOLVED FURTHER**, that each Authorized Officer be, and each hereby is, authorized and empowered to engage such persons as the officers shall in their judgment determine to be necessary or appropriate to carry out fully the intent and purposes of the foregoing resolutions and each of the transactions contemplated thereby and to take all actions necessary or appropriate to cause each Company's obligations to be performed;

**RESOLVED FURTHER**, that each Authorized Officer be, and each hereby is, authorized and empowered, acting on behalf of each Company, to enter into, from time to time, such amendments, modifications, joinders, waivers, consents or supplements of the agreements and documents entered into in connection with any and all of the foregoing resolutions as may, from time to time, be deemed necessary or appropriate;

**RESOLVED FURTHER**, that the omission from these resolutions of any agreement, document or other instrument contemplated by any of the agreements, documents or

instruments described in the foregoing resolutions, or any action to be taken in accordance with any requirement of any of the agreements or instruments described in the foregoing resolutions, shall in no manner derogate from the authority of the Authorized Officers to take all actions necessary, desirable, advisable or appropriate to consummate, effectuate, carry out or further the transactions contemplated by, and the intent and purposes of, the foregoing resolutions;

**RESOLVED FURTHER**, that each Authorized Officer be, and each hereby is, authorized and empowered to delegate the authority granted to any such Authorized Officer in any of the foregoing resolutions to one or more attorneys-in-fact or agents for such Authorized Officer pursuant to a power-of-attorney;

**RESOLVED FURTHER**, that any person dealing with any Authorized Officer in connection with any of the foregoing matters shall be conclusively entitled to rely upon the authority of such Authorized Officer and by his or her execution of any document, agreement or instrument, the same being a valid and binding obligation of each Company enforceable in accordance with its terms; and

**RESOLVED FURTHER**, that any and all actions heretofore taken, all things heretofore done, and all agreements, instruments, reports and documents executed, delivered or filed through the date hereof, by any Authorized Officer in the name of and for and on behalf of the relevant Company in connection with or related to the matters set forth in or contemplated by the foregoing resolutions, including, without limitation, all actions taken in connection with the negotiation, preparation and execution of any instruments or agreements necessary for the consummation of the transactions contemplated in the foregoing resolutions and the documents specified therein, be, and they hereby are, adopted, affirmed, approved, ratified and confirmed in all respects as the acts and deeds of such Company as of the date taken, done, executed, delivered or filed, as the case may be.

This document is a consent of the persons or entities who are, or who upon the effectiveness of this consent will be, the member, manager or director of the Companies. Each of the undersigned hereby consents to, and hereby approves and adopts in all respects, the recitals and resolutions set forth herein. Each of the undersigned has executed this Consent on the date(s) set forth below. This consent shall be effective upon each of the undersigned becoming (to the extent not already) a member, manager or director, as applicable of the relevant Company upon consummation of the Allied Acquisition (the "Effective Time"); provided, however, that if the Effective Time has already occurred before the execution of this consent, this consent shall be effective immediately.

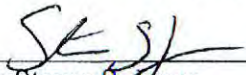
*[Signature page follows]*

This Consent may be executed in one or more counterparts.

**IN WITNESS WHEREOF**, the undersigned hereby consent to, approve and adopt this Consent as of the date below.

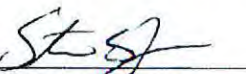
**UNIVERSAL SERVICES OF AMERICA, LP**,  
as the Sole Member of SFI Electronics, LLC,  
Universal Protection GP, LLC and Universal Thrive  
Technologies, LLC

By: USA GP Sub, LLC, its General Partner

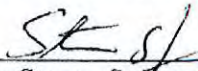
By:   
Name: Steven S. Jones  
Title: Chief Executive Officer  
Date: August 1, 2016

**UNIVERSAL PROTECTION SERVICE, LP**, as  
the Sole Member of Transit Systems Security, LLC,  
Universal Protection Service, LLC and Universal  
Protection Service of Seattle, LLC

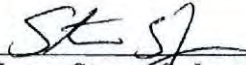
By: Universal Protection GP, LLC, its General  
Partner

By:   
Name: Steven S. Jones  
Title: Chief Executive Officer  
Date: August 1, 2016

**ALLIED UNIVERSAL HOLDCO LLC** (f/k/a  
USAGM Holdco, LLC), as the Managing Member  
of Universal Group Holdings LLC

By:   
Name: Steven S. Jones  
Title: Chief Executive Officer  
Date: August 1, 2016

**STEVEN S. JONES**, as the Manager of Universal Protection Defense Service GP, LLC and a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC

  
\_\_\_\_\_  
Name: Steven S. Jones  
Title: Manager / Director  
Date: August 1, 2016


**WILLIAM A. TORZOLINI**, as a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC

\_\_\_\_\_  
Name: William A. Torzolini  
Title: Director  
Date:

**DAVID I. BUCKMAN**, as a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC

\_\_\_\_\_  
Name: David I. Buckman  
Title: Director  
Date:

**UNIVERSAL GROUP HOLDINGS LLC**, as the Sole Member of USA GP Sub LLC

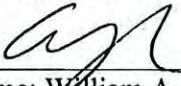
By:   
\_\_\_\_\_  
Name: Steven S. Jones  
Title: Chief Executive Officer  
Date: August 1, 2016

**STEVEN S. JONES**, as the Manager of Universal Protection Defense Service GP, LLC and a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC

---

Name: Steven S. Jones  
Title: Manager / Director  
Date:

**WILLIAM A. TORZOLINI**, as a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC



---

Name: William A. Torzolini  
Title: Director  
Date: August 1, 2016

**DAVID I. BUCKMAN**, as a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC

---

Name: David I. Buckman  
Title: Director  
Date:

**UNIVERSAL GROUP HOLDINGS LLC**, as the Sole Member of USA GP Sub LLC

By: \_\_\_\_\_  
Name: Steven S. Jones  
Title: Chief Executive Officer  
Date:

**STEVEN S. JONES**, as the Manager of Universal Protection Defense Service GP, LLC and a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC

---


Name: Steven S. Jones  
Title: Manager / Director  
Date:

**WILLIAM A. TORZOLINI**, as a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC

---

Name: William A. Torzolini  
Title: Director  
Date:

**DAVID I. BUCKMAN**, as a member of the board of directors of Guardsmark, LLC, Guardsmark GP, LLC, Guardsmark (Puerto Rico), LLC and Guardsmark International, LLC



---

Name: David I. Buckman  
Title: Director  
Date: August 1, 2016

**UNIVERSAL GROUP HOLDINGS LLC**, as the Sole Member of USA GP Sub LLC

By: \_\_\_\_\_  
Name: Steven S. Jones  
Title: Chief Executive Officer  
Date:



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

July 26, 2016

ALLIED UNIVERSAL SECURITY SERVICES, LLC  
1551 N. TUSTIN AVE., STE 650  
SANTA ANA, CA 92705

Subject: **ALLIED UNIVERSAL SECURITY SERVICES, LLC**

REGISTRATION NUMBER: **G16000073407**

This will acknowledge the filing of the above fictitious name registration which was registered on July 26, 2016. This registration gives no rights to ownership of the name.

Each fictitious name registration must be renewed every five years between January 1 and December 31 of the expiration year to maintain registration. Three months prior to the expiration date a statement of renewal will be mailed.

If the mailing address of this business changes, please notify this office in writing, or through the link provided on our website [www.sunbiz.org](http://www.sunbiz.org) for Address & FEI/EIN Changes. Please reference the original registration number.

Should you have any questions regarding this matter you may contact our office at (850) 245-6058.

Lewis S Berger  
Reinstatement Section  
Division of Corporations

Letter No. 916A00015625

[www.sunbiz.org](http://www.sunbiz.org)

Division of Corporations - P.O. BOX 6327 -Tallahassee, Florida 32314



**APPLICATION FOR REGISTRATION OF FICTITIOUS NAME**

Note: Acknowledgements/certificates will be sent to the address in Section 1 only.

2016 JUL 26 PM 12:27

Section 1

1. Allied Universal Security Services, LLC  
Fictitious Name to be Registered (see instructions if name includes "Corp" or "Inc")

---

1551 N. Tustin Ave., Ste 650  
Mailing Address of Business

Santa Ana CA 92705  
City State Zip Code

3. Florida County of principal place of business: \_\_\_\_\_  
Hillsborough - Multiple  
(see instructions if more than one county)

FEI Number: 56-0515447

G16000073407  
07/26/16--01001--013 \*\*\$50.00

This space for office use only

Section 2

**A. Owner(s) of Fictitious Name If Individual(s): (Use an attachment if necessary):**

1. \_\_\_\_\_ 2. \_\_\_\_\_  
Last First M.I. Last First M.I.

\_\_\_\_\_  
Address Address

\_\_\_\_\_  
City State Zip Code City State Zip Code

**B. Owner(s) of Fictitious Name If other than an individual: (Use attachment if necessary):**

1. Universal Protection Service, LLC 2. \_\_\_\_\_  
Entity Name Entity Name

1551 N. Tustin Ave., Ste 650  
Address Address

Santa Ana CA 92705  
City State Zip Code City State Zip Code

Florida Document Number M12000000566 Florida Document Number \_\_\_\_\_

FEI Number: 56-0515447 FEI Number: \_\_\_\_\_

Applied for  Not Applicable  Applied for  Not Applicable

Section 3

I the undersigned, being an owner in the above fictitious name, certify that the information indicated on this form is true and accurate. In accordance with Section 865.09, F.S., I further certify that the fictitious name to be registered has been advertised at least once in a newspaper as defined in chapter 50, Florida Statutes, in the county where the principal place of business is located. I understand that the signature below shall have the same legal effect as if made under oath.

Swathi Staley 7/20/16 swathi.staley@universalpro.com  
Signature of Owner Date E-mail address: (to be used for future renewal notification)

Phone Number: 224-315-8552

Section 4

**FOR CANCELLATION COMPLETE SECTION 4 ONLY:  
 FOR FICTITIOUS NAME OR OWNERSHIP CHANGE COMPLETE SECTIONS 1 THROUGH 4:**

I (we) the undersigned, hereby cancel the fictitious name \_\_\_\_\_  
 \_\_\_\_\_, which was registered on \_\_\_\_\_ and was assigned  
 registration number \_\_\_\_\_

\_\_\_\_\_  
Signature of Owner Date Signature of Owner Date

Mark the applicable boxes  Certificate of Status — \$10  Certified Copy — \$30

**NON-REFUNDABLE PROCESSING FEE: \$50**



*There for you.*

## Seaport Specific Qualifications

Allied Universal knows that the Port scope of work requires a contractor that has the experience and past performance to demonstrate that the contractor has the ability to perform the services on day one. Of major importance within this area of responsibility is the need for highly qualified (TWIC-credentialed) security officers, highly skilled personnel working interchangeably within the Port and each post, providing a daily deterrent against unauthorized, illegal, or potentially life-threatening activities directed towards passengers, employees, visitors, businesses, information, programs, resources, and property at the Port.

Paramount to the successful continued operation of the Seaport Security Services program is the contractor's capability to provide for the security and safety of its staff, businesses, visitors, property, and other assets. Other considerations that make these requirements unique include:

- Experience in managing security operations; providing screening services; patrolling of terminals, piers, wharfs, office buildings and seaports properties like Port Everglade's security program nationwide. Allied Universal works under potentially stressful conditions while providing a high level of service to the public; including, Cruise Lines, City and County agencies, property owners, visitors, and other members of the seaport community.
- Allied Universal has the depth and size to manage, recruit and retain Customer Service Oriented, Security Focused Personnel, with extensive local offices and experienced teams.
- Flexibility to meet the changing regulatory and service requirements to secure the nation's critical seaport transportation facilities.

We recognize the unique qualifications that it takes to provide specialized security expertise throughout an integrated and complex seaport environment. Allied Universal has significant seaport experience including:

- Extensive **screening services at highly secure facilities and seaports.**
- Specialized **cruise ship passenger screening experience.**
- Proven security expertise at **large MTSA-regulated seaport facilities**
- Industry commitment to **stay current with changing and emerging regulatory standards**
- **Expertise in managing complex traffic flow** associated with seaport traffic
- **Expertise in securing seaport security gates** including complex credential control and issuance
- Experience **patrolling a large seaport geographical area including terminals, piers and wharfs**
- Experience securing the **complexities of a changing environment associated with seaports**
- Expertise **monitoring Closed Circuit Television (CCTV) cameras**

Allied Universal has extensive past performance and seaport experience that qualifies us to provide Security Officer Services for seaports throughout North America. Allied Universal delivers contracted managed security services to more than 600 port, municipal, county, state and federal facilities, accounting for more than 25 million hours of comprehensive security and screening services per year; Allied Universal secures approximately 100 facilities complying with MTSA regulations. Each contracted program has customized key performance indicators, locally managed services, 24-hour communication with Allied Universal, and detailed post orders backed by some of the industry's highest standards.



There for you.

The infographic features a blue arrow at the top pointing right, containing 11 icons representing various security services: Electronic Reporting & Quality Assurance, Escorting Non-TWIC Badged Personnel, Access Control & TWIC Verification, Credentialing & Badging, Liaison with Law Enforcement & Federal Agencies, Checking Cargo Container Seals, CCTV & Alarm Monitoring, Roaming Patrols, Curbside Security & Traffic Control, Walk-thru Metal Detector Screening, Security Screening, and X-ray Screening.

Below the arrow, a map of the United States is shown with icons for Pacific Ocean, Gulf of Mexico, and Atlantic Ocean. To the right of the map are several industry commitment logos: AAPA (American Association of Port Authorities), ASIS International, NASCO (National Association of Security Companies), and AlliedBarton Advantage. A 'Proud Partner: PORTSTRI' logo is also present.

Statistics are displayed in a blue box: 3,000 TWIC CLEARED OFFICERS. Below this, a graphic shows a ship and a crane with the text: 3.6 MILLION annual hours securing MTSA-regulated facilities nationwide; 10 of the largest seaport facilities complying with title 33 cfr parts 101 and 105 security regulations; and 2 cruise ship passenger screening ports.

Since the inception of the Maritime Transportation Security Act (MTSA), Allied Universal has been actively involved in providing security services to our maritime partners and assisting them with meeting all the US Coast Guard (USCG)-mandated security regulations. The Company has documented experience and significant resources committed to clients regulated under the MTSA. The majority of this work is provided at high-risk facilities located on active waterways with full port and docking facilities that serve barges and foreign-flagged vessels and crews.

The MTSA and the International Ship and Port Security Code (ISPS) present unique challenges to maritime industry owners, cruise vessels, and facility operators. Allied Universal provides complete and cost-effective security services for cargo vessels, ferries, and cruise ships, as well as facilities, including vessel and facility security assessments and plans. Allied Universal can design and execute an effective security program while providing seamless, cost-effective integration to existing Port operations.

Allied Universal's security professional's dock-side responsibilities include facility access control, foot and mobile patrols, camera and alarm monitoring, incident reporting, report writing, escorting non-personnel when required and escorting of emergency response personnel. At some locations, Allied Universal MARSEC-certified officers provide armed support to the United States Coast Guard (USCG) and other agencies during investigations of suspicious vessels and during safety inspections. Security officers routinely maintain logs and other legally required documentation.

- Vast Seaport Experience including Access Control & Cruise Ship Passenger Screening** – Allied Universal secures **3.6 MILLION annual hours at MTSA-regulated facilities nationwide**. We service approximately 100 maritime facilities complying with title 33 cfr parts 101 and 105 security regulations with **ten (10) of the largest maritime seaports across the country**. Allied Universal services numerous clients supporting critical infrastructure at seaports including the **Port of San Diego** in California, **Port of Miami and Disney Cruise Lines** in Miami, FL, **Port of Houston and Port of Texas City** in Texas, **Port Everglades** in Ft. Lauderdale Florida; **Port of Hueneme** in Oxnard,



*There for you.*

California; **Port of Charleston** for the **South Carolina State Port Authority**, **Port of Baltimore** for the **Maryland Port Administration**, **City of New York/Department of Transportation - Staten Island Ferry** (transports approximately 68,000 people per day); **Crowley Liner Services** at Port Everglades/Port of Jacksonville, and **Delaware River Port**; **Marine Terminal Security Services Franchise** at **Port Everglades**; **JM Family** at the Port of Jacksonville and **Kinder Morgan** at **Port of Fernandina**.

- **Large Volume Screening Experience** – Allied Universal provides a wide range of unarmed and armed security and screening services at various government facilities, including the following clients with similar scope of work requirements: **Port of San Diego**; **Disney Cruise Lines**; **The International Bank for Reconstruction and Development (The World Bank)**, **International Monetary Fund (IMF)**; **Miami International Airport** (where we perform all non-TSA Airport Screening); **Department of Homeland Security/Federal Protective Service**, **County of Los Angeles**, **FedEx** and many others.
- **Extensive Presence with Large Local Security Operations throughout North America** – Allied Universal, is the pre-eminent provider of the most qualified, best trained safety and security ambassadors to business improvement districts nationwide. Since its founding in 1957, Allied Universal has grown to service more than 3,700 clients with more than 150,000 safety and security professionals operating from 150 district offices, with over 10,500 security officers in Florida alone.
- Allied Universal's Strategic Partnership with **PORTstar**, the premier provider of maritime training, ensures Allied Universal employees are meeting all industry-related training requirements. This port training program is very extensive and a true differentiator for Allied Universal in the port market. It is also a great addition to our current training programs.
- **Safe Passage International** - The Screener Certification Course will be computer based software technology through Safe Passage International, which will cover all areas of screener requirements. This training will be annual, and will be tracked via compliance alerts within the Winteam system.
- **District offices are staffed with dedicated training managers:** Certified in providing training for Maritime Security, Vehicle Searches, Patrol Techniques, Terrorism Awareness, Self Defense, Management of Aggressive Behavior, Defensive Tactics, First Aid, CPR, AED, and First Responder Services.
- **Technology, System Integration:** CyCop® is Allied Universal's unique, patented security professional supervision and reporting technology. Each security professional is monitored through CyCop® via a Smartphone, which is in turn supervised by Allied Universal's 24-hour Command Center. CyCop® enables Allied Universal and our clients to always know where their security officer is located at any time of the day or night. It keeps each security officer accountable for their duty and performance, and provides complete transparency and accountability from Universal to our clients. Additionally, improved communication with 24/7 Command Center/Dispatch, WinTeam payroll, billing, and scheduling software, and background screening all provide advantages for our customers.





# CERTIFICATE OF LIABILITY INSURANCE

EXHIBIT 4

Page 77 of 210  
DATE (MM/DD/YYYY)  
04/21/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> MARSH USA INC 1717 Arch Street Philadelphia, PA 19103 Attn: Philadelphia.certs@marsh.com / Fax: (212) 948-0360  022721-ALL-GAXWP-16-17	<b>CONTACT NAME:</b> _____ <b>PHONE (A/C, No, Ext):</b> _____ <b>FAX (A/C, No):</b> _____ <b>E-MAIL ADDRESS:</b> _____													
	<table border="1"> <thead> <tr> <th>INSURER(S) AFFORDING COVERAGE</th> <th>NAIC #</th> </tr> </thead> <tbody> <tr> <td>INSURER A : Lexington Insurance Company</td> <td>19437</td> </tr> <tr> <td>INSURER B : Greenwich Insurance Company</td> <td>22322</td> </tr> <tr> <td>INSURER C : XL Insurance America</td> <td>24554</td> </tr> <tr> <td>INSURER D : Lloyds of London - Apollo Consortium</td> <td></td> </tr> <tr> <td>INSURER E :</td> <td></td> </tr> <tr> <td>INSURER F :</td> <td></td> </tr> </tbody> </table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A : Lexington Insurance Company	19437	INSURER B : Greenwich Insurance Company	22322	INSURER C : XL Insurance America	24554	INSURER D : Lloyds of London - Apollo Consortium		INSURER E :		INSURER F :
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INSURER D : Lloyds of London - Apollo Consortium														
INSURER E :														
INSURER F :														

**COVERAGES**                      **CERTIFICATE NUMBER:** CLE-005208460-04                      **REVISION NUMBER:** 3

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  <input checked="" type="checkbox"/> SIR \$1,750,000  GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER: _____		023058098	11/01/2016	11/01/2017	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 2,000,000 MED EXP (Any one person) \$ _____ PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 \$ _____
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS		RAD9437818	11/01/2016	11/01/2017	COMBINED SINGLE LIMIT (Ea accident) \$ 2,000,000 BODILY INJURY (Per person) \$ _____ BODILY INJURY (Per accident) \$ _____ PROPERTY DAMAGE (Per accident) \$ _____ \$ _____
D	<input type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED \$ _____ RETENTION \$ _____		B0509BOWCN1600888	11/01/2016	11/01/2017	EACH OCCURRENCE \$ 10,000,000 AGGREGATE \$ 10,000,000 \$ _____
C	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N N/A	RWD3001203 (AOS) RWR3001204 (AK & WI)	10/28/2016 10/28/2016	11/01/2017 11/01/2017	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
A	PROFESSIONAL LIABILITY		023058098	11/01/2016	11/01/2017	LIMIT 2,000,000 COMBINED WITH GL LIMIT

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
Broward County, Port Everglades & Fort Lauderdale Airport are included as additional insured where required by written contract with respect to General Liability and Auto Liability. Liability coverage shall be primary and non-contributory where required by written contract. Waiver of subrogation is applicable where required by written contract.

**CARLOS DE LA GUERRA**  
**RISK MANAGEMENT & CONSULTANTS**  
**BUSINESS ADMINISTRATION DIVISION**  
**PORT EVERGLADES**

<b>CERTIFICATE HOLDER</b> Broward County, Port Everglades & Fort Lauderdale Airport Attn: Mr. Sam Harvill 1850 Eiler Drive Fort Lauderdale, FL 33316	<b>CANCELLATION</b> SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE of Marsh USA Inc. Manashi Mukherjee <i>Manashi Mukherjee</i>
--	---

AGENCY CUSTOMER ID: 022721

LOC #: Philadelphia



**ADDITIONAL REMARKS SCHEDULE**

Page 2 of 2

<b>AGENCY</b> MARSH USA INC		<b>NAMED INSURED</b> Allied Universal Topco, LLC (See Attached for Additional Named Insureds) 161 Washington Street, Suite 600 Conshohocken, PA 19428	
<b>POLICY NUMBER</b>		<b>EFFECTIVE DATE:</b>	
<b>CARRIER</b>	<b>NAIC CODE</b>		

**ADDITIONAL REMARKS**

**THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,**  
**FORM NUMBER:** 25 **FORM TITLE:** Certificate of Liability Insurance

Additional Named Insureds  
 Universal Services of America, LP  
 Universal Protection Service, LP  
 Universal Protection Service, LLC  
 Universal Protection Service of Seattle LLC  
 Universal Protection Security Systems, LP  
 SFI Electronics, LLC, dba Universal Protection Security Systems  
 Universal Thrive Technologies, LLC  
 Universal Building Maintenance, LLC  
 Peoplemark, LLC  
 Universal Protection Service of Canada Co., dba Allied Universal Security Services of Canada  
 Universal Protection Service of Canada Co., dba Allied Universal Security Services of Canada Co.  
 Guardsmark (Puerto Rico), LLC, dba Universal Protection Service, LLC  
 Guardsmark (Puerto Rico), LLC, dba Allied Universal Security Services, LLC  
 AB Capital Holdings, LLC, dba Allied Universal Security Services  
 Allied Security Holdings LLC, dba Allied Universal Security Services  
 AlliedBarton Security Services LP, dba Allied Universal Security Services  
 AlliedBarton Security Services LLC, dba Allied Universal Security Services  
 Spectaguard Acquisition LLC, dba Allied Universal Security Services  
 AB Intermediate Holdings, Inc., dba Allied Universal Security Services  
 C&D Enterprises, Inc.  
 AlliedBarton (NC) LLC, dba Allied Universal Security Services  
 Universal Protection Service, LLC, dba Allied Universal Security Services, LLC  
 Universal Protection Service, LLC, dba Allied Universal Security Services  
 Universal Protection Service, LP, dba Allied Universal Security Services, LP  
 Universal Protection Service, LP, dba Allied Universal Security Services  
 Variously dba Allied Universal Thrive Technologies and Allied Universal Building Maintenance  
 Apollo Security International, Inc.  
 Universal Building Maintenance, LLC dba Allied Universal Janitorial Services  
 SFI Electronics, LLC DBA Allied Universal Security Systems  
 Universal Protection Security Systems, LP DBA Allied Universal Security Systems  
 Universal Thrive Technologies, LLC DBA Thrive Intelligence  
 Universal Thrive Technologies, LLC DBA Allied Universal Monitoring and Response Center

POLICY NUMBER: RAD9437818

XIC 414 1013

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

**ADDITIONAL INSURED**

This endorsement modifies insurance provided under the following:

BUSINESS AUTO COVERAGE FORM  
MOTOR CARRIER COVERAGE FORM  
AUTO DEALERS COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by the endorsement.

**Schedule**

<b>Additional Insured(s)</b>	<b>Work</b>
Any person or organization you have agreed to include as an additional insured under written contract, provided such contract was executed prior to the date of loss.	All Operations

**COVERED AUTOS LIABILITY COVERAGE, Who Is An Insured**, is amended to include as an "insured" the person or organization listed in the Schedule above, but only with respect to liability for "bodily injury" or "property damage" otherwise covered under this policy caused, in whole or in part, by the negligent acts or omissions of:

1. You, while using a covered "auto"; or
2. Any other person, except the additional insured or any employee or agent of the additional insured, operating a covered "auto" with your permission;

in the performance of your work as described in the Schedule above.

In no event shall any person or organization listed in the Schedule become an "insured" pursuant to this Endorsement if such person or organization is solely negligent.

IT IS FURTHER AGREED THAT IN NO EVENT SHALL ANY CONTRACT OR AGREEMENT ALTER THE CONDITIONS, COVERAGES OR EXCLUSIONS SET FORTH IN THIS POLICY.

All other terms and conditions of this policy remain unchanged.

POLICY NUMBER: RAD9437818

COMMERCIAL AUTO  
CA 04 44 10 13

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

## **WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS TO US (WAIVER OF SUBROGATION)**

This endorsement modifies insurance provided under the following:

AUTO DEALERS COVERAGE FORM  
BUSINESS AUTO COVERAGE FORM  
MOTOR CARRIER COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by the endorsement.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated below.

**Named Insured: Allied Universal Topco, LLC.**

**Endorsement Effective Date:** November 1, 2016

### **SCHEDULE**

**Name(s) Of Person(s) Or Organization(s):**

Any person or organization where waiver of our right to recover is required by written contract with such person or organization provided such contract was executed prior to the date of loss.

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

The **Transfer Of Rights Of Recovery Against Others To Us** condition does not apply to the person(s) or organization(s) shown in the Schedule, but only to the extent that subrogation is waived prior to the "accident" or the "loss" under a contract with that person or organization.



**ENDORSEMENT**

**This endorsement, effective 12:01 AM 11/01/2016**

**Forms a part of policy no.: 023058098**

**Issued to: Allied Universal Topco, LLC.**

**By: LEXINGTON INSURANCE COMPANY**

**WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS TO US**

This endorsement modifies insurance provided under the following:

SECURITY GUARD GENERAL AND PROFESSIONAL LIABILITY COVERAGE PART

**SCHEDULE**

**Name of person or Organization:**

Where required by written contract.

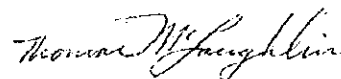
(If no entry appears above, information required to complete this endorsement will be shown in the Declarations as applicable to this endorsement)

The TRANSFER OF RECOVERY AGAINST OTHERS TO US Condition (Section IV – CONDITIONS) is amended by the addition of the following:

We waive any right of recovery we may have against the person or organization shown in the Schedule above because of payments we make for injury or damage arising out of your ongoing operations or "your work" done under a contract with that person or organization and included in the "products-completed operations hazard." This waiver applies only to the person or organization shown in the Schedule above.

All other terms and conditions remain as written.

LEXDOC021  
LX0404



---

Authorized Representative OR  
Countersignature (In states where applicable)

**ENDORSEMENT**

This endorsement, effective 12:01 AM 11/01/2016

Forms a part of policy no.: 23058098

Issued to: Allied Universal Topco, LLC

LEXINGTON INSURANCE COMPANY

**ADDITIONAL INSURED - DESIGNATED PERSON OR ORGANIZATION**

This endorsement modifies insurance provided by the following:

**GUARDSECURE GENERAL AND PROFESSIONAL LIABILITY COVERAGE FORM**

A. SECTION II - Who Is An Insured is amended to include as an additional insured a person(s) or organization(s) who is required to be added by written contract or written agreement which does not require that a specific form number be used.

B. The insurance provided to additional insureds applies only to "bodily injury", "property damage", "professional liability" or "personal and advertising injury" caused, in whole or in part, by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured; or

"your work" performed for that additional insured and included in the "products-completed operations hazard".

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

C. With respect to the insurance afforded to these additional insureds, the following is added to Section III - Limits of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
2. Available under the applicable Limits of Insurance shown in the Declarations; whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

**LX0404**

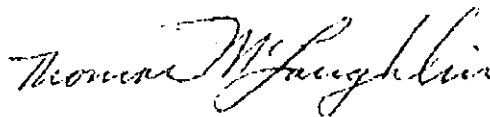
D. The additional insured must see to it that:

1. We are notified as soon as practicable of an "occurrence" or offense that may result in a claim
2. We receive written notice of a claim or "suit" as soon as practicable; and
3. A request for defense and indemnity of the claim or "suit" will promptly be brought against any policy issued by another insurer under which the additional insured also has rights as an insured or additional insured.

E. This insurance is primary to and will not seek contribution from any other insurance available to an additional insured under your policy provided that:

1. The additional insured is a Named Insured under such other insurance; and
2. You have agreed in writing in a contract or agreement that this insurance would be primary and would not seek contribution from any other insurance available to the additional insured.

NOTICE: THESE POLICY FORMS AND THE APPLICABLE RATES ARE EXEMPT FROM THE FILING REQUIREMENTS OF THE NEW YORK STATE INSURANCE DEPARTMENT. HOWEVER, SUCH FORMS AND RATES MUST MEET THE MINIMUM STANDARDS OF THE NEW YORK INSURANCE LAW AND REGULATIONS.



\_\_\_\_\_  
Authorized Representative OR  
Countersignature (In states where applicable)

**WORKERS COMPENSATION AND EMPLOYERS LIABILITY INSURANCE POLICY**

**WC 00 03 13**

(Ed. 4-84)

---

**WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT**

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. (This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.)

This agreement shall not operate directly or indirectly to benefit anyone not named in the Schedule.

Schedule

Any person or organization where waiver of our right to recover is required by written contract with such person or organization provided such contract was executed prior to the date of loss.

This endorsement changes the policy to which it is attached and is effective on the date issued unless otherwise stated.  
**(The information below is required only when this endorsement is issued subsequent to preparation of the policy.)**

Endorsement Effective 10-28-2016

Policy No. RWD3001203

Endorsement No.

Insured Allied Universal Topco, LLC

Insurance Company  
XL Insurance America, Inc.

Countersigned by \_\_\_\_\_

**WC 00 03 13**  
(Ed. 4-84)

Thomas Miller, ARM  
Assistant Vice President



Marsh USA Inc.  
Three Logan Square  
1717 Arch Street, Suite 1100  
Philadelphia, PA 19103  
www.marsh.com

October 13, 2016

**Subject:** Allied Universal Topco, LLC  
EMR Issuance

To Whom It May Concern,

Effective 8/1/2016 AlliedBarton Security Services and Universal Protection Services formed a new combined company called Allied Universal. Effective 11/1/2016 there will be a combined workers' compensation program in which ownership information was filed with the respective labor bureaus to develop a combined experience modification. Prior to 11/1/2016 both entities continued under their legacy workers' compensation programs. Once a combined EMR is establish we will provide confirmation.

Sincerely,

A handwritten signature in cursive script that reads 'Tom Miller'.

Tom Miller  
Assistant Vice President



[Department of State](#) / [Division of Corporations](#) / [Search Records](#) / [Detail By Document Number](#) /

## Detail by Entity Name

Foreign Limited Liability Company  
UNIVERSAL PROTECTION SERVICE, LLC

### Filing Information

<b>Document Number</b>	M12000000566
<b>FEI/EIN Number</b>	56-0515447
<b>Date Filed</b>	01/30/2012
<b>State</b>	DE
<b>Status</b>	ACTIVE
<b>Last Event</b>	LC AMENDMENT
<b>Event Date Filed</b>	10/18/2013
<b>Event Effective Date</b>	NONE

### Principal Address

1551 N. Tustin Avenue, #650  
Santa Ana, CA 92705

Changed: 04/12/2014

### Mailing Address

1551 N. Tustin Avenue, #650  
Santa Ana, CA 92705

Changed: 04/12/2014

### Registered Agent Name & Address

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324

### Authorized Person(s) Detail

#### **Name & Address**

Title Member

Universal Protection Service, LP  
1551 N. Tustin Avenue, #650  
Santa Ana, CA 92705

Title CEO

Jones, Steven

1551 N. Tustin Avenue, #650  
Santa Ana, CA 92705

Title Manager

Universal Protection Service, LP  
1551 N. Tustin Avenue, #650  
Santa Ana, CA 92705

Title Regional VP Manager

Schwartz, Matthew  
1551 N. Tustin Avenue, #650  
Santa Ana, CA 92705

Title Region President

Ryan, Rob  
10735 David Taylor Drive, Suite 560  
Charlotte, NC 28262

**Annual Reports**

Report Year	Filed Date
2015	03/19/2015
2015	12/07/2015
2016	03/17/2016

**Document Images**

<a href="#">03/17/2016 -- ANNUAL REPORT</a>	View image in PDF format
<a href="#">12/07/2015 -- AMENDED ANNUAL REPORT</a>	View image in PDF format
<a href="#">03/19/2015 -- ANNUAL REPORT</a>	View image in PDF format
<a href="#">05/27/2014 -- AMENDED ANNUAL REPORT</a>	View image in PDF format
<a href="#">04/12/2014 -- ANNUAL REPORT</a>	View image in PDF format
<a href="#">10/18/2013 -- LC Amendment</a>	View image in PDF format
<a href="#">06/07/2013 -- ANNUAL REPORT</a>	View image in PDF format
<a href="#">08/30/2012 -- LC Name Change</a>	View image in PDF format
<a href="#">01/30/2012 -- CORLCMMRES</a>	View image in PDF format
<a href="#">01/30/2012 -- Foreign Limited</a>	View image in PDF format

Florida Department of State

DIVISION OF CORPORATIONS



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No Filing History

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## Fictitious Name Detail

### Fictitious Name

ALLIED UNIVERSAL SECURITY SERVICES, LLC

### Filing Information

Registration Number G16000073407  
Status ACTIVE  
Filed Date 07/26/2016  
Expiration Date 12/31/2021  
Current Owners 1  
County MULTIPLE  
Total Pages 1  
Events Filed NONE  
FEI/EIN Number 56-0515447

### Mailing Address

1551 N. TUSTIN AVE., STE 650  
SANTA ANA, CA 92705

### Owner Information

UNIVERSAL PROTECTION SERVICE, LLC  
1551 N. TUSTIN AVE., STE 650  
SANTA ANA, CA 92705  
FEI/EIN Number: 56-0515447  
Document Number: M12000000566

### Document Images

[07/26/2016 -- REGISTRATION](#)   View image in PDF format

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Fictitious Name Search

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Florida Department of State, Division of Corporations



# ALLIED SECURITY HOLDINGS LLC

161 Washington Street, Suite 600  
Conshohocken, PA 19428  
(484) 351-1300

## SELECTED FINANCIAL INFORMATION

FOR THE YEAR ENDED

DECEMBER 31, 2015

*Date of Report:*

**March 22, 2016**

This document contains the proprietary and confidential financial information of Allied Security Holdings LLC and its subsidiaries (which include AlliedBarton Security Services LLC; collectively, "AlliedBarton"), and is being provided to Port Everglades at its request, to enable Port Everglades to consider a possible business relationship with AlliedBarton. By taking receipt of this information, Port Everglades, for itself and on behalf of its employees, agents, and representatives, agrees that it will maintain this information in strict confidence and will not disclose it to any third party nor use it for any other purpose.

**ALLIED SECURITY HOLDINGS LLC**  
**2015 SELECTED FINANCIAL INFORMATION**

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**Allied Security Holdings LLC**  
**Consolidated Balance Sheets**  
*(Dollars in thousands)*

	December 31, 2015	December 31, 2014
	(Successor)	(Predecessor)
<b>Assets</b>		
<b>Current assets:</b>		
Cash and cash equivalents.....	\$ 4,245	\$ 51,096
Restricted cash.....	36,873	39,539
Accounts receivable, net of allowance for doubtful accounts of \$127 and \$2,784 as of December 31, 2015 and 2014, respectively .....	309,768	289,462
Other.....	11,794	12,667
<b>Total current assets</b> .....	<b>362,680</b>	<b>392,764</b>
Property and equipment, net.....	7,373	10,195
Goodwill.....	988,965	331,634
Intangible assets, net.....	564,950	202,836
Deferred financing fees, net.....	—	1,909
Other assets.....	12,146	10,774
<b>Total assets</b> .....	<b>\$ 1,936,114</b>	<b>\$ 950,112</b>
<b>Liabilities and members' equity (deficit)</b>		
<b>Current liabilities:</b>		
Current maturities of long-term debt.....	\$ 6,753	\$ 5,900
Revolving credit loan.....	27,000	—
Accounts payable and accrued expenses.....	83,891	61,855
Accrued claims reserves.....	60,254	58,476
Accrued payroll and related payroll taxes.....	58,802	78,168
Advance payments.....	9,823	12,633
<b>Total current liabilities</b> .....	<b>246,523</b>	<b>217,032</b>
Term loans.....	949,720	850,340
Other long-term liabilities.....	20,909	21,344
<b>Members' equity (deficit):</b>		
Members' interest.....	719,860	2,032
Accumulated deficit.....	(426)	(137,654)
Accumulated other comprehensive loss.....	(472)	(2,982)
<b>Total members' equity (deficit)</b> .....	<b>718,962</b>	<b>(138,604)</b>
<b>Total liabilities and members' equity (deficit)</b> .....	<b>\$ 1,936,114</b>	<b>\$ 950,112</b>

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**Allied Security Holdings LLC**  
**Consolidated Statements of Operations**  
*(Dollars in thousands)*

	For the period		Year ended	
	December 1 – December 31, 2015	January 1 – November 30, 2015	2014	December 31, 2013
	<u>(Successor)</u>		<u>(Predecessor)</u>	
Revenues.....	\$ 199,812	\$ 2,057,248	\$ 2,149,150	\$ 2,042,437
Cost of revenues.....	179,794	1,796,389	1,891,002	1,776,121
	20,018	260,859	258,148	266,316
Branch and corporate overhead expenses.....	11,019	164,053	149,282	137,941
Depreciation and amortization .....	4,408	4,843	104,109	35,740
Gain on sale of HR Plus .....	—	—	—	(9,490)
Operating income.....	4,591	91,963	84,757	102,125
Interest expense, net.....	4,979	50,305	59,698	50,322
(Loss) income before taxes .....	(388)	41,658	25,059	51,803
Income tax expense.....	38	566	395	68
Net (loss) income .....	\$ (426)	\$ 41,092	\$ 24,664	\$ 51,735

Port Everglades

**Allied Security Holdings LLC**  
**Consolidated Statements of Comprehensive (Loss) Income**  
*(Dollars in thousands)*

	For the period			
	December 1 – December 31, 2015	January 1 – November 30, 2015	Year ended December 31,	
	<u>(Successor)</u>	<u>(Predecessor)</u>	2014	2013
Net (loss) income .....	\$ (426)	\$ 41,092	\$ 24,664	\$ 51,735
Other comprehensive (loss) income:				
Defined benefit pension plan .....	(22)	449	(352)	348
Unrealized (loss) gain on marketable securities ....	(607)	(157)	(383)	584
Unrealized gain on fair value of swaps .....	157	1,446	1,717	2,424
Other comprehensive (loss) income .....	(472)	1,738	982	3,356
Comprehensive (loss) income .....	<u>\$ (898)</u>	<u>\$ 42,830</u>	<u>\$ 25,646</u>	<u>\$ 55,091</u>

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**Allied Security Holdings LLC**  
**Consolidated Statement of Changes in Members' Equity**  
**For the period December 1, 2015 to December 31, 2015 (Successor)**  
*(Dollars in thousands)*

	<u>Members'</u> <u>Interest</u>	<u>Accumulated</u> <u>Deficit</u>	<u>Accumulated</u> <u>Other</u> <u>Comprehensive</u> <u>Loss</u>
Capitalization of Successor at December 1, 2015 .....	\$ 719,870	\$ —	\$ —
Net loss .....	—	(426)	—
Defined benefit pension plan .....	—	—	(22)
Unrealized loss on marketable securities .....	—	—	(607)
Unrealized gain on fair value of swaps .....	—	—	157
Distributions .....	(10)	—	—
Successor balance at December 31, 2015 .....	<u>\$ 719,860</u>	<u>\$ (426)</u>	<u>\$ (472)</u>

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**Allied Security Holdings LLC**  
**Consolidated Statement of Changes in Members' Equity (Deficit)**  
**For the period January 1, 2015 to November 30, 2015, and for the years ended December 31, 2014**  
**and 2013 (Predecessor)**  
*(Dollars in thousands)*

	Members' Interest	Retained Earnings (Accumulated Deficit)	Accumulated Other Comprehensive Loss
Predecessor balance at January 1, 2013.....	\$ —	\$ 39,637	\$ (7,320)
Net income .....	—	51,735	—
Defined benefit pension plan.....	—	—	348
Unrealized gain on marketable securities....	—	—	584
Unrealized gain on fair value of swaps.....	—	—	2,424
Distributions.....	(409)	(15,747)	—
Equity unit expense .....	409	—	—
Predecessor balance at December 31, 2013...	\$ —	\$ 75,625	\$ (3,964)
Net income .....	—	24,664	—
Defined benefit pension plan.....	—	—	(352)
Unrealized loss on marketable securities....	—	—	(383)
Unrealized gain on fair value of swaps.....	—	—	1,717
Distributions.....	(3,599)	(237,943)	—
Equity unit expense .....	5,631	—	—
Predecessor balance at December 31, 2014...	\$ 2,032	\$ (137,654)	\$ (2,982)
Net income .....	—	41,092	—
Defined benefit pension plan.....	—	—	449
Unrealized loss on marketable securities....	—	—	(157)
Unrealized gain on fair value of swaps.....	—	—	1,446
Distributions.....	(5,279)	(21,985)	—
Equity unit expense .....	11,808	—	—
Predecessor balance at November 30, 2015..	\$ 8,561	\$ (118,547)	\$ (1,244)

PORT EVERGLADES

**Allied Security Holdings LLC**  
**Consolidated Statements of Cash Flows**  
*(Dollars in thousands)*

	For the period		Year ended	
	December 1 - December 31, 2015 <u>(Successor)</u>	January 1 - November 30, 2015 <u>(Predecessor)</u>	2014	December 31, 2013
<b>Cash flows from operating activities</b>				
Net (loss) income.....	\$ (426)	\$ 41,092	\$ 24,664	\$ 51,735
Adjustments to reconcile net (loss) income to net cash (used in) provided by operating activities:				
Provision for doubtful accounts.....	127	305	784	931
Depreciation and amortization.....	4,408	4,843	24,109	35,740
Amortization of leasehold interests.....	4	—	—	—
Non-cash interest expense.....	493	3,912	4,035	2,873
Equity unit compensation expense.....	—	11,808	5,631	409
Gain on sale of HR Plus.....	—	—	—	(9,490)
Loss (gain) on sale of assets.....	1	18	2	(8)
Changes in assets and liabilities:				
Accounts receivable, net.....	913	(21,651)	(18,015)	(13,816)
Accounts payable, accrued expenses and other accrued liabilities.....	(30,073)	32,341	18,679	10,585
Advance payments.....	(181)	(2,629)	(736)	1,370
Other current assets and liabilities, net.....	(19,924)	5,564	6,085	881
Net cash (used in) provided by operating activities.....	<u>(44,658)</u>	<u>75,603</u>	<u>65,238</u>	<u>81,210</u>
<b>Cash flows from investing activities</b>				
Acquisition of predecessor, net of cash acquired.....	(893,093)	—	—	—
Purchases of property and equipment.....	(149)	(2,568)	(4,944)	(4,453)
Purchases of marketable securities.....	(510)	(1,378)	(2,425)	(2,218)
Proceeds from sale of HR Plus.....	—	—	—	16,000
Consideration paid for acquisition of business.....	—	(104)	(47)	—
Proceeds from sale of assets.....	—	14	10	219
Net cash (used in) provided by investing activities.....	<u>(893,752)</u>	<u>(4,036)</u>	<u>(7,406)</u>	<u>9,548</u>
<b>Cash flows from financing activities</b>				
Capital contributions.....	719,870	—	—	—
Proceeds from first lien term loan.....	81,650	—	585,800	—
Proceeds from second lien term loan.....	28,187	—	262,262	—
Proceeds from revolving credit loan.....	27,000	—	—	—
Repayment of first lien term loans.....	(1,688)	(4,425)	(511,625)	(5,200)
Repayment of second lien term loan.....	—	—	(165,000)	—
Financing fees paid.....	(3,328)	—	(14,009)	—
Distributions.....	(10)	(27,264)	(241,542)	(16,156)
Net cash provided by (used in) financing activities.....	<u>851,681</u>	<u>(31,689)</u>	<u>(84,114)</u>	<u>(21,356)</u>
Net (decrease) increase in cash and cash equivalents.....	(86,729)	39,878	(26,282)	69,402
Cash and cash equivalents at beginning of period.....	90,974	51,096	77,378	7,976
Cash and cash equivalents at end of period.....	<u>\$ 4,245</u>	<u>\$ 90,974</u>	<u>\$ 51,096</u>	<u>\$ 77,378</u>



# USAGM Holdco, LLC

Consolidated Financial Statements as of  
December 31, 2015, and for the Periods from  
July 28, 2015, to December 31, 2015 (Successor),  
and January 1, 2015, to July 27, 2015 (Predecessor),  
and Independent Auditors' Report

Port Everglades 1-31-17

## USAGM HOLDCO, LLC

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Port Everglades / 1-31-17



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## INDEPENDENT AUDITORS' REPORT

To the Board of Managers of  
USAGM Holdco, LLC:

We have audited the accompanying consolidated financial statements of USAGM Holdco, LLC and its subsidiaries (the "Company"), which comprise the consolidated balance sheet as of December 31, 2015, and the related consolidated statements of operations, member's capital, and cash flows for the periods from July 28, 2015, to December 31, 2015 (Successor), and January 1, 2015, to July 27, 2015 (Predecessor), and the related notes to the consolidated financial statements.

### Management's Responsibility for the Consolidated Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Company's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**Opinion**

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of the Company as of December 31, 2015, and the results of its operations and its cash flows for the periods from July 28, 2015, to December 31, 2015 (Successor), and January 1, 2015, to July 27, 2015 (Predecessor), in accordance with accounting principles generally accepted in the United States of America.

*DELOITTE & TOUCHE LLP*

May 26, 2016

Port Everglades / 1-31-17

**USAGM HOLDCO, LLC**

**CONSOLIDATED BALANCE SHEET  
AS OF DECEMBER 31, 2015**

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**ASSETS**

**CURRENT ASSETS:**

Cash	\$ 9,815,692
Restricted cash	3,391,810
Accounts receivable—net of allowance of \$970,530	345,089,628
Unbilled services rendered	15,778,895
Inventories	2,388,299
Costs and estimated earnings in excess of billings on contracts in progress	6,107,696
Other current assets	<u>18,910,152</u>
Total current assets	430,482,172
PROPERTY AND EQUIPMENT—Net	62,891,999
GOODWILL	980,936,543
INTANGIBLE ASSETS—Net	363,279,782
DEPOSITS AND OTHER ASSETS	<u>14,279,839</u>
<b>TOTAL ASSETS</b>	<b><u>\$1,851,870,335</u></b>

(Continued)

Port Everglades / 1-31-17

**USAGM HOLDCO, LLC**

**CONSOLIDATED BALANCE SHEET  
AS OF DECEMBER 31, 2015**

**LIABILITIES AND MEMBER'S CAPITAL**

**CURRENT LIABILITIES:**

Accounts payable	\$ 5,804,954
Accrued payroll and related expenses	60,191,068
Accrued expenses	27,809,006
Workers' compensation and accrued insurance	17,736,148
Billings in excess of costs and estimated earnings on contracts in progress	1,153,181
Refundable uniform deposits	1,837,553
Purchase price payable	15,357,665
Current portion of long-term debt and capital lease obligations	<u>19,728,228</u>

Total current liabilities 159,617,803

**LONG-TERM LIABILITIES:**

Long-term debt and capital lease obligations—net of current portion	1,146,178,537
Workers' compensation—less current portion	42,393,907
Purchase price payable—less current portion	4,828,098
Other liabilities	<u>580,916</u>

Total liabilities 1,353,599,261

**COMMITMENTS AND CONTINGENCIES (Note 7)**

**MEMBER'S CAPITAL:**

Member's capital	495,555,968
Noncontrolling interest	<u>2,715,106</u>

Total member's capital 498,271,074

**TOTAL LIABILITIES AND MEMBER'S CAPITAL \$1,851,870,335**

The accompanying notes are an integral part of these consolidated financial statements.

(Concluded)

**USAGM HOLDCO, LLC**

**CONSOLIDATED STATEMENTS OF OPERATIONS  
FOR THE PERIODS FROM JULY 28, 2015, TO DECEMBER 31, 2015 (SUCCESSOR),  
AND JANUARY 1, 2015, TO JULY 27, 2015 (PREDECESSOR)**

	<b>July 28 to December 31, 2015 (Successor)</b>	<b>January 1 to July 27, 2015 (Predecessor)</b>
REVENUES	\$ 883,475,042	\$ 757,348,661
DIRECT EXPENSES	<u>763,163,346</u>	<u>657,915,185</u>
GROSS PROFIT	<u>120,311,696</u>	<u>99,433,476</u>
OPERATING COSTS AND EXPENSES:		
General and administrative	118,789,907	87,054,592
Acquisition and related costs—as acquirer	4,914,390	3,701,760
Transaction and related costs—as acquiree	13,626,159	15,189,246
Equity-based compensation costs	15,535,424	5,349,720
Loss (gain) on disposal of property and equipment	75,394	<u>(261,639)</u>
Total operating cost and expenses	<u>152,941,274</u>	<u>111,033,679</u>
LOSS FROM OPERATIONS	<u>(32,629,578)</u>	<u>(11,600,203)</u>
OTHER EXPENSE (INCOME):		
Interest expense	30,980,538	16,748,852
Write-off of unamortized financing fees and original issue discounts	-	8,957,301
Loss (gain) on changes in fair value of contingent purchase consideration	340,168	(4,088,464)
Other	<u>(47,024)</u>	<u>(110,386)</u>
Total other expense	<u>31,273,682</u>	<u>21,507,303</u>
LOSS BEFORE PROVISION FOR INCOME TAXES	(63,903,260)	(33,107,506)
PROVISION FOR INCOME TAXES	<u>297,508</u>	<u>1,583,016</u>
NET LOSS	(64,200,768)	(34,690,522)
INCOME ATTRIBUTABLE TO NONCONTROLLING INTEREST	<u>83,668</u>	<u>231,151</u>
NET LOSS ATTRIBUTABLE TO PARENT	<u>\$ (64,284,436)</u>	<u>\$ (34,921,673)</u>

The accompanying notes are an integral part of these consolidated financial statements.

**USAGM HOLDCO, LLC**

**CONSOLIDATED STATEMENTS OF MEMBER'S CAPITAL  
FOR THE PERIODS FROM JULY 28, 2015, TO DECEMBER 31, 2015 (SUCCESSOR),  
AND JANUARY 1, 2015, TO JULY 27, 2015 (PREDECESSOR)**

	Class A Preferred Units		Class B-1 Common Units		Class B-2 Convertible Common Units		Class C-1 Units		Class C-2, 3, & 4 Units		Member's Accumulated Deficit	Noncontrolling Interest	Total
	Units	Amount	Units	Amount	Units	Amount	Units	Amount	Units	Amount			
BALANCE—December 31, 2014 (Predecessor)	-	\$ -	855,900	\$ 149,345,552	157,200	\$ 30,000,000	169,580	\$ 2,810,113	-	\$ -	\$ (24,066,110)	\$ 185,555	\$ 157,904,000
Equity-based compensation expense			7,860	1,398,630			421,824	3,315,036	1,909,530	636,053			5,349,719
Distributions to members				(2,984,399)								(52,497)	(3,036,896)
Conversion of Class B-2 Convertible Common Units	157,200	(50,000,000)	157,200	30,000,000	(157,200)	(30,000,000)					(50,000,000)		-
Deemed distribution of Class A Preferred Units	(157,200)	(50,000,000)									50,000,000		-
Net income (loss)											(34,921,673)	231,151	(34,690,522)
BALANCE—July 27, 2015 (Predecessor)	-	\$ -	1,020,960	\$ 177,759,783	-	\$ -	591,404	\$ 6,125,149	1,909,530	\$ 636,053	\$ (58,987,783)	\$ (6,901)	\$ 125,526,301

(Continued)

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**USAGM HOLDCO, LLC**

**CONSOLIDATED STATEMENTS OF MEMBER'S CAPITAL  
FOR THE PERIODS FROM JULY 28, 2015, TO DECEMBER 31, 2015 (SUCCESSOR),  
AND JANUARY 1, 2015, TO JULY 27, 2015 (PREDECESSOR)**

	Member's Capital	Accumulated Deficit	Noncontrolling Interest	Total
Balance—July 28, 2015 (Successor)	\$ 558,823,623	\$ -	\$ 2,617,243	\$ 561,466,866
Equity-based compensation	1,016,781			1,016,781
Distributions to members			(11,805)	(11,805)
Net income (loss)		(64,284,436)	83,668	(64,200,768)
Balance—December 31, 2015 (Successor)	<u>\$ 559,840,404</u>	<u>\$ (64,284,436)</u>	<u>\$ 2,715,106</u>	<u>\$ 498,271,074</u>

The accompanying notes are an integral part of these consolidated financial statements.

(Concluded)

Port Everglades

**USAGM HOLDCO, LLC**

**CONSOLIDATED STATEMENTS OF CASH FLOWS  
FOR THE PERIODS FROM JULY 28, 2015, TO DECEMBER 31, 2015 (SUCCESSOR),  
AND JANUARY 1, 2015, TO JULY 27, 2015 (PREDECESSOR)**

	<u>July 28 to December 31, 2015 (Successor)</u>	<u>January 1 to July 27, 2015 (Predecessor)</u>
<b>CASH FLOWS FROM OPERATING ACTIVITIES:</b>		
Net loss	\$ (64,200,768)	\$ (34,690,522)
Adjustments to reconcile net loss to net cash (used in) provided by operating activities:		
Increase (decrease) in contingent consideration due to sellers	340,168	(4,088,464)
Depreciation and amortization	27,194,867	23,498,596
Provision for losses on accounts receivable	970,530	636,128
Loss (gain) on disposal of property and equipment	75,394	(261,639)
Equity-based compensation expense	15,535,424	5,349,719
Interest amortization of deferred financing costs	2,133,996	10,202,879
Changes in operating assets and liabilities—net of effects of acquisitions:		
Accounts receivable	(13,676,708)	(33,874,044)
Unbilled services rendered	(12,480,240)	10,208,395
Inventories	86,123	(128,446)
Costs and estimated earnings in excess of billings on contracts in progress	4,150,563	(2,077,901)
Other current assets	2,936,524	(2,583,076)
Accounts payable	(614,963)	(145,338)
Accrued payroll and related expenses	(35,964,384)	29,450,915
Accrued expenses and other liabilities	(16,175,162)	19,896,784
Workers' compensation and accrued insurance	9,364,452	3,739,862
Deferred rent		(35,614)
Billings in excess of costs and estimated earnings on contracts in progress	(3,377,513)	218,559
Refundable uniform deposits	(31,355)	(23,884)
Net cash (used in) provided by operating activities	<u>(83,733,052)</u>	<u>25,292,909</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES:</b>		
Purchase of property and equipment	(8,765,087)	(6,525,581)
Proceeds from sale of equipment	212,593	265,914
Acquisitions, net of cash acquired	(722,670,254)	(37,466,949)
Deposits	(196,572)	149,221
Change in restricted cash	<u>(30,777,643)</u>	<u>222,949</u>
Net cash used in investing activities	<u>(762,196,963)</u>	<u>(43,354,446)</u>

(Continued)

**USAGM HOLDCO, LLC**

**CONSOLIDATED STATEMENTS OF CASH FLOWS  
FOR THE PERIODS FROM JULY 28, 2015, TO DECEMBER 31, 2015 (SUCCESSOR),  
AND JANUARY 1, 2015, TO JULY 27, 2015 (PREDECESSOR)**

	July 28 to December 31, 2015 (Successor)	January 1 to July 27, 2015 (Predecessor)
<b>CASH FLOWS FROM FINANCING ACTIVITIES:</b>		
Payments of deferred financing costs	\$ (43,747,056)	\$ (1,352,500)
Proceeds on promissory note		40,000,000
Payments of promissory notes	(128,678,120)	
Borrowings on revolving line of credit	173,000,000	210,000,000
Repayments of revolving line of credit	(73,000,000)	(226,000,000)
Borrowings of long-term debt	1,080,000,000	44,200,000
Principal payments on long-term debt	(482,540,085)	(1,853,223)
Principal payments on capital lease obligations	(5,737,820)	(5,574,299)
Payments of contingent consideration	(9,501,663)	(7,676,046)
Issuance of member units	311,000,000	
Distributions to members and noncontrolling interest	(11,805)	(3,036,896)
Net cash provided by financing activities	<u>820,783,451</u>	<u>48,707,036</u>
<b>NET (DECREASE) INCREASE IN CASH</b>	<b>(25,146,564)</b>	<b>30,645,499</b>
CASH—Beginning of the Period	<u>34,962,256</u>	<u>4,316,757</u>
CASH—End of the period	<u>\$ 9,815,692</u>	<u>\$ 34,962,256</u>
<b>SUPPLEMENTAL DISCLOSURES:</b>		
Cash paid for interest	<u>\$ 33,296,369</u>	<u>\$ 24,174,510</u>
Cash paid for income taxes	<u>\$ 297,508</u>	<u>\$ 1,583,016</u>
<b>NONCASH INVESTING AND FINANCING ACTIVITIES:</b>		
Member units issued in connection with the merger transaction, at fair value	<u>\$ 247,823,623</u>	<u>\$ -</u>
Purchases of automobiles, uniforms, and equipment through capital lease obligations	<u>\$ 10,488,955</u>	<u>\$ 9,142,183</u>
Contingent consideration on acquisitions	<u>\$ 1,415,100</u>	<u>\$ 25,387,300</u>

The accompanying notes are an integral part of these consolidated financial statements.

(Concluded)

# Universal Group Holdings, LLC

Consolidated Financial Statements as of  
and for the year ended December 31, 2014 and  
Independent Auditors' Report

Port Everglades / 1-31-17

## UNIVERSAL GROUP HOLDINGS, LLC

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Port Everglades / 1-31-17



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## INDEPENDENT AUDITORS' REPORT

To the Board of Managers of  
Universal Group Holdings, LLC:

We have audited the accompanying consolidated financial statements of Universal Group Holdings, LLC and its subsidiaries (the "Company"), which comprise the consolidated balance sheet as of December 31, 2014, and the related consolidated statements of operations, members' capital, and cash flows for the year then ended December 31, 2014, and the related notes to the consolidated financial statements.

### Management's Responsibility for the Consolidated Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Company's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**Opinion**

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of the Company as of December 31, 2014, and the results of its operations and its cash flows for the year then ended December 31, 2014 in accordance with accounting principles generally accepted in the United States of America.

DELOITTE & TOUCHE LLP

May 16, 2015

Port Everglades / 1-31-17

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED BALANCE SHEET  
AS OF DECEMBER 31, 2014**

---

**ASSETS**

**CURRENT ASSETS:**

Cash	\$ 4,316,757
Restricted cash	1,837,116
Accounts receivable — net of allowance of \$2,036,406	151,197,184
Unbilled services rendered	13,607,050
Inventories	2,496,971
Costs and estimated earnings in excess of billings on contracts in progress	4,518,273
Other current assets	<u>8,934,400</u>
Total current assets	186,807,751
PROPERTY AND EQUIPMENT — Net	32,781,912
GOODWILL	248,048,264
INTANGIBLE ASSETS — Net	178,255,227
DEPOSITS AND OTHER ASSETS	<u>8,110,182</u>
<b>TOTAL ASSETS</b>	<b><u>\$ 654,003,336</u></b>

(Continued)

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**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED BALANCE SHEET  
AS OF DECEMBER 31, 2014**

**LIABILITIES AND MEMBERS' CAPITAL**

**CURRENT LIABILITIES:**

Accounts payable	\$ 12,098,104
Accrued payroll and related expenses	26,470,859
Accrued expenses	8,678,343
Workers' compensation and accrued insurance	14,436,065
Billings in excess of costs and estimated earnings on contracts in progress	566,050
Refundable uniform deposits	1,886,391
Purchase price payable	10,270,974
Current portion of long-term debt and capital lease obligations	<u>8,024,825</u>

Total current liabilities 82,431,611

**LONG-TERM LIABILITIES:**

Long-term debt and capital lease obligations, net of current portion	387,410,819
Workers' compensation, less current portion	20,929,137
Purchase price payable, less current portion	4,434,830
Deferred rent, less current portion	397,939
Other liabilities	<u>495,000</u>

Total liabilities 496,099,336

**COMMITMENTS AND CONTINGENCIES (Note 7)**

**MEMBERS' CAPITAL:**

Members' capital	158,089,555
Noncontrolling interest	<u>(185,555)</u>

Total members' capital 157,904,000

**TOTAL LIABILITIES AND MEMBERS' CAPITAL** \$ 654,003,336

The accompanying notes are an integral part of these consolidated financial statements. (Concluded)

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENT OF OPERATIONS  
FOR THE YEAR ENDED DECEMBER 31, 2014**

REVENUES	\$ 1,144,882,254
DIRECT EXPENSES	<u>985,834,667</u>
GROSS PROFIT	<u>159,047,587</u>
OPERATING COST AND EXPENSES:	
General and administrative	146,934,147
Equity-based compensation costs	1,429,614
Loss on disposal of property and equipment	<u>225,803</u>
Total operating cost and expenses	<u>148,589,564</u>
INCOME FROM OPERATIONS	<u>10,458,023</u>
OTHER (INCOME) EXPENSE:	
Interest expense	25,426,295
Interest income	(267,170)
Gain on changes in fair value of contingent purchase consideration	(1,799,116)
Other	<u>(190,855)</u>
Total other (income) expense	<u>23,169,154</u>
LOSS BEFORE PROVISION FOR INCOME TAXES	(12,711,131)
PROVISION FOR INCOME TAXES	<u>376,243</u>
NET LOSS	(13,087,374)
INCOME ATTRIBUTABLE TO NONCONTROLLING INTEREST	<u>116,558</u>
NET LOSS ATTRIBUTABLE TO UNIVERSAL GROUP HOLDINGS, LLC	<u>\$ (13,203,932)</u>

The accompanying notes are an integral part of these consolidated financial statements.

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENT OF MEMBERS' CAPITAL  
FOR THE YEAR ENDED DECEMBER 31, 2014**

	Class B-1 Common Units		Class B-2 Convertible Common Units		Class C-1 Units		Member Accumulated Deficit	Noncontrolling Interest	Total
	Units	Amount	Units	Amount	Units	Amount			
BALANCE—December 31, 2013	853,280	\$ 150,567,716	157,200	\$30,000,000	125,874	\$ 1,981,869	\$ (10,862,178)	\$ (259,013)	\$ 171,428,394
Equity-based compensation expense	2,620	601,370			43,700	428,244			1,429,614
Distributions to members		(1,823,534)						(43,100)	(1,866,634)
Net income (loss)							(13,203,932)	116,558	(13,087,374)
BALANCE—December 31, 2014	<u>855,900</u>	<u>\$ 149,345,552</u>	<u>157,200</u>	<u>\$30,000,000</u>	<u>169,580</u>	<u>\$2,810,113</u>	<u>\$ (24,066,110)</u>	<u>\$ (185,555)</u>	<u>\$ 157,904,000</u>

The accompanying notes are an integral part of these consolidated financial statements.

Port Everglades

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENT OF CASH FLOWS  
FOR THE YEAR ENDED DECEMBER 31, 2014**

**CASH FLOWS FROM OPERATING ACTIVITIES:**

Net loss	\$ (13,087,374)
Adjustments to reconcile net loss to net cash used in operating activities:	
Change in fair value in contingent considerations due to sellers	(1,799,116)
Depreciation and amortization	1,080,970
Provision for losses on accounts receivable	954,133
Loss on disposal of property and equipment	225,803
Equity-based compensation expense	1,429,614
Interest amortization of deferred financing costs	1,912,268
Changes in operating assets and liabilities, net of effects of acquisitions:	
Accounts receivable	(33,181,026)
Unbilled services rendered	(3,665,038)
Inventories	(223,795)
Costs and estimated earnings in excess of billings on contracts in progress	(479,167)
Other current assets	(259,135)
Accounts payable	2,127,282
Accrued payroll and related expenses	(14,348,432)
Accrued expenses and other liabilities	1,234,756
Workers' compensation and accrued insurance	9,822,108
Deferred rent	72,069
Billings in excess of costs and estimated earnings on contracts in progress	(219,212)
Refundable uniform deposits	(375,184)
	<u>(17,778,476)</u>

**CASH FLOWS FROM INVESTING ACTIVITIES:**

Purchase of property and equipment	(10,689,006)
Proceeds from sale of equipment	1,545,584
Acquisitions	(43,906,055)
Deposits	(684,729)
Change in restricted cash	360,114
	<u>(53,374,092)</u>

(Continued)

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENT OF CASH FLOWS  
FOR THE YEAR ENDED DECEMBER 31, 2014**

<b>CASH FLOWS FROM FINANCING ACTIVITIES:</b>	
Payments of deferred financing costs	\$ (1,171,188)
Borrowings on revolving line of credit	298,000,365
Repayments of revolving line of credit	(287,500,365)
Borrowings of long-term debt	71,300,000
Principal payments on long-term debt	(3,126,581)
Principal payments on capital lease obligations	(9,865,336)
Payments of contingent consideration	(17,453,844)
Distributions to members and noncontrolling interest	<u>(1,866,634)</u>
Net cash provided by financing activities	<u>48,316,417</u>
<b>NET DECREASE IN CASH</b>	<b>(22,836,151)</b>
CASH— Beginning of the year	<u>27,152,908</u>
CASH— End of the year	<u>\$ 4,316,757</u>
<b>SUPPLEMENTAL DISCLOSURES:</b>	
Cash paid for interest	<u>\$ 23,171,257</u>
Cash paid for income taxes	<u>\$ 720,517</u>
<b>NONCASH INVESTING AND FINANCING ACTIVITIES:</b>	
Purchases of automobiles, uniforms and equipment through capital lease obligations	<u>\$ 11,889,445</u>
Contingent consideration on acquisitions	<u>\$ 13,062,382</u>
The accompanying notes are an integral part of these consolidated financial statements.	(Concluded)

# Universal Group Holdings, LLC

Consolidated Financial Statements as of  
December 31, 2013, and for the Periods from  
July 18, 2013, to December 31, 2013 (Successor),  
and January 1, 2013, to July 17, 2013 (Predecessor),  
and Report of Independent Certified Public  
Accountants

Port Everglades / 1-31-17

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Port Everglades / 1-31-17



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## INDEPENDENT AUDITORS' REPORT

To the Board of Managers of  
Universal Groups Holdings, LLC:

We have audited the accompanying consolidated financial statements of Universal Groups Holdings, LLC and subsidiaries (the "Company"), which comprise the consolidated balance sheet as of December 31, 2013, and the related consolidated statements of operations, members' equity, and cash flows for the period from July 18, 2013, to December 31, 2013 (Successor Period), and for the period from January 1, 2013, through July 17, 2013 (Predecessor Periods), and the related notes to the consolidated financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Company's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.



**Opinion**

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of the Company as of December 31, 2013, and the results of its operations and its cash flows for the Successor and Predecessor Periods in accordance with accounting principles generally accepted in the United States of America.

DELOITTE & TOUCHE LLP

October 8, 2014

Port Everglades / 1-31-17

## UNIVERSAL GROUP HOLDINGS, LLC

### CONSOLIDATED BALANCE SHEET AS OF DECEMBER 31, 2013

---

#### ASSETS

##### CURRENT ASSETS:

Cash	\$ 27,152,908
Restricted cash	2,197,230
Accounts receivable — net of allowance of 282,795	117,328,934
Unbilled services rendered	9,842,012
Inventory	2,039,133
Costs and estimated earnings in excess of billings on contracts in progress	3,720,155
Prepaid expenses and other current assets	<u>8,454,877</u>
Total current assets	170,735,249
PROPERTY AND EQUIPMENT — Net	22,046,694
DEPOSITS AND OTHER ASSETS	8,381,904
INTANGIBLE ASSETS — Net	169,378,963
GOODWILL	<u>221,259,165</u>
TOTAL ASSETS	<u>\$ 591,801,975</u>

(Continued)

Port Everglades / 1-31-17

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED BALANCE SHEET  
AS OF DECEMBER 31, 2013**

**LIABILITIES AND MEMBERS' EQUITY**

**CURRENT LIABILITIES:**

Accounts payable	\$ 9,382,629
Accrued payroll and related expenses	40,819,291
Accrued expenses	6,270,095
Accrued insurance	9,189,135
Billings in excess of costs and estimated earnings on contracts in progress	259,011
Refundable uniform deposits	2,261,575
Purchase price payable	16,991,288
Current portion of long-term debt and capital lease obligations	<u>7,182,205</u>

Total current liabilities 92,355,229

**LONG-TERM LIABILITIES:**

Accrued insurance, less current portion	16,353,959
Deferred rent, less current portion	313,301
Purchase price payable, less current portion	3,905,094
Long-term debt and capital lease obligations, net of current portion	<u>307,445,998</u>

Total liabilities 420,373,581

**COMMITMENTS AND CONTINGENCIES (Note 7)**

MEMBERS' EQUITY — Members' capital 171,687,407

MEMBERS' CAPITAL — Noncontrolling interest (259,013)

Total members' equity 171,428,394

**TOTAL LIABILITIES AND MEMBERS' EQUITY** \$ 591,801,975

The accompanying notes are an integral part of these consolidated financial statements. (Concluded)

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENTS OF OPERATIONS  
FOR THE PERIODS FROM JULY 18, 2013 TO DECEMBER 31, 2013 (SUCCESSOR)  
AND JANUARY 1, 2013 TO JULY 17, 2013 (PREDECESSOR)**

	July 18 to December 31, 2013 (Successor)	January 1 to July 17, 2013 (Predecessor)
REVENUES	\$ 405,556,616	\$ 394,651,191
DIRECT EXPENSES	<u>346,534,350</u>	<u>333,867,933</u>
GROSS PROFIT	<u>59,002,266</u>	<u>60,783,258</u>
OPERATING COST AND EXPENSES:		
General and administrative expenses	44,497,135	43,014,956
Acquisition and related costs — as acquirer	6,144,325	2,425,653
Transaction and related costs — as acquiree	2,420,610	79,824
Gain on disposal of equipment	(36,894)	(209,456)
Equity-based compensation costs	<u>1,981,869</u>	<u>                    </u>
Total operating cost and expenses	<u>55,007,045</u>	<u>45,310,977</u>
INCOME FROM OPERATIONS	<u>3,995,221</u>	<u>15,472,281</u>
OTHER EXPENSE:		
Interest expense	(9,689,194)	(12,834,038)
Write-off of unamortized financing fees and original issue discounts		(4,167,750)
Note termination fees	(5,117,824)	
Loss on changes in fair value of contingent purchase contingent purchase consideration	<u>(8,600)</u>	<u>(296,957)</u>
Total other expense	<u>(14,815,618)</u>	<u>(17,298,745)</u>
LOSS BEFORE PROVISION FOR INCOME TAXES	(10,820,397)	(1,826,464)
PROVISION FOR INCOME TAXES	<u>43,997</u>	<u>28,590</u>
NET LOSS	(10,864,394)	(1,855,054)
INCOME (LOSS) ATTRIBUTABLE TO NONCONTROLLING INTEREST	<u>2,216</u>	<u>(21,885)</u>
NET LOSS ATTRIBUTABLE TO UNIVERSAL GROUP HOLDINGS, LLC	<u>\$ (10,862,178)</u>	<u>\$ (1,876,939)</u>

The accompanying notes are an integral part of these consolidated financial statements.

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENTS OF MEMBERS' EQUITY  
FOR THE PERIODS FROM JULY 18, 2013 TO DECEMBER 31, 2013 (SUCCESSOR)  
AND JANUARY 1, 2013 TO JULY 17, 2013 (PREDECESSOR)**

	<u>Consolidated Controlled Group Equity</u>				<u>Total</u>
	<u>Common Stock</u>	<u>Retained Earnings</u>	<u>Partners' Capital</u>	<u>Noncontrolling Interest</u>	
Balance — December 31, 2012 (Predecessor), as reported (unaudited)	\$ 419,446	\$ 16,357	\$ 4,660,474	\$(130,156)	\$ 4,966,121
Adjustment to opening balance (unaudited) (Note 13)			(5,427,000)		(5,427,000)
Balance — December 31, 2012 (Predecessor), restated	419,446	16,357	(766,526)	(130,156)	(460,879)
Net loss	142,649	4,437	(2,024,025)	21,885	(1,855,054)
Distributions			(20,959,667)		(20,959,667)
Balance — July 17, 2013 (Predecessor)	<u>\$ 562,095</u>	<u>\$ 20,794</u>	<u>\$ (23,750,218)</u>	<u>\$(108,271)</u>	<u>\$ (23,275,600)</u>

(Continued)

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENTS OF MEMBERS' EQUITY  
FOR THE PERIODS FROM JULY 18, 2013 TO DECEMBER 31, 2013 (SUCCESSOR)  
AND JANUARY 1, 2013 TO JULY 17, 2013 (PREDECESSOR)**

	Class B-1 Common Units		Class B-2 Convertible Common Units		Class C-1 Units		Member Accumulated Deficit	Noncontrolling Interest	Total
	Units	Amount	Units	Amount	Units	Amount			
Balance — July 18, 2013 (Successor)	842,800	\$160,840,029	157,200	\$30,000,000	-	\$ -	\$ -	\$(108,271)	\$190,731,758
Equity-based compensation expense					191,116	1,981,869			1,981,869
Issuance of membership units	10,480	2,000,000							2,000,000
Distributions to members		(12,272,313)						(148,526)	(12,420,839)
Net loss							(10,862,178)	(2,216)	(10,864,394)
Balance — December 31, 2013 (Successor)	<u>853,280</u>	<u>\$150,567,716</u>	<u>157,200</u>	<u>\$30,000,000</u>	<u>191,116</u>	<u>\$1,981,869</u>	<u>\$(10,862,178)</u>	<u>\$(259,013)</u>	<u>\$171,428,394</u>

The accompanying notes are an integral part of these consolidated financial statements.

(Concluded)

Port Everglades

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENTS OF CASH FLOWS  
FOR THE PERIODS FROM JULY 18, 2013 TO DECEMBER 31, 2013 (SUCCESSOR)  
AND JANUARY 1, 2013 TO JULY 17, 2013 (PREDECESSOR)**

	July 18 to December 31, 2013 (Successor)	January 1 to July 17, 2013 (Predecessor)
<b>CASH FLOWS FROM OPERATING ACTIVITIES:</b>		
Net loss	\$ (10,864,394)	\$ (1,855,054)
Adjustments to reconcile net loss to net cash provided by operating activities:		
Notes issued or accrued as interest payment-in-kind		1,932,349
Increase in contingent consideration due to seller	8,600	296,957
Depreciation and amortization	9,999,564	6,078,865
Gain on disposal of property and equipment	(36,894)	(209,456)
Equity-based compensation expense	1,981,869	
Interest amortization of deferred financing costs and discounted debt	835,197	4,215,500
Change in operating assets and liabilities:		
Accounts receivable	(26,335,863)	(2,623,753)
Unbilled services rendered	11,173,926	(11,408,455)
Inventory	(213,023)	(341,717)
Costs and estimated earnings in excess of billings on contracts in progress	935,543	1,170,755
Prepaid expenses and other assets	15,547,991	(1,298,674)
Employee advances		(46,967)
Deposits	(19,300)	(14,094)
Accounts payable	1,304,887	671,485
Accrued payroll and related expenses	10,479,595	3,843,249
Accrued expenses	(2,321,531)	(3,001,865)
Accrued insurance	3,920,344	3,721,413
Deferred rent	(69,459)	(68,014)
Billings in excess of costs and estimated earnings on contracts in progress	(298,020)	(2,036,513)
Refundable uniform deposits	(18,286)	162,867
Net cash provided by/(used in) operating activities	<u>16,010,746</u>	<u>(811,122)</u>

(Continued)

**UNIVERSAL GROUP HOLDINGS, LLC**

**CONSOLIDATED STATEMENTS OF CASH FLOWS  
FOR THE PERIODS FROM JULY 18, 2013 TO DECEMBER 31, 2013 (SUCCESSOR)  
AND JANUARY 1, 2013 TO JULY 17, 2013 (PREDECESSOR)**

	July 18 to December 31, 2013 (Successor)	January 1 to July 17, 2013 (Predecessor)
<b>CASH FLOWS FROM INVESTING ACTIVITIES:</b>		
Purchase of property and equipment	\$ (1,590,364)	\$ (509,414)
Proceeds from sale of equipment	54,436	47,765
Acquisitions, net of cash acquired	(43,194,096)	(44,224,982)
Change in restricted cash	53,152	(107,936)
Net cash used in investing activities	<u>(44,676,872)</u>	<u>(44,794,567)</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES:</b>		
Payments of deferred financing costs	(10,426,656)	(365,864)
Net proceeds on line of credit		44,488,664
Borrowings on line of credit	137,000,000	
Repayments of line of credit	(179,370,569)	
Borrowings of long-term debt and capital lease obligations	254,563,750	20,000,000
Principal payments on long-term debt and capital lease obligations	(148,738,698)	(1,997,063)
Payments of contingent consideration	(1,311,964)	(8,033,199)
Issuance of membership units	2,000,000	
Distributions to members/partners	<u>(12,420,839)</u>	<u>(10,198,043)</u>
Net cash provided by financing activities	<u>41,295,024</u>	<u>43,894,495</u>
NET INCREASE (DECREASE) IN CASH	12,628,898	(1,711,194)
CASH — Beginning of the period	<u>14,524,010</u>	<u>16,235,204</u>
CASH — End of the period	<u>\$ 27,152,908</u>	<u>\$ 14,524,010</u>
<b>SUPPLEMENTAL DISCLOSURES:</b>		
Cash paid for interest	<u>\$ 19,773,082</u>	<u>\$ 12,517,807</u>
Cash paid for income taxes	<u>\$ 43,997</u>	<u>\$ 28,590</u>
<b>NONCASH INVESTING AND FINANCING ACTIVITIES:</b>		
Purchases of automobiles, uniforms and equipment through capital lease obligations and contracts payable	<u>\$ 2,993,824</u>	<u>\$ 1,842,945</u>
Contingent consideration on acquisitions	<u>\$ 6,968,553</u>	<u>\$ 4,476,085</u>
Noncash distributions to members	<u>\$ -</u>	<u>\$ 10,761,624</u>

The accompanying notes are an integral part of these consolidated financial statements. (Concluded)





**VENDOR CREDIT and BANK REFERENCE CONTACTS**

**Element Fleet Management**

Three Capital Drive  
Eden Prairie, MN 55344  
Tripp Howiler (949) 838-3081  
Fax: (866) 450-8668  
Fleet# 7192  
[thowiler@elementcorp.com](mailto:thowiler@elementcorp.com)

**IKON Office Solutions**

640 Freedom Business Center  
King Of Prussia, PA 19406  
Andrew Terifay (610) 382-6052  
[Aterifay@IKON.com](mailto:Aterifay@IKON.com)

**Pacific Uniform**

20626 Belshaw Avenue  
Carson, CA 90746  
Shawn Fadden (310) 886-0111  
[shawn@pacificlaundry.net](mailto:shawn@pacificlaundry.net)

**Galls**

2680 Palumbo Drive  
Lexington, KY 40509  
Chantelle Shelton (859) 266-7227, ext. 2160  
[Shelton-chantelle@galls.com](mailto:Shelton-chantelle@galls.com)

**Bank Reference**

PNC Bank, N.A.  
1000 Westlakes Drive, Suite 200  
Berwyn, PA 19312  
Jeb Barth (610) 725-5801  
[Jeb.barth@pnc.com](mailto:Jeb.barth@pnc.com)

**Universal Services of America, LP**

FEIN – 27-1562945  
DUNS 17-894-4224  
NAICS Code 561612, SIC Code 7381  
Stephen Gramiak  
(484) 351-1586  
[Stephen.gramiak@aus.com](mailto:Stephen.gramiak@aus.com)

With revenues of over \$4.5B, Allied Universal is a privately-held portfolio investment of global private equity firms Warburg Pincus LLC, Wendel SE and Partners Group. Allied Universal, with more than 140,000 employees, is the largest security services company in North America.

Headquarters East - 161 Washington Street, Suite 600 – Conshohocken, PA 19428

Headquarters West - 1551 N. Tustin Avenue, Suite 650 – Santa Ana, California 92705

Westchester Fire Insurance Company

**VERIFICATION CERTIFICATE FOR  
INDEFINITE TERM SURETY BOND**

---

THIS IS TO CERTIFY that Bond No. K08978384 issued by Westchester Fire Insurance Company dated this 5 day of December, 2016, in the amount of Dollars (\$20,000.00), on behalf of AlliedBarton Security Services, LLC (as Principal), and in favor of Broward County, Port Everglades Attn: Director of Administration (as Oblige), covers a term which began on the 5 day of December, 2016, and ends only with the cancellation of said bond or other legal termination thereof; and that the said bond remains in effect, subject to all its agreements, conditions and limitations.

Signed, sealed and dated this 21<sup>st</sup> day of December 2016.

Westchester Fire Insurance Company

BY: 

Melissa Lopez, Attorney-in-Fact

12/5/2016 - 2017  
current or renewal date.

---

Please mail Inquiries to:

ACE Surety Underwriting Services  
436 Walnut Street, WA10H  
Philadelphia, PA 19106-3703  
Phone 1-800-392-3770

# CALIFORNIA ALL PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA )

COUNTY OF Orange )

On DEC 21 2016 before me, Frances Lefler Notary  
Public,

Date

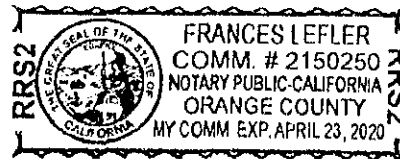
(here insert name and title of the officer)

personally appeared Melissa Lopez

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Frances Lefler (Seal)

OPTIONAL

Description of Attached Document

Title or Type of Document: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Document Date: \_\_\_\_\_ Other: \_\_\_\_\_

# Power of Attorney

## WESTCHESTER FIRE INSURANCE COMPANY

Know all men by these presents: That WESTCHESTER FIRE INSURANCE COMPANY, a corporation of the Commonwealth of Pennsylvania pursuant to the following Resolution, adopted by the Board of Directors of the said Company on December 11, 2006, to wit:

"RESOLVED, that the following authorizations relate to the execution, for and on behalf of the Company, of bonds, undertakings, recognizances, contracts and other written commitments of the Company entered into the ordinary course of business (each a "Written Commitment"):

- (1) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise.
- (2) Each duly appointed attorney-in-fact of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise, to the extent that such action is authorized by the grant of powers provided for in such persons' written appointment as such attorney-in-fact.
- (3) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to appoint in writing any person the attorney-in-fact of the Company with full power and authority to execute, for and on behalf of the Company, under the seal of the Company or otherwise, such Written Commitments of the Company as may be specified in such written appointment, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.
- (4) Each of the Chairman, the President and Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to delegate in writing any other officer of the Company the authority to execute, for and on behalf of the Company, under the Company's seal or otherwise, such Written Commitments of the Company as are specified in such written delegation, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.
- (5) The signature of any officer or other person executing any Written Commitment or appointment or delegation pursuant to this Resolution, and the seal of the Company, may be affixed by facsimile on such Written Commitment or written appointment or delegation.

FURTHER RESOLVED, that the foregoing Resolution shall not be deemed to be an exclusive statement of the powers and authority of officers, employees and other persons to act for and on behalf of the Company, and such Resolution shall not limit or otherwise affect the exercise of any such power or authority otherwise validly granted or vested.

Does hereby nominate, constitute and appoint Christina Johnson, Ellen Bell, Erik Johansson, Frances Lefler, Melissa Lopez, all of the City of TUSTIN, California, each individually if there be more than one named, its true and lawful attorney-in-fact, to make, execute, seal and deliver on its behalf, and as its act and deed any and all bonds, undertakings, recognizances, contracts and other writings in the nature thereof in penalties not exceeding Fifty million dollars & zero cents (\$50,000,000.00) and the execution of such writings in pursuance of these presents shall be as binding upon said Company, as fully and amply as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its principal office,

IN WITNESS WHEREOF, the said Stephen M. Haney, Vice-President, has hereunto subscribed his name and affixed the Corporate seal of the said WESTCHESTER FIRE INSURANCE COMPANY this 14 day of June 2016.

WESTCHESTER FIRE INSURANCE COMPANY

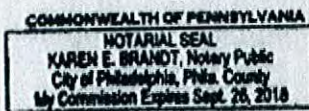


*Stephen M. Haney*  
Stephen M. Haney, Vice President

COMMONWEALTH OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA ss.

On this 14 day of June, AD. 2016 before me, a Notary Public of the Commonwealth of Pennsylvania in and for the County of Philadelphia came Stephen M. Haney, Vice-President of the WESTCHESTER FIRE INSURANCE COMPANY to me personally known to be the individual and officer who executed the preceding instrument, and he acknowledged that he executed the same, and that the seal affixed to the preceding instrument is the corporate seal of said Company; that the said corporate seal and his signature were duly affixed by the authority and direction of the said corporation, and that Resolution, adopted by the Board of Directors of said Company, referred to in the preceding instrument, is now in force.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Philadelphia the day and year first above written.



*Karen E. Brandt*  
Notary Public

I, the undersigned Assistant Secretary of the WESTCHESTER FIRE INSURANCE COMPANY, do hereby certify that the original POWER OF ATTORNEY, of which the foregoing is a substantially true and correct copy, is in full force and effect.

In witness whereof, I have hereunto subscribed my name as Assistant Secretary, and affixed the corporate seal of the Corporation, this day of DEC 21 2016



*William L. Kelly*  
William L. Kelly, Assistant Secretary

THIS POWER OF ATTORNEY MAY NOT BE USED TO EXECUTE ANY BOND WITH AN INCEPTION DATE AFTER January 26, 2018.



THIS CHECK CONTAINS MULTIPLE FRAUD DETERRENT SECURITY FEATURES



161 WASHINGTON STREET, 6th FLOOR  
CONSHOHOCKEN, PA 19428-2083  
800-514-8273

CHECK NUMBER

13455132

DATE


01/20/17

PAY\*\*\*Four Thousand DOLLARS and NO CENTS\*\*\*

\$\*\*\*\*4,000.00

TO THE ORDER OF **Broward County Board of Commissioners**  
**1850 Eller Dr.**  
**Fort Lauderdale, FL 33316**

PNC BANK  
PHILADELPHIA, PA  
3-5/310

  
AUTHORIZED SIGNATURE

⑈ 13455132 ⑆ ⑆ 031000053 ⑆ 8615592299 ⑆

REMOVE DOCUMENT ALONG THIS PERFORATION

Vendor #: 53993

Broward County Board of Commissioners

Memo	Invoice Date	Invoice No.	Amount	Discount	Net Amt.
Port Everglades Assignment Fee	12/22/16	122216	\$4,000.00	\$0.00	\$4,000.00

Port Everglades Assignment Fee

Check Number: 13455132

Check Date: 01/20/17

Check Amount: \$\*\*\*\*4,000.00

**FLORIDA DEPARTMENT OF AGRICULTURE AND CONSUMER SERVICES**

**ADAM H. PUTNAM  
COMMISSIONER**

**DIVISION OF LICENSING**

**08/24/16**  
DATE ISSUED

**07/16/17**  
DATE OF EXPIRATION

**BB2800023**  
LICENSE NUMBER

**UNIVERSAL PROTECTION SERVICE, LLC (FORT LAUDERDALE BRANCH)  
DBA ALLIED UNIVERSAL SECURITY SERVICES, LLC**

3201 WEST COMMERCIAL BLVD,  
SUITE 208  
FORT LAUDERDALE, FL 33309

THE *SECURITY AGENCY* BRANCH OFFICE NAMED ABOVE IS LICENSED AND REGULATED UNDER THE PROVISIONS OF CHAPTER 493, FLORIDA STATUTES.



**ADAM H. PUTNAM  
COMMISSIONER**

**SECURITY AGENCY MANAGER**  
**STATE OF FLORIDA**

**LICENSE NUMBER**  
**MB1600211**



**GATTIS, TERRY L.**

**BIRTH DATE** 1/27/53      **RACE** W


**EXPIRES** 10/22/17

The above license is issued by the Department of Agriculture, in accordance with the Florida Statutes.

**ADAM H. PUTNAM**  
**COMMISSIONER**



**SECURITY AGENCY MANAGER**  
**STATE OF FLORIDA**



**LICENSE NUMBER**  
**MB1200116**

**MACEO, DAVID J.**

**BORN DATE** 11/27/56      **SEX** M      **RACE** W

**EXPIRES** 07/16/18

The above license is issued pursuant to the Department of Agriculture, Fisheries and Forestry, Division of Licensing in accordance with the Florida Statutes.

**ADAM H. PUTNAM**  
**COMMISSIONER**





## Allied Universal Background Requirements for Guards and Supervisors

Background requirements for guards and supervisors are as follows:

### **FIELD OFFICE MANAGEMENT STAFF, FIELD SHIFT SUPERVISORS and LEVEL I/II SECURITY OFFICER ASSIGNMENT REQUIREMENTS**

- 1.1. Be a citizen of the United States of America or an alien who has been lawfully admitted for permanent residence with the legal right to work in the United States of America.
- 1.2. Be at least 21 years of age.
- 1.3. Possess a high school diploma or G.E.D.
- 1.4. Possess a valid Florida Operator's Driver's License.
- 1.5. For the PORT, possess an active Transportation Security Administration (TSA) issued Transportation Worker Identification Credential (TWIC).
- 1.6. For BCAD, possess an active BCAD issued Security Identification Display Area (SIDA) card.
- 1.7. Able to read, write, speak, understand, and be understood in English sufficiently to permit understandable communication, especially in times of stress.
- 1.8. Proficient in the NATO Phonetic Alphabet and 10 Code radio communication protocols.
- 1.9. Able to establish and maintain effective working relationships with the general public and other employees.
- 1.10. Able to maintain clerical records and prepare written reports as required.
- 1.11. Able to detect and report security threats and unsafe conditions.
- 1.12. Able to maintain a professional appearance, personal hygiene and cleanliness.
- 1.13. Submit to a Criminal History Record Check (CHRC) to meet BCAD and PORT badging requirements.
- 1.14. Complete BCAD and PORT led specific training prior to assignment.

### Screening

Allied Universal background screening is an essential component in our process for selecting high caliber employees for Port Everglades. Initial conversations and recorded or phone interviews between recruiter and applicants provide an opportunity to evaluate demeanor, attitude and communications skills. Qualified candidates formally interview with hiring managers. Allied Universal's standard background screening process includes:



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- Education verification
- Employment verification
- Criminal records check with stringent hiring standards
- National Sex Offender Registry
- Social Security check
- Electronic E-Verify and I-9 employment verification
- Motor Vehicle Report (for those security professionals designated to drive a vehicle)
- Five-panel drug test

<b>Application Review &amp; Assessment</b>	Careful analysis focuses on employment history and stability, and on experience/qualifications specific to the job opening.
<b>Interviews</b>	The initial in-person interview assesses punctuality and appearance and clarifies points of the candidate's application. Multiple interviews may be conducted, and candidates progressing beyond this level will attend our orientation program.
<b>Education and Employment Verification</b>	Allied Universal verifies high school diplomas, GED certificates or highest degrees obtained, and a minimum of one previous employer (when applicable); including military DD214.
<b>Electronic I-9 and E-Verify</b>	Employment verification must be completed for all Allied Universal employees to present proof of citizenship or authorized alien status. All potential employees are processed through E-Verify, the government's employment eligibility system, as well.
<b>Management Testing</b>	Management testing may involve the Thomas Personal Profile Analysis, The Kenexa Manager assessment or The Kenexa Leadership assessment, depending on the position. These help assess candidates' management abilities, drive, maturity and people skills, and evaluate whether they are a good fit.
<b>Social Security Checks</b>	As an additional measure of precaution, we run Social Security checks on each candidate to verify identity and history of addresses. The latter is used to assure all associated addresses are considered when determining states and countries to be included in the criminal background check process.
<b>Criminal Background Checks</b>	Prior to being hired, each employee undergoes a comprehensive criminal records check. Where required, fingerprints are taken and submitted to the appropriate law enforcement agency for a detailed background investigation. Statewide criminal checks are also conducted when required. Allied Universal will only hire individuals who are suitable for employment in the positions for which they are being hired and who are eligible to hold a security guard license where required by state law.
<b>National Sex Offender Registry (except CA and NV where such checks can only be done in accordance with state law)</b>	This additional step in the background check process searches the Federal Department of Justice, which includes real time listings of registered sex offenders. By searching the DOJ, the most complete and current report is available.



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<b>Pre-employment Drug Testing</b>	All Allied Universal candidates undergo a five-panel drug test prior to hire to screen, at minimum, for marijuana, cocaine, amphetamines, morphine and PCP. Preferred five-panel testing is on-site oral fluid (depending on state and contractual requirements). In states that do not allow on-site testing, we offer Intercept, an oral fluid device administered on-site with the results conducted by the lab. On-site ten-panel tests, off-site five-panel or ten-panel tests, hair follicle and alcohol testing are available at an additional charge.
<b>Motor Vehicle Report</b>	Security professionals designated to drive a vehicle are subject to Motor Vehicle Report checks and on-site training before they can operate a vehicle.
<b>Security Professional Integrity/Honesty Testing</b>	Allied Universal offers the Reid Test for security professional integrity/honesty testing. This selection assessment tool evaluates attitudes and behaviors associated with high levels of integrity and productive work habits. Allied Universal offers a discounted rate through a commercial arrangement.
<b>Specialized Screening</b>	To be developed in coordination with the port to meet changing threat levels.



# **EMPLOYEE HANDBOOK**

Security Professionals

All Locations Nationally

(Excluding Employees in California, Canada, Puerto Rico and US Virgin Islands)

December 1, 2016

## **Alcohol{ XE "Alcohol" } and Drug Free Workplace{ XE "Drug Free Workplace" }**

Employees are the most valuable resource at Allied Universal. For this reason, the Company has a critical interest in the health, safety, and well-being of its Employees and the maintenance of a safe and efficient work environment. The Company recognizes that drug and alcohol abuse can be a key contributor to work environment safety and Employee health problems. Drug and alcohol abuse can result in decreased productivity, judgment, and/or quality of task performance that may ultimately be a factor in increased accidents, medical costs, absenteeism and workers' compensation costs. Consequently, it is Allied Universal's goal to provide a workplace free of illegal drugs and alcohol abuse.

To accomplish this goal, the Company tests all applicants following issuance of a conditional offer of employment, and reserves the right to test current Employees for reasonable cause, following involvement in any work related accident or injury, or on a random basis or annual basis, where permitted by law.

A verified positive test with no reasonable medical explanation will result in the conditional job offer of an applicant being withdrawn, and termination of employment for current Employees. Submission to a drug test is a condition of employment and refusal to submit to a drug test will be treated as though a positive test has occurred and the Employee will be terminated unless otherwise provided by state law.

In addition to these testing requirements, the Company will also enforce the following rules:

- The manufacture, possession, use, purchase or distribution of illegal drugs or controlled substances, or paraphernalia associated with the same, is strictly prohibited on Company (or Customer) property, on Company time, or while in Company vehicles.
- The use of alcohol by an Employee on Company (or Customer) property, on Company time, or in a Company vehicle is prohibited. (Any exception for a Company social function must be approved by a manager who is a Vice President level or above).
- Reporting to work or working while intoxicated or under the influence of any unauthorized controlled substance or illegal drug is prohibited.

Any Employee who is taking a prescribed or over-the-counter medication that may impact his/her ability to perform his/her job safely must immediately inform his/her Human Resources Representative.

Additionally, while there are some states that recognize the use of marijuana for medical and/or recreational uses, marijuana is deemed an unauthorized controlled substance for purposes of this policy; as such, Employees may not arrive to work impaired or under the influence of marijuana to any degree and must abide by the Company's drug free work environment policy regardless of the state in which they work and regardless whether they may be a registered and/or qualified medical marijuana patient.

Any Employee who is convicted of, or pleads guilty to, a criminal drug offense that occurred in the workplace must notify his/her Human Resources Representative no later than five (5) days after such conviction. Compliance with this policy is a condition of employment for all Employees. Any Employee who violates any part of this policy is subject to disciplinary action, up to and including termination of employment.

All matters concerning an individual and this policy will be handled in a confidential manner, and information will be disseminated on a "need to know" basis only. All medical records (such as drug and alcohol tests) will similarly be treated with the required confidentiality. Any questions regarding this policy should be directed to the Employee's Human Resources Representative.

#### ■ Self-Referral For Substance Abuse{ XE "Substance Abuse" }

Allied Universal encourages any Employee with a drug or alcohol abuse problem to voluntarily seek treatment. The Corporate Benefits Department will assist Employees who voluntarily seek help by referring them, when possible, to counseling and treatment services. All costs associated with the counseling and/or treatments are the sole responsibility of the Employee, although counseling and treatment may be covered by an Employee's medical insurance where available.

A decision to seek such assistance will not be used as the basis for disciplinary action and will not be used against the individual in any disciplinary proceeding. On the other hand, using a counseling or treatment program will not be a defense to the imposition of discipline for any previous or subsequent violation of the policy or rules listed above.



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## LOCAL RESPONSE | NATIONAL SUPPORT

### Broward District Operations

Allied Universal's history is deeply rooted in Florida, where we have operated as a security officer services company since 1985, beginning in Deerfield Beach. Allied Universal is proud to represent Broward County, serving some of the most recognized names in the area, including **JM Family Enterprises**, **Crowley Liner Services**, **FedEx**, **Fort Lauderdale Executive Airport (FXE)** and **Nova Southeastern University**.

LOCAL RESPONSE

 <p><b>Port Everglades Office</b> 1850 Eller Dr., 6<sup>th</sup> Floor Administration Building Suite 603 Fort Lauderdale, FL 33316</p>		 <p style="text-align: right; font-size: small;">There for you.</p> <p><b>Port Everglades Office</b> 1850 Eller Dr., Suite 102 Fort Lauderdale, FL 33316 <b>Phone: 954-468-3467</b> <b>Fax: 954-425-8275</b></p>
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Allied Universal is Broward County's local security service provider, located at Deerfield Beach and Port Everglades in Fort Lauderdale, Florida.

Locally, Allied Universal employs more than **1,300** uniformed security officers. Our Broward district office is an independently functioning business unit, focused on achieving local client security program goals. The district is led by District Manager **David Macedo**, who is responsible for achieving corporate financial goals and objectives, and who oversees and directs all operational, financial and administrative functions assigned within his district while providing quality security services to our clients. The Broward district office is supported by Southern Region's dedicated recruiters, trainers and human resource management. Allied Universal's Broward district team is both accessible and accountable. Local management has not only the responsibility but the authority to respond immediately to questions and concerns, anytime, day or night. A strong, local management presence and support delineates Allied Universal's ability to be the security service provider of choice. Resumes of our key Southern Region and Broward district personnel are provided in our proposal, along with an organizational chart showing how we are structured to continue to service the County contract.

### SOUTHERN REGION SUPPORT

*Providing security services in Florida since 1985*

Allied Universal's **172** branch offices (including Puerto Rico, Virgin Islands, Canada, Hawaii). They are organized under one of 10 Regions (**6 east and 4 west**). Each region comes under the management of a **President/General Manager**. Regional offices are strategically located within the local geography to support multiple district offices. Dedicated recruiters, trainers and human resource specialists provide an additional layer of resources for the County contract. Much of regional operations time is spent at district

LOCAL RESPONSE...	
Southern Region Management	
VP / GM	<b>Bob Wood</b>
Regional Vice President	Keith Kepler
Regional Vice President	<b>Robert Chambers</b>
Regional Vice President	Jose Ubieta
Human Resources Manager	Claire Balfour
Regional Recruiter	Jason Zigman
Director, Operations	Lillian Marinacci
VP Business Development	Andrew Daniels
Director, Florida Government Services	Taylor McDonald
...NATIONAL SUPPORT	
SVP, Government Services	Mimi Lanfranchi
Vice President, Government Services	Tracy Fuller



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offices and client locations; Quality Assurance is their primary responsibility.

### **CORPORATE SUPPORT**



Allied Universal's corporate management team is made up of industry executives who understand your security needs and have in place the programs and training to ensure the County receives best-in-class services, the **National Support** of our **Local Response**. Centralized functions and shared services are housed at our Conshohocken headquarters located

northwest of Philadelphia, Pennsylvania, including

- Accounting Shared Services
- Benefits
- Finance and Accounting
- Human Capital Management
- Human Resources
- IT (tech support)
- Legal Affairs and Compliance
- Project Management
- Purchasing
- Talent Acquisition Team
- Training
- Marketing and Sales Services
- Project Management





There for you.

The County will receive corporate executive sponsorship through Southern Region Vice President/General Manager Keith Kepler. As part of our **National Support**, the contract will continue to be fully supported by necessary equipment, labor, and oversight to ensure on an ongoing basis, a compliant security program will be implemented on-time, staffed with qualified, trained security officers, and County operations at BCAD and PORT facilities can continue uninterrupted.

➤ **Include resumes for the oversight support staff.**

Allied Universal’s Southern Region Team is led by **Bob Wood, Regional President**. Biographies/Resumes for Allied Universal’s Southern Regional Support Staff is followed by a chart showing the organization structure and team members.

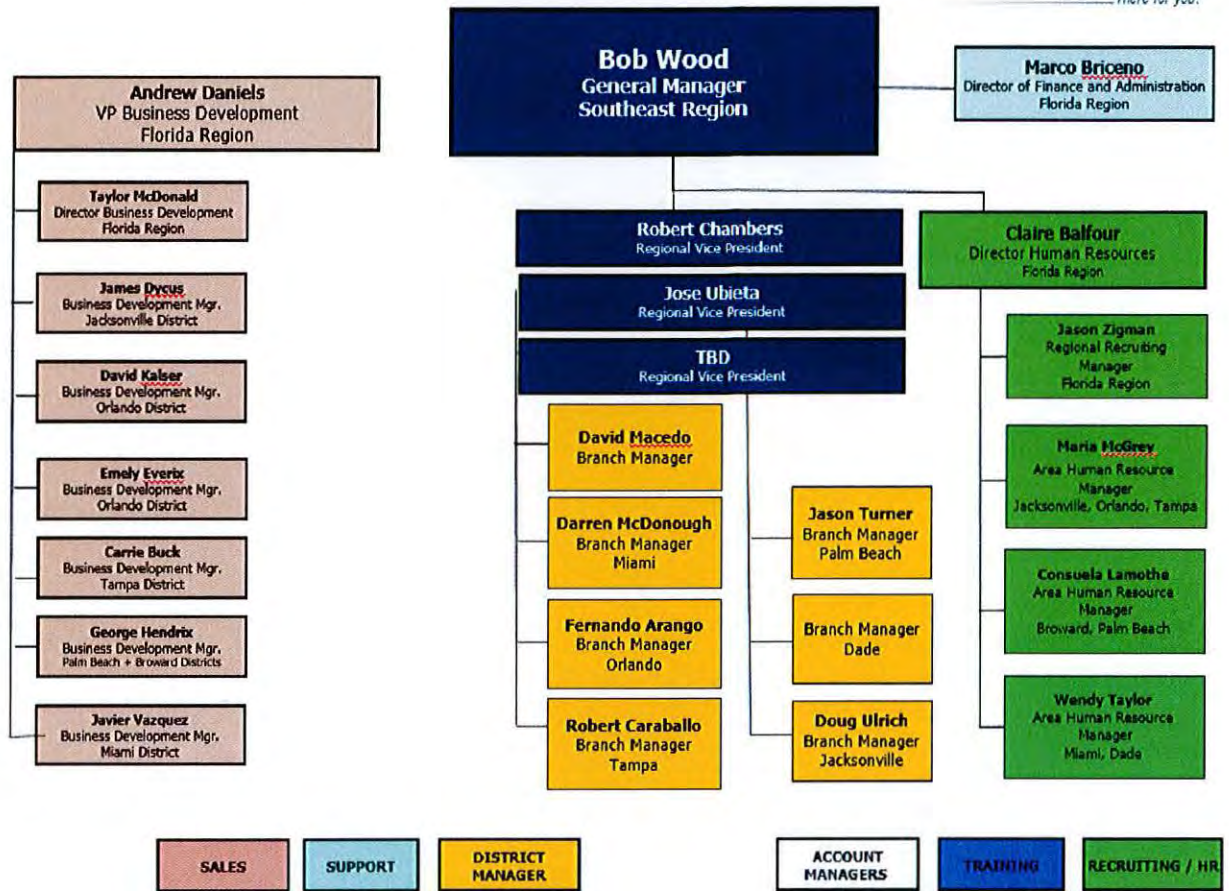
NAME & TITLE	POSITION DESCRIPTION
<p><b>BOB WOOD</b> Regional President</p>	<p>Mr. Robert Wood, CPP President, Southeast has been with Universal Protection Service LLC (dba Allied Universal Security Services for 4 years. He has been in the security industry for 30 years. He previously served as President, Northeast Region, Universal Protection Service, New York, NY, 2013-2016, Senior Vice President and Executive, Universal Protection Service, New York, NY, 2012-2013 and Vice President of the Security Officer Services Division, T&amp;M Protection Resources, New York, NY, 2004 to 2012. Wood is responsible for the management and oversight of all Universal field security operations, including account management, field supervision, customer relations management and business development. He was selected as "Enlisted Man of the Year" in 1985 while serving in the Army National Guard's 114th Infantry 50th Armored Division; received Ernst &amp; Young Entrepreneur of the Year Award, 2009 and he has also earned board certification as a Certified Protection Professional from ASIS International and his Certified Fraud Examiners designation from the Association of Certified Fraud Examiners. He received his Bachelor of Science in Criminal Justice, College of New Jersey, 1984.</p>
<p><b>KEITH KEPLER</b> Regional Vice President</p>	<p>Mr. Kepler oversees the business operations for Allied Universal in the Southern region. This includes direct management of six district offices throughout Florida, including: Miami, Dade, Deerfield, Palm Beach, Jacksonville, and Tampa. Mr. Kepler brings more than 20 years of security experience with Allied Universal. He holds both a Master of Arts and a Bachelor of Arts degree in Criminal Justice from University of South Carolina.</p>
<p><b>ROBERT CHAMBERS</b> Regional Vice President</p>	<p>Robert Chambers serves as the Regional Vice President Allied Universal Security Service. He served as the Regional Vice President of ABM Security since 1994. Chambers manages and directs all operational and staff activities for assigned clients in the Florida East Coast and surrounding area.</p>
<p><b>JOSE UBIETA</b> Regional Vice President</p>	<p>Jose Ubieta, an airport-certified employee in security through AAAE, has been involved in security for more than 20 years. He has been with Allied Universal since 2009, serving as the VP of Operations, overseeing more than 120,000 hours per week of security services. He previously served as GSA Security Management for Miami-Dade County. He has held positions of increasing responsibility in the security industry as Branch Manager for US Security Associates (2001-2009) and Operations Manager for Burns International (1990-2001). He is a member in good standing in ASIS International (formerly American Society for Industrial Security). Jose holds his license for a Class MB Security Manager in the State of Florida. He also holds a Bachelor of Science degree in Criminal Justice from Florida International University.</p>



NAME & TITLE	POSITION DESCRIPTION
<p><b>ANDREW DANIELS</b> Vice President Business Development</p>	<p>Andrew Daniels is the Vice President of Business Development for the Southern Region. He manages all new business and client relationships throughout Florida. Andrew has extensive sales and customer service experience and has previously worked for Schering-Plough Corporation as well as Amerbelle Corporation. He is an active member of Building Owners and Managers Association (BOMA). Andrew holds a Bachelor of Arts in Speech Communication from Ithaca College in New York.</p>
<p><b>LILLIAN MARINACCI</b> Director Operations</p>	<p>Lillian is the Director, Operations with Allied Universal, responsible for the training, development, and quality assurance of the Southern Region. Lillian previously served as the Client Area Manager for Florida Power &amp; Light where she managed over 150 Security Officers and Supervisors across the Southern Region. Her accomplishments include the 2012 Frank Rabena Award and the Account Manager of the Year for the Palm Beach District in 2010 and 2011. Prior to joining Allied Universal, Lillian had eight years of Loss Prevention Management experience. Certifications include: Wicklander Zuluwsky Certified in Interview and Interrogation Techniques, CPR/AED, OSHA- Essentials of Safety Training I and II and- Getting Top Results from Your Security Force.</p>
<p><b>TAYLOR MCDONALD</b> Director Business Development</p>	<p>Taylor McDonald oversees government, higher education and healthcare business development in the Southern region. Prior to joining Allied Universal, Taylor worked in Federal government contracting for Harris Corporation. During her thirteen years with Harris Corporation she worked in partnership with the Federal Bureau of Investigation, Defense Intelligence Agency, National Geospatial Intelligence Agency and the Federal Aviation Authority. She holds a Bachelor of Science in Engineering Arts from Michigan State University and a Master of Science degree from Florida Institute of Technology in Engineering Psychology/Human Factors Engineering. She holds the Airport Certified Employee (ACE) designation based on 49 Code of Federal Regulations (CFR) – 1500 Series: Transportation Security Administration (TSA) Regulations and the International Civil Aviation Organization (ICAO) Annex 17. She also holds the FSO/VSO/CSO Combined Certification for Marine Terminal Security Services performed and regulated under Marine Transportation Security Act (MTSA) 33 CFR Part 105 and 33 CFR 104 regulations. In addition she is a Master Security Officer Level 5 and has completed the School of Healthcare Security and Higher Education Security Essentials at Allied Universal.</p>
<p><b>David Macedo</b> Broward District Manager</p>	<p>David Macedo has 20 years of seaport experience and 5 years of experience managing the Broward County Seaport &amp; Airport Security Program before assuming the leadership role for the Branch Office in Fort Lauderdale, Florida. In addition, he has 30 years of experience in U.S. Coast Guard. Macedo earned his Bachelor of Science: Management/Psychology, New York University/Excelsior College. He holds his Licensed Security Manager "MB" – State of Florida; Airport Certified Employee (ACE) in Security through AAAS; Licensed FAA Private Pilot; Certified "PADI" Open Water Diver; US Coast Guard Licensed Captain; Class "D" Security License, Florida ; Transportation Worker Identification Credential (TWIC) badge and Security Identification Display Area (SIDA) badge</p>



## FLORIDA REGION Organizational Chart



➤ **Total number of current employees**

Allied Universal has more than 60,000 employees, including 7000 specially-trained Government Services contract security officers. In Florida, more than 4,200 security personnel are deployed from 6 district offices as shown in the following table.

- Number of Allied Universal Security Officers in Southern Region
  - 21,502
- Number of Allied Universal Security Officers in Broward District
  - 1,285
- Number of Allied Universal Class "G" Licensed Security Officers in the Southern Region
  - 881
- Number of Allied Universal TWIC-cleared Security Officers in the Southern Region
  - 461
- Number of Allied Universal SIDA-cleared Security Officers in the Southern Region
  - 298

DISTRICT OFFICE	HEADCOUNT
Broward	1285
Jacksonville	1257



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<b>Miami/Dade</b>	2571
<b>Orlando</b>	1628
<b>Tampa</b>	1828
<b>West Palm</b>	1171

**AVERAGE SENIORITY OF CURRENT EMPLOYEES**

As of 8/13/2014, the average tenure for all Company employees was 3.62 years.

The following table shows the average years of tenure by position across the Company.

<b>POSITION</b>	<b>YEARS</b>
Security Officer	<b>3.25</b>
District Management	<b>8.55</b>
Senior Operations Management	<b>10.22</b>
Executive Management	<b>15.24</b>
Administrative (Includes All Support Positions Including Manager, Directors, Vice Presidents)	<b>4.52</b>

**TOTAL NUMBER OF SUPERVISORY EMPLOYEES**

- Number of Allied Universal Supervisors/Managers for Broward District
  - 16 Site Supervisors
  - 20 Shift Leads
  - 7 Account/Project Managers
  - 5 Second in Command Supervisors

**TOTAL NUMBER OF SECURITY OFFICERS**

The following table provides total employee headcount nationwide by job category.

<b>JOB CATEGORY</b>	<b>HEADCOUNT</b>
Security Officers	140,005
Branch, Corporate & Administrative Staff	4,584
<b>TOTAL EMPLOYEES</b>	<b>144,589</b>

\* (Based on 2014 EEO Report)



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**Allied Universal Government Services National Aviation Client Locations**



- Security for Secure Storage & SIDA Areas
- Electronic Reporting & Quality Assurance
- Access Control
- Customizing & Badging
- Securing Exit Lanes from Sterile Areas
- Perimeter Patrols
- CCTV & Alarm Monitoring
- Roaming Patrols
- Gate-side Security & Traffic Control
- Walk-thru Metal Detector Screening
- Exit Dispatch
- X-ray Screening

Pacific Ocean, Atlantic Ocean, Gulf of Mexico

**INDUSTRY COMMITMENT**

- Public Safety & Security Committee for Airports
- American Association of Airport Executives
- ALBAN
- NASCO
- ASIS

**ALLIED UNIVERSAL ADVANTAGE**

- EDGE: Proven Airport Security Training
- Safety Act Designation
- International National Aviation Council

Unmatched Available Expertise Nationwide

**2,000 SIDA CLEARED OFFICERS**

**SECURING THE LARGEST AIRPORTS IN THE USA**

Miami International (MIA) Title 49 cfr 1542 Network (1542)

**3.4 MILLION** annual hours of aviation security services

**16** airport facilities complying with title 49 cfr 1542 airport security regulations

**9** airport facilities complying with title 49 cfr 1550 general aviation regulations

**Allied Universal Government Services National Maritime Client Locations**



- Electronic Reporting & Quality Assurance
- Ensuring Non-TWIC Budget Personnel
- Access Control & TWIC Verification
- Customizing & Badging
- Cooperate with Law Enforcement & Federal Agencies
- Overseeing Cargo Container Terminals
- CCTV & Alarm Monitoring
- Roaming Patrols
- Gate-side Security & Traffic Control
- Walk-thru Metal Detector Screening
- Security Screening
- X-ray Screening

Pacific Ocean, Atlantic Ocean, Gulf of Mexico

**INDUSTRY COMMITMENT**

- AAPA
- American Association of Port Authorities
- ASIS
- NASCO

**ALLIED UNIVERSAL ADVANTAGE**

- EDGE: Proven Seaport Security Training
- Safety Act Designation
- International Seaport Council

Proud Partner PORTS 2015

**3,000 TWIC CLEARED OFFICERS**

**SECURING THE LARGEST SEAPORTS IN THE USA**

Port Everglades, Port of Houston, Maryland Port Administration

**3.6 MILLION** annual hours securing MTA-regulated facilities nationwide

**10** of the largest seaport facilities complying with title 33 cfr parts 101 and 105 security regulations

**2** cruise ship passenger screening ports



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**SOUTHERN REGION TEAM**

The key to continuing to provide quality, consistent security services is to meet BCAD and PORT needs on three critical levels: Locally, regionally, and nationally. Support includes personnel, technologies, processes and a dedicated management team focused on quality.

Specifically, in order to exceed contract requirements, Allied Universal has the following **local support team** already in place with the experience and qualifications necessary to support the security force in meeting any challenge:

**Training Managers** - Develop and deliver training programs to officers; support district and customer training efforts; and provide supervisory training for operational managers and supervisors.

**Employee Relations & Recruiting** –Employee Relations managers handle employee concerns, counsel managers in performance management, and assist with retention and motivation issues. Dedicated recruiting specialists work in partnership with district management and are responsible for maintaining a database of community-based recruitment sources, writing and placing advertisements on-line and in local newspapers, attending college, veteran, and other job fairs, and coordinating Company-specific job fairs.

**Quality Assurance Supervisors** – Quality Assurance supervisors work with the district team to ensure that its performance in the field is exceptional by performing **after hours and weekend inspections** and providing for back-up relief at sites as necessary.

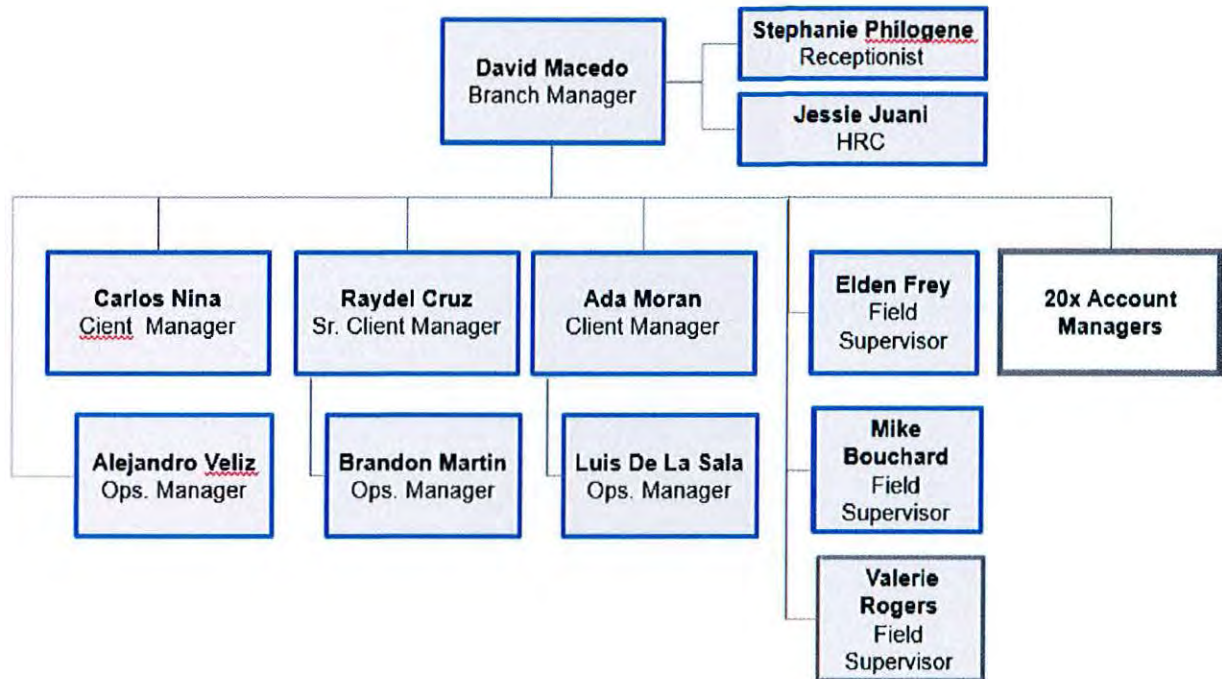
**BROWARD DISTRICT TEAM**

Allied Universal’s local team is proven, experienced, and committed to supporting the needs of our security officers and customers 24 hours a day. Our extensive local district offices resources, backed by our regional and national support networks, are abundant and unmatched. Our team’s number one goal is to support the officers at your sites. Our Broward district office is fully self-sustaining office with management and supervisory resources, human resources and recruiting staff, training staff, and administrative support functions (e.g., accounting, billing, etc.), enabling our staff to be focused and responsive to the requirements of our clients and responsive to contract issues immediately. Allied Universal has the following personnel that support the Broward County contract.

<b>BROWARD FLORIDA DISTRICT MANAGEMENT AND SUPERVISORY STAFF</b>		
<b>Name</b>	<b>Title</b>	<b>Core Responsibilities</b>
<b>David Macedo</b>	<b>District Manager</b>	Manages and directs all operational and staff activities for assigned clients in the surrounding area.
<b>Consuela Lamothe</b>	<b>Area HR Manager</b>	Assists regional HR director, managing human resources needs and ensuring employee benefits compliance and delivery.
<b>Chuck Lesaltato</b>	<b>Trainer</b>	Identifies training efficiencies and advances training needs to ensure all client contract requirements are continually met while ensuring new coursework is developed, where required.
<b>Jessie Juani</b>	<b>HRC</b>	Lead talent recruiter for security guards for the Broward District Office.
<b>Jason Zigman</b>	<b>Regional Recruiter</b>	Lead talent recruiter for security guards for the Broward District Office.
<b>Sephanie Philogene</b>	<b>Office Administrator</b>	Responsible for administrative office functions including: invoice management, personnel file management, employment verification, vendor management as well as providing general guidance, information, and support to the Broward District Office.



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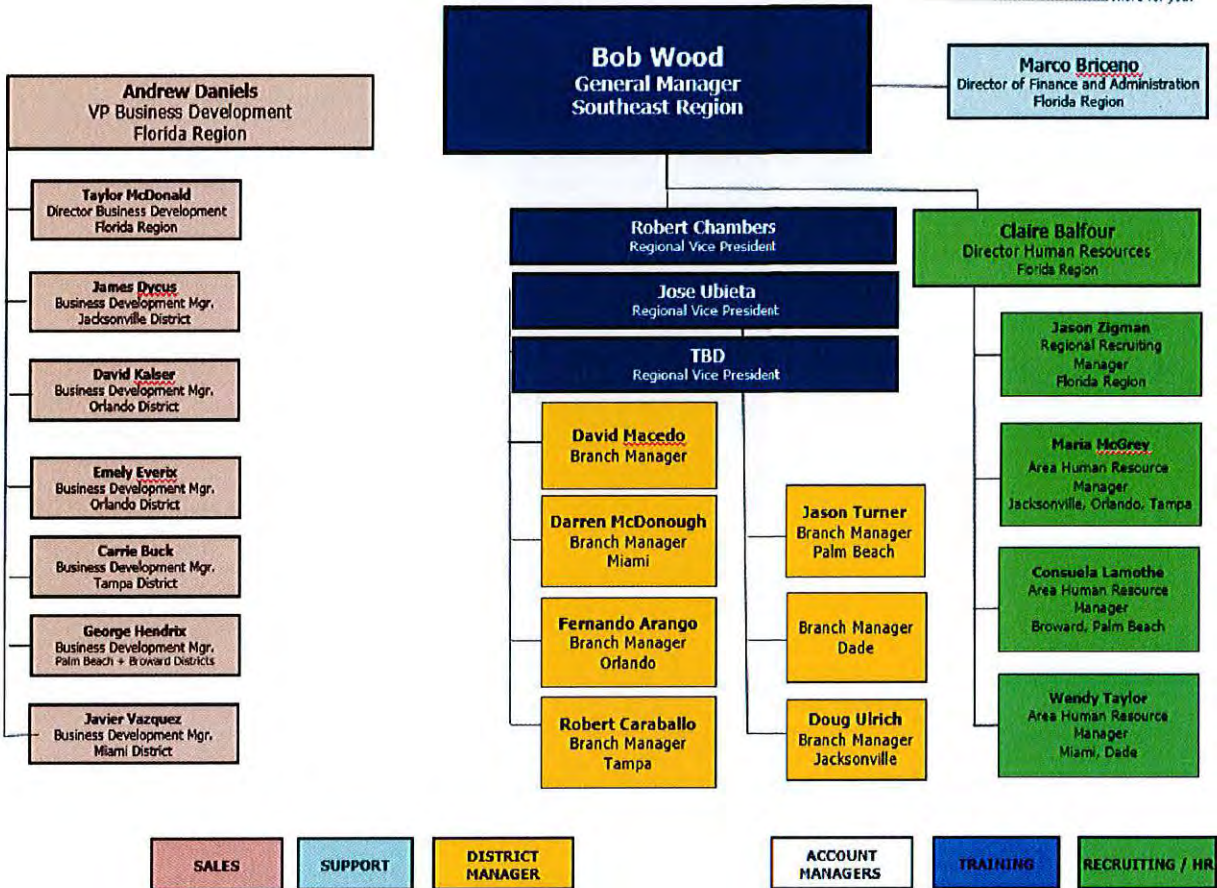
**BROWARD COUNTY (BCAD & PEV) TEAM**

From the highest levels, Allied Universal is outfitted with highly trained and experienced security professionals. Resumes and biographies for the following Allied Universal Key Management personnel who, upon contract award, will continue to be directly involved with supporting and meeting the day-to-day performance requirements of BCAD and PORT are provided as an attachment to the proposal.

NAME AND TITLE	ROLE
David Macedo	<b>Branch</b> Manager - Operational oversight & support of BCAD & PORT programs
<b>Terri Gattis</b>	Project Manager
Terry Cuzzort	Assistant Project Manager
Jeromy Gomez	Supervisor
Norman MacKinnon	Supervisor
Salvatore Piscitello	Supervisor
Ronald Honegan	Supervisor
<b>Jessie Juani, HRC</b>	Ensures pool of qualified replacement recruits
<b>Jason Zigman</b> , Recruiter	Ensures pool of qualified replacement recruits
<b>Chuck Lesaltato</b> , Trainer	Trainer for security officers assigned to BCAD and PORT
Consuela Lamothe, HR Manager	HR oversight & support of the security program
<b>Robert Chambers, Regional Vice President</b>	Operational support for Florida East Coast.
Jose Ubieta, Vice President of Operations	Operational support for South Florida.
<b>Bob Wood</b> , Vice President, General Manager	Operational support for the BCAD and PORT program
Tracy Fuller, Vice President, Government Services	Corporate sponsorship and support for Broward County



**FLORIDA REGION Organizational Chart**

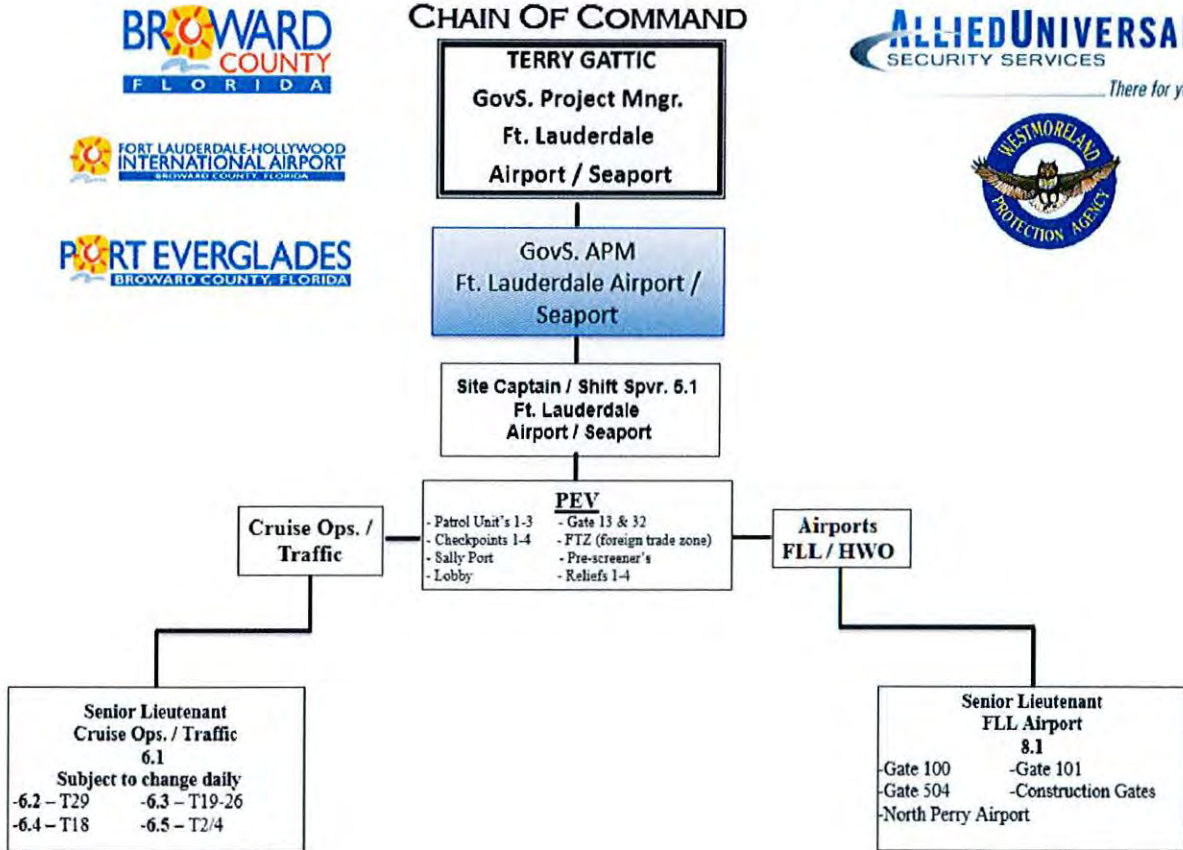






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## AIRPORT / SEAPORT SECURITY ORGANIZATION CHART



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**DAVID MACEDO**

**Project Manager, Broward County Seaport and Airport**

HIGHLIGHTS	WORK HISTORY
<p><b>Highlights of Qualifications</b></p> <ul style="list-style-type: none"> <li>• 20 years of seaport experience</li> <li>• 5 years of experience managing the Broward County Seaport &amp; Airport Security Program</li> <li>• 30 years of experience in U.S. Coast Guard</li> </ul> <p><b>Education, Training and Certifications</b></p> <ul style="list-style-type: none"> <li>• <b>Bachelor of Science:</b> Management/Psychology, New York University/Excelsior College</li> <li>• High School Diploma, Falmouth High School, Falmouth, MA</li> <li>• Licensed Security Manager "MB" – State of Florida</li> <li>• <b>Airport Certified Employee (ACE) in Security through AAE</b> <ul style="list-style-type: none"> <li>▪ Licensed FAA Private Pilot</li> <li>▪ Certified "PADI" Open Water Diver</li> <li>▪ US Coast Guard Licensed Captain</li> <li>▪ Class "D" Security License, Florida</li> <li>▪ Transportation Worker Identification Credential (TWIC) badge</li> <li>▪ Security Identification Display Area (SIDA) badge</li> </ul> </li> </ul> <p><b>Federal Emergency Management Institute, Washington, D.C.</b></p> <ul style="list-style-type: none"> <li>• FEMA ICS 100 Incident Command System Introduction</li> <li>• FEMA ICS 200 Incident Action Incidents</li> <li>• FEMA ICS 700 National Incident Management System</li> <li>• FEMA ICS 800 National Response Plan</li> </ul> <p><b>Environmental Protection Agency</b></p> <ul style="list-style-type: none"> <li>• EPA HAZMAT Field Monitoring and Sampling</li> <li>• EPA HAZMAT Incident Response and Operations</li> <li>• Certified Train-the-Trainer, RTM STAR Center, Dania Beach, FL</li> </ul>	<p><b>Work History</b></p> <p><b>Allied Universal Security Services 01/11-Present</b></p> <p><b>Branch Manager, Fort Lauderdale</b></p> <p>Responsible for management and oversight of Fort Lauderdale Branch Office. Manages and directs all operational and staff activities for assigned clients in the surrounding area.</p> <p><b>Government Services Project Manager, Broward County Air &amp; Sea Ports</b></p> <p>Responsible for security operations at Port Everglades Seaport, Fort Lauderdale International Airport, North Perry Airport and Broward County SMG Convention Center; to include all facets of operations, finance, training, staffing and scheduling of up to 7000 hours per week and 200+ Security officers.</p> <ul style="list-style-type: none"> <li>• Conducted <b>onsite account audit of the Port of Texas City</b> at the request of the District Manager. Briefed Port and District Manager on issues discovered and provided detailed Action Plan.</li> <li>• <b>Hosted three day training for newly appointed Port Of Houston Project Manager</b></li> <li>• Participated in Allied Universal <b>oral presentations</b> for <b>Disney Cruise Lines</b>, Fort Lauderdale Executive Airport and Broward County SMG Convention Center, resulting in Allied Universal securing all three accounts.</li> <li>• <b>2013 Dare to be SAFE Safety Moment Video Contest Winner</b></li> <li>• Member 2014 <b>Chairman's Challenge</b> for Broward/Palm Beach</li> <li>• 2011 Frank Rabena <b>Account Manager of the Year</b></li> <li>• 2012 <b>Southern Region Heroism Award</b></li> </ul> <p><b>Yacht Captain</b></p> <p><b>Windridge Yachts; Ft. Lauderdale, Miami, Boca Raton, FL &amp; New York, N.Y. 02/09 – 01/11</b></p> <ul style="list-style-type: none"> <li>• Responsible for the operation, navigation and maintenance of the <b>"175' Motor Yacht "Lady Windridge"</b> from Miami, FL to New York, N.Y.</li> </ul> <p><b>Assistant Director of Operations and Sales 10/07 – 01/09</b></p> <p><b>Novurania of America, Ft. Lauderdale, FL</b></p> <ul style="list-style-type: none"> <li>• Accountable to the CEO for the Novurania Custom Yacht Tender product line; including the daily oversight and operation of the Service and Sales Center. Spearheaded the logistics, staging and display for the Fort Lauderdale International Boat Show, Miami Boat Show and the Palm Beach Boat Show. Direct liaison with Major Yacht Builders divisional directors.</li> </ul>



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**DAVID MACEDO**

**Project Manager, Broward County Seaport and Airport**

HIGHLIGHTS	WORK HISTORY
<ul style="list-style-type: none"> <li>• Fast Boat Rescue Instructor, RTM STAR Center, Dania Beach, FL</li> <li>• Professional Yachtsman Certificate, RTM STAR Center, Dania Beach, FL</li> </ul>	<ul style="list-style-type: none"> <li>• Relocated Corporate Sales Center to waterfront location increasing exposure to client and customer base, resulting in an annual sales increase of \$2.2M</li> <li>• Reorganized parts and inventory system; increasing customer support, expedited parts delivery and shipping.</li> </ul> <p><b>Commanding Officer 06/05 – 08/07</b></p> <p><b>United States Coast Guard, Riviera Beach, FL</b></p> <p>Managed major multi-mission unit with 60 personnel; maintained operational control for Search and Rescue, Maritime Homeland Security, Drug/Law Enforcement, Migrant Interdiction and Recreational Boating Safety for local inlets and waterways. Worked with federal, state, and local authorities to coordinate monthly Department of Homeland Security meetings, planning inter-agency operations. Improved hurricane planning for facilities, families, and community; arranged boat and personnel evacuations plans with local agencies.</p> <ul style="list-style-type: none"> <li>• Earned the coveted Sumner I. Kimball Readiness Award for consecutive assessments (Top 10% of Coast Guard Units achieve this level of performance) by leading Station Lake Worth Inlet with dedication to excellence and high professional standards.</li> <li>• Led 450 successful search and rescue cases, saving 358 lives and preserving \$3.9 million of property.</li> <li>• Directed an aggressive law enforcement position conducting 800 law enforcement and security boardings producing issuance of 327 violations and interdiction of 300 illegal immigrants.</li> <li>• Saved 28% in annual costs by renegotiating commercial service and maintenance contracts while managing 11 Coast Guard housing units and 25-acre housing site.</li> </ul> <p><b>Seventh District Boat and Facility Manager 06/01 - 06/05</b></p> <p><b>United States Coast Guard, Miami, FL</b></p> <p>Directed all small boats and facilities for Coast Guard District 7, comprised of 178 boats valued over \$42 million. Supervised shore units supporting Search and Rescue, Maritime Homeland Security, law enforcement, and environmental protection missions. Spearheaded acquisition of and training for 22 new boats in response to 9/11. Edited Non-Standard Boat Operations Handbook and Train-the-Trainer course curriculum and training modules. Established and coached teams of trainers to train local boat operators. Served as manager of engine repairs and standardization program, Search and Rescue program budget manager, and technical liaison for suppliers, vendors, and contractors.</p>



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**DAVID MACEDO**

***Project Manager, Broward County Seaport and Airport***

<b>HIGHLIGHTS</b>	<b>WORK HISTORY</b>
	<ul style="list-style-type: none"><li>• Managed a \$10 million annual budget.</li><li>• Saved \$600K annually in development of engine replacement plan</li><li>• Improved branch efficiency by developing new tools including tracking charts and budget spreadsheets.</li></ul> <p><b>Commanding Officer</b></p> <p>06/97 – 06/01</p> <p><b><i>United States Coast Guard, Miami Beach, FL</i></b></p> <p>Accountable for operation, safety, and maintenance of \$12 million, 160-ft. marine construction vessel with 15 crewmembers. Developed construction, repair, and maintenance schedules for 1300 aids to navigation in large area including parts of Florida and Dry Tortugas.</p> <ul style="list-style-type: none"><li>• Saved more than \$750K and received high accolades from Tampa Bay maritime community.</li><li>• Negotiated with Bahamian government to rebuild 5 navigational lights, saving over \$800K for Bahamian government.</li><li>• Reduced preventative maintenance by 24-hours per month and casualties by 20% by successfully petitioning for \$1.4 million to procure and install new main electrical power plant.</li></ul>



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**TERRY CUZZORT**

**Assistant Project Manager, Broward County Seaport and Airport**

HIGHLIGHTS	WORK HISTORY
<p><b>Highlights of Qualifications</b></p> <ul style="list-style-type: none"> <li>• 4 years of experience managing the Broward County Seaport &amp; Airport Security Program</li> <li>• 11 years of experience in U.S. Army</li> </ul> <p><b>Education, Training and Certifications</b></p> <ul style="list-style-type: none"> <li>▪ <b>MBA</b> - Andersen University, Sacramento, CA, 2006, Business Administration</li> <li>▪ <b>B.A.</b> - Andersen University, Sacramento, CA, 2004, Business Management</li> <li>▪ <b>Licensed Security Manager</b></li> <li>▪ <b>"MB" – State of Florida – in progress</b></li> <li>▪ <b>Class "D" Security License</b>, State of Florida</li> <li>▪ <b>Transportation Worker Identification Credential (TWIC) badge</b></li> <li>▪ <b>Security Identification Display Area (SIDA) badge</b></li> </ul>	<p><b>Work History</b></p> <p><b>Allied Universal Security Services 2011-Present</b></p> <p><b>Government Services Assistant Project Manager, Broward County Air &amp; Sea Ports</b></p> <ul style="list-style-type: none"> <li>• Supervised all aspects of seaport and airport security operations, working alongside the Broward County Sheriff Office (BSO), Broward County Aviation Department (BCAD) and the Transportation Safety Administration (TSA). Plan and schedule for more than 180 employees.</li> <li>• Liaison with Seaport and Airport Administration on a daily basis</li> <li>• Have a current Transportation Workers Identification Credential (TWIC) card and Security Identification Display Area (SIDA) Badge</li> </ul> <p><b>Operations Manager, East Coast Mechanical, Inc., Boynton Beach, FL, 2011 - 2011</b></p> <ul style="list-style-type: none"> <li>• \$20 Million Home Warranty, Air Conditioning, Appliances &amp; Plumbing Repair Corporation</li> <li>• Managed a large distribution center and Purchasing department with 15 reports</li> <li>• Streamlined the purchasing operation and reduced headcount by 3 as well as overtime and saved over \$100k.</li> </ul> <p><b>Senior Representative, Primerica, Margate, FL, 2009 – 2011</b> 2009 – 2011</p> <p><b>\$87 Billion insurance and financial services organization.</b></p> <ul style="list-style-type: none"> <li>• Sold, serviced and educated clients in making and saving money and becoming debt free.</li> <li>• Saved client \$10K per year in payments.</li> <li>• Built book of clients and helped them gain financial solvency.</li> <li>• Exceeded required sales budget by 112% in first year.</li> </ul> <p><b>Distribution Center Manager, Service America, Pompano Beach, FL, 1995 – 2008</b> 1995 – 2008</p> <p><b>\$25 Million, home warranty, air conditioning, appliances &amp; plumbing repair corporation.</b></p> <ul style="list-style-type: none"> <li>• Consolidated 7 distribution centers into 1, which increased productivity, reduced headcount by 10, and saved over \$1 Million per year.</li> </ul>



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**TERRY CUZZORT**

**Assistant Project Manager, Broward County Seaport and Airport**

HIGHLIGHTS	WORK HISTORY
	<ul style="list-style-type: none"> <li>• Managed large distribution center, fleet of 200 vehicles, and purchasing and research departments with 40 reports.</li> <li>• Organized outsourcing project, which saved over \$400K per year.</li> <li>• Developed and implemented new operating system for supply side that improved traceability by 100%. Integrated system into rest of business.</li> <li>• Reduced obsolete inventory by over \$650K.</li> <li>• Decreased materials budget by \$40K.</li> </ul> <p><b>Unit Manager, Guardsmark, Phoenix, AZ, 1993 – 1995</b> <span style="float: right;">1993 – 1995</span></p> <p><i>\$564 Million, contract security firm</i></p> <ul style="list-style-type: none"> <li>• Led a staff of 30 security personnel for several contract security accounts.</li> <li>• Reduced overtime by 75%.</li> <li>• Negotiated new business and brought in \$150K in revenue.</li> </ul> <p><b>Station Manager, CRW, Inc., Glendale, AZ, 1992 – 1994</b> <span style="float: right;">1992 – 1994</span></p> <p><i>\$10 Million, gas station, convenience store and automotive repair facility</i></p> <ul style="list-style-type: none"> <li>• Managed all aspects as well as two other stations with 14 reports.</li> <li>• Increased revenue by \$40K.</li> <li>• Maintained overtime at or below 5%.</li> </ul> <p><b>Platoon Sergeant, US Army, Fort Hood, TX, 1981 – 1992</b> <span style="float: right;">1981 – 1992</span></p> <p><i>Electronic Surveillance / Military Intelligence</i></p> <ul style="list-style-type: none"> <li>• Trained and supervised a group of military personnel. Developed strategic and logistical plans to move personnel and material in support of objectives. Operated high-tech electronic equipment.</li> <li>• Worked alongside local, state and federal law enforcement agencies providing intelligence and</li> </ul>



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**TERRY CUZZORT**

*Assistant Project Manager, Broward County Seaport and Airport*

<b>HIGHLIGHTS</b>	<b>WORK HISTORY</b>
	<p>surveillance that resulted in the successful apprehension of several criminals</p> <ul style="list-style-type: none"><li>• Led Troops in Operation Desert Storm.</li><li>• Provided intelligence that led to defeat of Iraqi forces in Kuwait.</li></ul>

<b>HIGHLIGHTS</b>	<b>WORK HISTORY</b>
<p><b>Highlights of Qualifications</b></p> <ul style="list-style-type: none"> <li>• 15 years of supervisory experience</li> <li>• United States Air Force Veteran</li> </ul> <p><b>Education, Training and Certifications</b></p> <ul style="list-style-type: none"> <li>• USAF Bachelors of Science in Restaurant Management</li> <li>• Tunapuna High School</li> <li>• CPR/First Aid Certified</li> <li>• Class "D" Security License, State of Florida</li> <li>• Class "G" Security License, State of Florida</li> <li>• Transportation Worker Identification Credential (TWIC) badge</li> <li>• Security Identification Display Area (SIDA) badge</li> </ul>	<p><b>Work History</b></p> <p><b>Allied Universal Security Services 2015-Present</b></p> <p><b>Site Supervisor</b></p> <ul style="list-style-type: none"> <li>• Supervised 180+ Security Officers at Port Everglades and Fort Lauderdale Airport</li> <li>• Conducted security Audits</li> <li>• Provided teaching, coaching and training to ensure excellent customer service</li> </ul> <p><b>G4S Secure Solutions USA, Inc.1998 – 11/2014</b></p> <p><b>Area Supervisor</b></p> <ul style="list-style-type: none"> <li>• Supervised 600 Security Officers in Broward County</li> <li>• Conducted security audits</li> <li>• Used strong leadership skills, enabling the company to acquire a multi-million dollar contract with Progressive Insurance.</li> <li>• Strong knowledge of ACCESS control computer system.</li> <li>• Patrol communities to ensure public safety.</li> </ul> <p><b>United State Air Force</b></p> <p><b>Recruiter</b></p> <ul style="list-style-type: none"> <li>• Recruit non-prior service civilians from high schools and universities and other communities utilizing strong effective communication skills.</li> <li>• Achieved 200% goal attainment, awarded United States Air Force Top Recruiter 1997.</li> <li>• Responsible for the coordination of the first Air and Sea Show in Fort Lauderdale Beach, attended by 6 million people.</li> </ul> <p><b>United States Air Force</b></p> <p><b>Services Specialist</b></p> <ul style="list-style-type: none"> <li>• Managed United States Air Force Enlisted Restaurant.</li> <li>• Trained and supervised staff of 30.</li> <li>• Responsible for million dollar culinary equipment.</li> <li>• Planned and created recipes for military recipe system.</li> <li>• 4 time "Hennessy Trophy" winner</li> </ul>





**SALVATORE PISCITELLO**  
*Supervisor, Broward County Seaport and Airport*

**HIGHLIGHTS**

**WORK HISTORY**

### Handgun Syllabus and Course Outline for Armed Security Officers

In accordance with Florida Chapter 493, Class "G" licensed armed security officers are required to complete 28-hours of classroom and range instruction under the direction of a Class "K" licensed instructor. To work as an armed security officer officers must already possess a Class "D" Security License required for unarmed officers. The Florida Armed Security Officer Course covers all information required by the State of Florida for Class "G" license applicants, including: Florida Statues 493, 775, and 790; Legal Issues; Firearms Safety,, Liability & Use of Force Issues; Handgun Marksmanship; Care and Maintenance of Firearms; and other required topics. The State of Florida requires that all Florida License G" Armed Security Officers re-qualify at least annually with the type of handgun that they carry on duty. The Florida "G" license requires that officers qualify with a .38 revolver, .357 revolver or 9mm semi-automatic pistol. Allied Universal's firearm training is focused on the following topics:

SESSION 1		
<ul style="list-style-type: none"> <li>• The Law as it applies to the Use of Force.</li> <li>• Firearms Safety.</li> </ul>	<ul style="list-style-type: none"> <li>• Firearms and Non-Lethal Weapons Policy</li> <li>• Equipment Familiarization.</li> </ul>	<ul style="list-style-type: none"> <li>• Use of Force Continuum</li> <li>• Storage and Maintenance</li> <li>• Special Situations/Decision Making.</li> </ul>
SESSION 2		
<ul style="list-style-type: none"> <li>• Review of Day 1 Class, Law and Safety</li> </ul>	<ul style="list-style-type: none"> <li>• Handcuff Class (PATH Certification Program)</li> <li>• Expandable Baton</li> </ul>	<ul style="list-style-type: none"> <li>• Applicable and Practical Law</li> </ul>
SESSION 3		



*There for you.*

<ul style="list-style-type: none"> <li>• Review of Day 1 Class, Law &amp; Safety</li> <li>• Standing, Shooting Stance</li> <li>• Aiming and Sight Picture</li> <li>• 4 Step Draw</li> <li>• Down and Scan/Re-holster</li> <li>• Administrative Loading and Unloading</li> </ul>	<ul style="list-style-type: none"> <li>• Safety Circle</li> <li>• Speed Reloading</li> <li>• Tactical Reloading</li> <li>• Trigger Reset, Trigger Control</li> <li>• Immediate Action Drill/Feedway Clearance Drill</li> </ul>	<ul style="list-style-type: none"> <li>• Use of Cover and Concealment; Low-Light Techniques</li> <li>• Weapon Retention (TO BE DONE WITH TRAINING WEAPONS ONLY).</li> <li>• Incapacitation Drills (DRY-NO AMMUNITION, use inert training rounds)</li> </ul>
<b>SESSION 4 (Classroom/Range)</b>		
<ul style="list-style-type: none"> <li>• Review of Day 1, 2, and 3</li> <li>• Written Test</li> <li>• Introduction to Reality – Based training</li> </ul>	<ul style="list-style-type: none"> <li>• Live-Fire Range</li> <li>• One Handed Shooting, Kneeling</li> <li>• Cover Drill and Contact Distance drills- Live Fire</li> </ul>	<ul style="list-style-type: none"> <li>• Shooting from unusual positions (Dry –NO AMMUNITION, use inert training rounds)</li> <li>• First introduction to Qualification</li> </ul>
<b>SESSION 5 (Range)</b>		
<ul style="list-style-type: none"> <li>• Review of Days1-4 Classes</li> <li>• Challenge Command Procedures</li> <li>• Shoot &amp; Move/Move &amp; Shoot</li> <li>• Multiple Targets</li> <li>• Body Armor/Failure to Stop Drill (2+1) – (Pelvis v Head)</li> </ul>	<ul style="list-style-type: none"> <li>• One Handed Shooting</li> <li>• Support Hand Shooting</li> <li>• High Kneeling/Low Kneeling/Two Knees Down</li> <li>• Cover Drill (Firing from cover, standing, kneeling)</li> </ul>	<ul style="list-style-type: none"> <li>• Contact Distance Shooting</li> <li>• Incapacitation Drills (Dry-NO AMMUNITION, use inert training rounds)</li> <li>• Shooting from unusual positions</li> <li>• Second Practice and then, Qualification.</li> </ul>

### Qualification

Students will be given three (3) opportunities to qualify on Sessions 4 and 5. The “qualification” on Session 4 will be a familiarization drill (as all the elements of the needed training will only have been given in a classroom scenario). It will not be until Session 5 that the practical applications of the training will be achieved. Training and Qualification will be done using the NRA TQ-21 Silhouette Target. Qualification will require 50 rounds. Hits on the edge of the silhouette or the inner ring will be considered “in”.

### Annual Re-Qualification

Students will be given three (3) opportunities to re-qualify. Re-qualification will maintain the same scoring objectives as an initial qualification to ensure that students have retained accuracy and safety standards. Re-qualification time period will be 8 hours annually for each Class "G" licensed armed security officers.

### Scoring

- 2 points for hits in the inner ring
- 1 point for hits in the outer ring (gray area)
- 0 points for hits outside of the silhouette.

Students must achieve a passing score of 85 out of a possible 100.



DISTANCE	TIME	ROUNDS	NOTES
25yds	30sec.	6	Slow, aimed fire
15yds	15sec.	12	Draw and fire 3 rounds, kneel, reload, fire 3 rounds: recover, repeat
15yds	6sec.	6	Draw and fire 3 rounds, recover, repeat
7yds	8sec.	6	Draw and fire 3 rounds (body armor drill): recover, repeat (Pelvis)
7yds	15sec.	12	Draw and fire 3rnds, speed reload, fire 3 rounds: recover, reload, repeat
3yds	10sec.	8	Draw and fire 2 rounds (strong hand only): move to supported grip, fire 2 rounds: down and scan, fire 2 rounds, move to support hand only, fire 2 rounds

Training culminates with Quality Assurance evaluations to determine the training's true effectiveness as evidenced by feedback and on-the-job performance. Program evaluations also include an evaluation of instructors and training materials.

**PROOF OF CERTIFICATION TRAINING FOR ALL SECURITY OFFICERS**

Our compliance tracking capability through the Allied Universal EDGE allows trainers and managers to monitor training progress and completion for each course and the number of hours. Completed courses are tracked, and accurately reported back to management. Course hours and completion can also be reported back to Broward County under any awarded contract. The EDGE continuously delivers training that enhances both individual and team quality. Our software-based training tracks hours that each guard receives.

Our commitment to compliance tracking extends beyond our training resources. WinTeam, Allied Universal's automated scheduling component, is the compliance module that captures training records for security officers. WinTeam works in conjunction with the Allied Universal EDGE and offers extensive compliance tracking and reporting functionality. Our managers access all systems our through our secure intranet to support compliance verification in real time. These programs work together to enable trainers and managers to track employee progress through initial, specialty and refresher training, and to easily verify compliance.

Proof of certification training report for all firms, including names, available upon request.



## **EMPLOYEE HANDBOOK**

Security Professionals

All Locations Nationally

(Excluding Employees in California, Canada, Puerto Rico and US Virgin Islands)

December 1, 2016

## **Alcohol{ XE "Alcohol" } and Drug Free Workplace{ XE "Drug Free Workplace" }**

Employees are the most valuable resource at Allied Universal. For this reason, the Company has a critical interest in the health, safety, and well-being of its Employees and the maintenance of a safe and efficient work environment. The Company recognizes that drug and alcohol abuse can be a key contributor to work environment safety and Employee health problems. Drug and alcohol abuse can result in decreased productivity, judgment, and/or quality of task performance that may ultimately be a factor in increased accidents, medical costs, absenteeism and workers' compensation costs. Consequently, it is Allied Universal's goal to provide a workplace free of illegal drugs and alcohol abuse.

To accomplish this goal, the Company tests all applicants following issuance of a conditional offer of employment, and reserves the right to test current Employees for reasonable cause, following involvement in any work related accident or injury, or on a random basis or annual basis, where permitted by law.

A verified positive test with no reasonable medical explanation will result in the conditional job offer of an applicant being withdrawn, and termination of employment for current Employees. Submission to a drug test is a condition of employment and refusal to submit to a drug test will be treated as though a positive test has occurred and the Employee will be terminated unless otherwise provided by state law.

In addition to these testing requirements, the Company will also enforce the following rules:

- The manufacture, possession, use, purchase or distribution of illegal drugs or controlled substances, or paraphernalia associated with the same, is strictly prohibited on Company (or Customer) property, on Company time, or while in Company vehicles.
- The use of alcohol by an Employee on Company (or Customer) property, on Company time, or in a Company vehicle is prohibited. (Any exception for a Company social function must be approved by a manager who is a Vice President level or above).
- Reporting to work or working while intoxicated or under the influence of any unauthorized controlled substance or illegal drug is prohibited.

Any Employee who is taking a prescribed or over-the-counter medication that may impact his/her ability to perform his/her job safely must immediately inform his/her Human Resources Representative.

Additionally, while there are some states that recognize the use of marijuana for medical and/or recreational uses, marijuana is deemed an unauthorized controlled substance for purposes of this policy; as such, Employees may not arrive to work impaired or under the influence of marijuana to any degree and must abide by the Company's drug free work environment policy regardless of the state in which they work and regardless whether they may be a registered and/or qualified medical marijuana patient.

Any Employee who is convicted of, or pleads guilty to, a criminal drug offense that occurred in the workplace must notify his/her Human Resources Representative no later than five (5) days after such conviction. Compliance with this policy is a condition of employment for all Employees. Any Employee who violates any part of this policy is subject to disciplinary action, up to and including termination of employment.

All matters concerning an individual and this policy will be handled in a confidential manner, and information will be disseminated on a "need to know" basis only. All medical records (such as drug and alcohol tests) will similarly be treated with the required confidentiality. Any questions regarding this policy should be directed to the Employee's Human Resources Representative.

#### ■ Self-Referral For Substance Abuse{ XE "Substance Abuse" }

Allied Universal encourages any Employee with a drug or alcohol abuse problem to voluntarily seek treatment. The Corporate Benefits Department will assist Employees who voluntarily seek help by referring them, when possible, to counseling and treatment services. All costs associated with the counseling and/or treatments are the sole responsibility of the Employee, although counseling and treatment may be covered by an Employee's medical insurance where available.

A decision to seek such assistance will not be used as the basis for disciplinary action and will not be used against the individual in any disciplinary proceeding. On the other hand, using a counseling or treatment program will not be a defense to the imposition of discipline for any previous or subsequent violation of the policy or rules listed above.



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**AlliedBarton Security Services  
Safety Manual**

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## AlliedBarton Security Services Safety Manual - Index

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# Management Commitment to Health and Safety - Tab 1

## OUR MISSION:

To provide healthy and safe working conditions for our employees, to prevent injuries or illnesses and protect the people and property of our clients and community, through a comprehensive safety program that meets or exceeds state and/or federal safety regulations.

## OUR GUIDING BEHAVIORS:

In order to achieve our mission, we must:

- Foster a culture in which safety is a primary concern of executive, regional, district and site leadership as well as each and every security officer.
- Provide a comprehensive safety manual to protect the occupational health and safety of our people.
- Work to continuously evaluate and improve our safety policies, programs, initiatives and performance.
- Constantly promote safety and health awareness in all of our people.
- Cultivate and support frequent safety communications via manager interactions, safety committees, personnel training, the safety manual and various other communication methods.
- Assess job tasks and document safety measures for all posts via the Job Safety Analysis, and train all personnel on the content of the JSAs for their assignments.
- Anticipate and proactively prepare for emerging new hazards and risks.
- Recognize excellent safety performance among our personnel.
- Hold our personnel accountable to behave in accordance with the requirements and spirit of the safety manual.
- Preserve and enhance our corporate reputation in the community as a safe and healthy workplace and business partner.

## OUR COMMITMENT:

This corporate safety manual is issued for implementation throughout AlliedBarton Security Services.

**Randy Dorn**  
Division President

**Michael Meehan**  
Vice President &  
Deputy General  
Counsel

**Ronald Rabena**  
Division President,  
National Security  
Operations

# 2015 Overview

Injury and Illness Incidents/Rates  
&

Experience Modification Rate

**This document is for internal reference only.  
Use the data from this document; do not share  
this document as a whole externally.**

## **Injury and Illness Rates (Document not to be shared externally)**

- U.S. Department of Labor, Bureau of Labor Statistics
- Incidence rates are per 100 employees for a given year.
- The 200,000 hours in the formula represents the equivalent number of hours worked in a given year by 100 employees working 40 hours per week, 50 weeks per year, and provides the standard base for the incidence rates.

## Calculations

(Document not to be shared externally)

- **Total Recordable Incident Rate (TRIR)**

Total Recordable Case Occurrences times 200,000  
divided by Man Hours

- **Fatality Case Rate**

Fatality Cases times 200,000 divided by Man  
Hours

## **Calculations**

**(Document not to be shared externally)**

- **Lost Work Day Case Incident Rate**

Lost Workday Case Occurrences times 200,000 divided by Man Hours

- **Lost Work Day Severity Rate**

Lost Workdays times 200,000 divided by Man Hours

- **DART Rate (Days Away, Restricted and Transfer Cases)**

Lost and Restricted workday case occurrences times 200,000 divided by Man Hours

## Calculations

(Document not to be shared externally)

### ■ OSHA Severity Rate

Lost & Restricted Workdays times 200,000 divided by  
Man Hours

### ■ OSHA Incident Rate

Lost & Restricted Workday Case Occurrences times  
200,000 divided by Man Hours

### ■ Medical Only Case Rate

Medical Only Cases times 200,000 divided by Man  
Hours

## Allied Universal 2016 Results

### (Document not to be shared externally)

Category	AUS 2016 Result
Total Recordable Cases	1692
Total Recordable Incident Rate	1.46
Fatality Cases	1
Fatality Case Rate	0.001
Lost Workday Cases	878
Lost Workday Case Rate	0.76
Lost Workdays	46,511
Lost Workday Severity Rate	40.18
Lost + Restricted Workday Cases	1177
DART Rate	1.02
Lost + Restricted Workdays	64,468
OSHA Severity Rate	55.69
Restricted Workday Cases	299
Restricted Workdays	17,957
Medical Only Cases	515
Medical Only Rate	0.44



## AUS Rates Compared to Industry (Document not to be shared externally)

- U.S. Depart. of Labor, Bureau of Labor Statistics  
Security Guards and Patrol Services - **NAICS 561612**

Year (most recent available)	Industry Average (561612)	AUS/ (AlliedBarton)
2016	Not yet available	1.46
2015	1.6	(1.24)
2014	Not published	(1.21)
2013	1.8	(1.32)
2012	1.7	(1.28)

- Company history is better than the industry average  
Total Recordable Incident Rate for last five years.

# 2016 Fatality Incident Summary

## (Document not to be shared externally)

### ■ Summary of Fatal Incident:

On 10/08/16 a Security Professional was performing routine duties of checking in delivery vehicles when he was struck and killed by a tractor trailer. Following an incident investigation it has not been determined why this individual approached a moving truck.

### ■ Root Cause(s):

- The tractor trailer operator had limited visibility of the Security Professional and did not know he was near the back tires of the trailer.
- The Security Professional approached the tractor trailer from the passenger side while the vehicle was moving.

### ■ Corrective Actions:

- Security Professionals are to collect driver/operator's keys, and only release them when all checks are completed.
- Review training with Security Professional to only approach a tractor trailers from the driver's side and stand clear of the vehicle when keys and paperwork are handed back to the driver/operator.
- Security Professionals are required to wear reflective vests at all times when inspecting/checking-in vehicles.

## **Experience Modification Rate (Document not to be shared externally)**

- Is the adjustment of manual premium based on previous loss experience. Usually three years of loss experience are used to determine the experience modifier for a workers' compensation policy. The three years typically include not the immediate past year, but the three prior.
- Experience modifiers are normally recalculated for an employer annually. Each year, a newer year's data is added to the three year window of experience used in the calculation, and the oldest year from the prior calculation is dropped off.

## **Experience Modification Rate (Document not to be shared externally)**

- The formula compares a company's specific payrolls and losses to the industry average losses for like business of similar size. The industry average is 1.0. If your experience is 20% better than average your Experience Mod would be a .80 or 20% worse 1.20.
- As of February 2017 there is no EMR available for AUS, as a result of being a new company. A current EMR letter is always posted to the Risk Management page on Share Point.
  - (AlliedBarton EMR 3-year History)
    - 11/1/2015 = 0.63
    - 11/1/2014 = 0.67
    - 11/1/2013 = 0.73

**Appendix B  
Health and Safety Information Form**

GENERAL INFORMATION			
1. Company Name: <b>Universal Protection Service, LP</b>		Date: <b>February, 2017</b>	Telephone:
		NAICS Code: <b>561612</b>	Fax:
Street Address: <b>1551 N Tustin Ave, Ste 650</b>		Mailing Address:	
<b>Santa Ana, CA 92705</b>			
2. Officers		Years With Company	
President: <b>Steve Jones, CEO</b>		<b>21</b>	
Vice President: <b>David Buckman</b>		<b>11</b>	
Treasurer: <b>Bill Torzolini, CFO</b>		<b>14</b>	
3. How many years has your organization been in business under your present firm name? <b>August 2016</b>			
4. Parent Company Name: <b>Universal Protection Service, LP</b>			
City:	State:	Zip:	
Subsidiaries: <b>See separate list of entities</b>			
5. Under Current Management Since (Date): <b>August 2016</b>			
6. Contact for Insurance Information: <b>Jeffrey M. Quinn</b>			
Title: <b>Director of Risk Management</b>	Telephone: <b>484.351.1430</b>	Fax: <b>484.351.1431</b>	
7. Insurance Carrier(s): <b>XL Insurance, Lexington Insurance Company</b>			
Name	Type of Coverage	Telephone	
Worker's Comp.	Bodily Injury By Accident/Disease	XL Insurance (19437)	
General Liability	General Aggregate, Products/completed operations, Bodily Injury/Prop. Damage, Personal & Advertising, Fire Damage	Lexington 617-330-1100	
Automobile	Each Accident	Greenwich Insurance (24554)	



**Thomas Miller, ARM**  
Assistant Vice President

Marsh USA Inc.  
Three Logan Square  
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Philadelphia, PA 19103  
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www.marsh.com

October 30, 2015

Mr. Jeffrey M. Quinn  
Director of Risk Management  
Allied Barton Security Services  
Eight Tower Bridge  
161 Washington Street  
Suite 600  
Conshohocken, PA 19428

**Subject:** Experience Modification Factors

Dear Jeff,

The chart below outlines the Experience Modification Factor history for Allied Barton Security Holdings, LLC:

Effective Date	PA	NJ	DE	CA	Interstate
11-1-2010	.932	.480	1.777	.77	.81
11-1-2011	.996	.540	1.224	.74	.73
11-1-2012	1.043	.766	1.397	.64	.71
11-1-2013	1.052	.844	.939	.56	.73
11-1-2014	.997	.781	1.309	.53	.67
11-1-2015	.945	.643	1.102	.63	.63

Please do not hesitate to contact me with any questions or concerns.

Sincerely,

Tom Miller  
Assistant Vice President

Copy:  
Kathryn M. McCoach – Marsh

**Appendix B  
Health and Safety Information Form**

GENERAL INFORMATION		
1. Company Name: <b>AlliedBarton Security Services</b>		Date: February, 2016
		Telephone:
		NAICS Code: 561612
		Fax:
Street Address:		Mailing Address:
		161 Washington Street, Suite 600
		Conshohocken, PA 19428
2. Officers		Years With Company
President: <b>Carol Johnson</b>		<b>4</b>
Vice President: <b>David Buckman</b>		<b>10</b>
Treasurer: <b>Bill Torzolini, CFO</b>		<b>13</b>
3. How many years has your organization been in business under your present firm name? <b>Since August 2004</b>		
4. Parent Company Name: <b>Allied Security Holdings LLC</b>		
City:	State:	Zip:
Subsidiaries: <b>SpectaGuard Acquisition LLC, Effective Management Services, Allied Security LLC, AlliedSecurity LP, SSI, Professional Security Bureau LLC, Barton Protective Services LLC, AlliedBarton Security Services LLC, AlliedBarton Security Services LP, AlliedBarton Aerospace And Defense Services LLC, AlliedBarton Aerospace and Defense Services Inc.</b>		
5. Under Current Management Since (Date): <b>Since February 2003</b>		
6. Contact for Insurance Information: <b>Jeffrey M. Quinn</b>		
Title: <b>Director of Risk Management</b>	Telephone: <b>484.351.1430</b>	Fax: <b>484.351.1431</b>
7. Insurance Carrier(s): <b>Arch Insurance Company, Lexington Insurance Company</b>		
Name	Type of Coverage	Telephone
<b>Worker's Comp.</b>	Bodily Injury By Accident/Disease	XL Insurance (19437)
<b>General Liability</b>	General Aggregate, Products/completed operations, Bodily Injury/Prop. Damage, Personal & Advertising, Fire Damage	Lexington 617-330-1100
<b>Automobile</b>	Each Accident	Greenwich Insurance (24554)
8. Are you self insured for Worker's Compensation Insurance? <b>No</b>		
9. Contact for HSE Information: <b>Jeffrey M. Quinn</b>		

(e.g., cranes, forklifts, JLGs) in compliance with regulatory requirements?		
c. Do you maintain operating equipment in compliance with regulatory requirements?		N/A
d. Do you maintain the applicable inspection and maintenance certification records for operating equipment?		N/A
<b>27. Contractor/Subcontractors</b>		
Do you use Contractor/Subcontractors? (If no, skip to question 43)		N/A
a. Do you use Health, Safety & Environment performance criteria in selection of Contractor/Subcontractors?		
b. Do you evaluate the ability of Contractor/Subcontractors to comply with applicable health and safety requirements as part of the selection process?		
c. Do your Contractor/Subcontractors have a written Health, Safety & Environment Program?		
d. Do you include your Contractor/Subcontractors in:		
• Health, Safety & Environment Orientation		
• Health, Safety & Environment Meeting		
• Inspections		
• Audits		
<b>HEALTH, SAFETY &amp; ENVIRONMENT PROGRAMS &amp; PROCEDURES</b>		
<b>28. Inspections and Audits</b>		
a. Do you conduct Health, Safety & Environment inspections?		Yes
b. Do you conduct Health, Safety & Environment program audits?		Yes
c. Are corrections of deficiencies documented?		Yes
<b>HEALTH, SAFETY &amp; ENVIRONMENT TRAINING</b>		
<b>29. Craft Training</b>		
a. Have employees been trained in appropriate job skills?		Yes
b. Do you have a process to assess the skills of your workers to assure they are qualified? (Attach explanation)		Yes
c. Are employees job skills certified where required by regulatory or industry consensus standards?		Yes
d. List crafts which have been certified:		
_____		
_____		
<b>30. Health, Safety &amp; Environment Orientation</b>		
	<u>New Hires</u>	<u>Supervisors</u>
a. Do you have a Environmental, Health & Safety Orientation Program for new hires and newly hired or promoted supervisors?	Yes	Yes
b. Does program provide instruction on the following:		
• New Worker Orientation	Yes	Yes
• Safe Work Practices	Yes	Yes
• Safety Supervision	No	No
• Toolbox Meetings	No	No
• Emergency Procedures	Yes	Yes
• First Aid Procedures	Yes	Yes
• Incident Investigation	Yes	Yes
• Fire Protection and Prevention	Yes	Yes



• Safety Intervention	<b>Yes</b>	<b>Yes</b>
• Hazard Communication	<b>Yes</b>	<b>Yes</b>
• Waste Disposal	<b>No</b>	<b>No</b>
c. How long is the orientation program?	<b>8 hours plus job specific on the job</b>	
d. Are written exams given?	<b>Yes</b>	
If no, how do you verify comprehension?		
<b>31. Health, Safety &amp; Environment Training</b>		
a. Do you know the regulatory Health, Safety & Environment training requirements for your employees?		<b>Yes</b>
b. Have your employees received the required safety and health training and retraining and is it documented?		<b>Yes</b>
c. Do you have a specific Health, Safety & Environment training program for supervisors?		<b>Yes</b>
d. Are all employees trained in the work practices needed to safely perform his/her job?		<b>Yes</b>
e. Is each employee instructed in the known potential of fire, explosion, or toxic release hazards related to his/her job, the process and the applicable provisions of the emergency action plan?		<b>Yes</b>

# AlliedBarton Security Services Wireless Telecommunications' Policy – 5/ 1/ 07

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## **Policy Overview**

This policy covers Mobile phones, Blackberry/Smartphones, Wireless Aircards (WWAN), calling cards and pagers.

The policy is immediately effective for all new hires; existing employees will be converted to the new plan over the next several months.

### **Mobile Phones**

AlliedBarton is moving to an all company owned mobile phone plan. This means that if you are required to use a mobile phone in your position (details below) you will be given a phone from one of our preferred wireless carriers. Once you have been migrated to the new company plan, expense reports will no longer be accepted for mobile phones.

**New Hires** – Mobile phones will be issued within 7 business days after VP approval has been received. We will not transfer your existing personal phone to the company plan.

**Existing Employee's** – You will have the choice of “moving” your existing phone and number to our corporate plan or receiving a new number and phone. If you chose to move your number, Alliance Communications and our preferred telecommunications partners will assist you with this process, you will not incur a termination fee if you are a current Verizon, Sprint or Cingular customer. The carriers will assist with handling any remaining cell phones on your personal plan.

### **Lost / Stolen / Damaged Phones**

You will be personally responsible for the replacement cost of a lost, stolen or damaged phone. Alliance communications will handle the replacement process.

### **Excessive Use**

We will be monitoring all activity and will review on a monthly basis, IT/Alliance will contact users to review excessive use especially during non-business hours. This report will be available on the portal for review.

### **Eligibility**

If your job is deemed as requiring a mobile phone by your VP you are eligible for this plan. In order to qualify, the charge for the phone must be offset in one of the following ways; Bill-back to client, built into the contract rate (contract info required) and/or requires divisional president approval. This includes but is not limited to the following positions:

Field: VP's, GM's, DP's, DM's, Account Managers, RAMS and other positions as deemed necessary by the VP.

Corporate Support: Vps, Directors or other approved positions approved by department VP.

**\*\*Exceptions must be approved by IT before any devices will be ordered.\*\***

### **Blackberries**

The following management levels will be reimbursed for use of Blackberry devices based on need; Executive Vice President, Senior Vice President, Division President, Vice President / General Manager, Divisional Vice President, Vice President / Business Development, VP/Marketing, Vice President / Operations, Vice President / Support.

District Managers and Business Development Managers will have the choice of a Blackberry or Aircard; VP and IT approval are required if both devices are requested.

Cost of unit and Monthly service fee will be charged to the requestors' job #.

### **\*\*Policy Exceptions\*\***

Any exceptions must be approved in advance by a divisional president and the VP of IT. Please have your manager forward the completed form to the president for approval.

### **Wireless Aircards**

All approved Roving Account managers, District Managers and Single Site Account Managers are eligible to receive Wireless Aircards for business use. Executive Vice President, Senior Vice President, Division President, Vice President / General Manager, Divisional Vice President, Vice President / Business Development, VP/Marketing, Vice President / Operations, Vice President / Support are also eligible to receive Aircards once requested and approved. Corporate support personal will have the use of loaner cards for traveling.

### **Conference Calling Cards**

All users can request a calling card for business conference calling use. Please complete the telecom request form and submit to Alliance for processing. Verizon is our conference provider.

### **Pagers**

Pagers will only be issued per contractual requirements where a cell phone has not been approved. The use of both a pager and cell phone will require VP and IT approval. Please complete the pager section of the request form if required.

### **Smartphones**

AlliedBarton IT has completed testing of Smartphones (Treos/Q's, etc) in our environment, based on the findings from these tests we have decided to hold the deployment until the general availability of Windows Mobile 6, which will be released later in 2007. Additional details to follow.

### **Multiple devices**

The use of both Blackberry's and Aircards will be restricted to Senior Management only, DM's, Account Managers, Roving Account Managers and others that require both units will need VP and IT approval. Users requesting both a pager and cell phone will be directed to use the cell phone for paging instead of using multiple devices.

## **Request Process (New Hires / New Users) – All Devices**

1. Complete the Telecommunication request form, posted on the IT section of the portal
2. Submit for to your approving manager (Must be at least at DM)
3. Blackberry requests must be approved by a VP
4. Approving manager emails form to [allied@alliancemanaged.com](mailto:allied@alliancemanaged.com)
5. Conversion process from existing personal liable accounts will take approx 14 business days to complete.

## **Conversion Process / Overview – Mobile Phones**

You will be contacted by IT and/or Alliance to complete the conversion process, additional details to follow.

1. AlliedBarton IT or Alliance will contact you if :
  - a. You are currently submitting a expense for a Mobile Phone
  - b. Have been identified as needing a phone for your position
  - c. You have completed a Telecom request form
2. New Hires – A new mobile phone will be delivered to your location
3. Existing Employee's
  - a. Option 1 – "Move" personal phone and number to Corporate plan (Sprint/Verizon/Cingular customers only)
  - b. Option2 - Receive new mobile phone and number
4. Expense reports will no longer be accepted for mobile phone charges
5. Excessive use monitoring will be implemented

**From:** [Macedo, David](#)  
**To:** [Osorno-Belleme, Angela](#)  
**Cc:** [Cuzzort, Terry](#); [Mcdonald, Taylor](#); [Lamothe, Consuela](#); [Hernandez, Jorge](#)  
**Subject:** RE: Allied Universal Security RCCL Screening Uniforms  
**Date:** Monday, April 24, 2017 5:06:54 PM  
**Attachments:** [image002.png](#)

---

Yes, correct.

**David Macedo**  
Branch Manager, Fort Lauderdale

Allied Universal Security Services  
3201 West Commercial Blvd. | Suite 116 | Ft. Lauderdale, FL 33309  
O: 954.739.8488 ext.17517 | F: 954.739.8490 | C: 954.415.9976  
[David.Macedo@aus.com](mailto:David.Macedo@aus.com) [www.AUS.com](http://www.AUS.com)



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---

**From:** Osorno-Belleme, Angela [mailto:AOSORNOBELLEME@broward.org]  
**Sent:** Monday, April 24, 2017 3:41 PM  
**To:** Macedo, David <David.Macedo@aus.com>  
**Cc:** Cuzzort, Terry <Terry.Cuzzort@aus.com>; Mcdonald, Taylor <Taylor.Mcdonald@aus.com>; Lamothe, Consuela <Consuela.Lamothe@aus.com>; Hernandez, Jorge <jorhernandez@broward.org>  
**Subject:** RE: Allied Universal Security RCCL Screening Uniforms

David,

A pleasure speaking with you also. Just to confirm, the uniforms you describe below are different from the uniforms used by security officers in other parts of the Port. Correct?



Angela Osorno Belleme  
Franchise & Business Permit Manager

Port Everglades Department  
Business Administration Division  
1850 Eller Drive, Suite 603, Ft Lauderdale, FL 33316-4201  
954-468-0112 – Fax 954-525-1910  
[aosornobelleme@broward.org](mailto:aosornobelleme@broward.org)

---

**From:** Macedo, David [<mailto:David.Macedo@aus.com>]  
**Sent:** Monday, April 24, 2017 3:37 PM  
**To:** Osorno-Belleme, Angela <[AOSORNOBELLEME@broward.org](mailto:AOSORNOBELLEME@broward.org)>  
**Cc:** Cuzzort, Terry <[Terry.Cuzzort@aus.com](mailto:Terry.Cuzzort@aus.com)>; Macedo, David <[David.Macedo@aus.com](mailto:David.Macedo@aus.com)>; Mcdonald, Taylor <[Taylor.Mcdonald@aus.com](mailto:Taylor.Mcdonald@aus.com)>; Lamothe, Consuela <[Consuela.Lamothe@aus.com](mailto:Consuela.Lamothe@aus.com)>  
**Subject:** Allied Universal Security RCCL Screening Uniforms  
**Importance:** High

Hi Angela,

It was great speaking with you today! As discussed, Allied Universal Security Professionals will be wearing the below uniform in the performance of passenger screening duties for Royal Caribbean Cruise Lines "RCCL" within Port Everglades:

White Military Dress Shirts - Long & Short sleeve  
Dark Blue Tie  
Dark Blue dress pants  
Black Steel Toe shoes  
Gold Metal Shields  
Ball Caps – Optional for exterior personnel

Please contact me if I can be of further assistance.

R,

**David Macedo**  
Branch Manager, Fort Lauderdale

Allied Universal Security Services  
3201 West Commercial Blvd. | Suite 116 | Ft. Lauderdale, FL 33309  
O: 954.739.8488 ext.17517 | F: 954.739.8490 | C: 954.415.9976  
[David.Macedo@aus.com](mailto:David.Macedo@aus.com) [www.AUS.com](http://www.AUS.com)



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*There for you.*

Allied Universal is subject to letters of warning, civil penalties and administrative proceedings in the course of conducting business at any of our seaports. Allied Universal makes every effort to properly train and supervise our employees in order to protect the seaports that we serve from potential civil penalty violations. However, we are subject to the individual acts of our employees and we take full responsibility for those infractions. Please see the following two (2) infractions for details:

- On January 1, 2014 we were issued a security violation for allowing access with expired TWIC at Port Everglades.
- On December 3, 2014 we were issued a violation on AlliedBarton's first day on the contract. A Disney agent was in front of the lobby helping our security officers with access control. However, the FSP only allowed security officers to control access and we made a mistake allowing Disney to assist.

There has not been any adjudicated legal or administrative proceeding or other claim procedure that is material to the business operations or financial condition of Allied Universal, nor is any such proceeding or claim procedure currently ongoing, pending or threatened.



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## Inspection Detail

**Inspection: 317169837 - Universal Protection Service, LLC**

### Inspection Information - Office: Nc Charlotte

Nr: 317169837 Report ID: 0453730 Open Date: 06/03/2013

Universal Protection Service, LLC

214 N. Tryon St.

Charlotte, NC 28202

Union Status: NonUnion

SIC: 7381/Detective, Guard, and Armored Car Services

NAICS: 561612/Security Guards and Patrol Services

Mailing: 1020 Euclid Ave., Charlotte, NC 28203

Inspection Type: Accident

Scope: Partial Advanced Notice: N

Ownership: Private

Safety/Health: Safety Close Conference: 07/09/2013

Planning Guide: Safety-Manufacturing Close Case: 09/23/2013

Emphasis: S:Program Improvements

Related Activity: Type ID Safety Health  
 Accident 102101235

### Violation Summary

	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations				1		1
Current Violations				1		1
Initial Penalty						
Current Penalty						
FTA Amount						

### Violation Items

#	ID	Type	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001	Other	19100132 D02	08/27/2013	09/20/2013	\$0	\$0	\$0		-

### Accident Investigation Summary

Summary Nr: 202082129 Event: 05/31/2013 Employee Suffers Fractures When Struck By Motorist

On May 30, 2013, Employee #1 was on the sixth floor of a parking deck monitoring traffic. While monitoring a motorist turned against the traffic pattern and struck the employee. The employee was transported to Carolinas Medical Center-Main, where he was treated for unspecified fractures. Employee #1 remained hospitalized.

**Keywords:** decking, traffic control, motor vehicle, struck by, fracture

Inspection	Degree	Nature	Occupation
1 317169837	Hospitalized injury	Fracture	Protective service occupations, n.e.c.





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## Violation Detail

**Standard Cited:**19100132 D02 *General requirements.*

### Violation Items

Nr: 317169837	Citation: 01001	Issuance: 08/27/2013	ReportingID: 0453730
Viol Type: Other	NrInstances: 1	Contest Date:	
Abatement Date: 09/20/2013 X	Nr Exposed: 7	Final Order:	
Initial Penalty:	REC:	Emphasis:	
Current Penalty:	Gravity:	Haz Category:	

UNITED STATES  
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Occupational Safety and Health Administration  
200 Constitution Ave., NW,  
Washington, DC 20210  
☎ 800-321-6742 (OSHA)  
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OSHA ESTABLISHMENT SEARCH RESULTS

#6

317169837 (Activity from Search Results)

Report ID- 0453730

214 N. Tryon St. Charlotte NC 28202

2013-06-03 (Open Date)

2013-07-09 (Close Conference)

??? (Final Order)

2013-09-23 (Close Case)

- b) Date of the notice
  - a. See above
- c) Nature of the violation
  - a. Safety
  - b. 19100132 D02
  - c. Employee was monitoring traffic at a parking deck location when he was struck by a motorist who turned against the traffic pattern. The employee sustained unspecified fractures.
- d) Copies of the infraction notice(s) from the agency
  - a. ??
- e) Disposition of case
  - a. After investigation we believe the case was closed following findings that: (i) the injured employee was acting in accordance with post orders for the property; (ii) the injured employee was wearing a reflective vest; and (iii) no safety violations occurred.
- f) Amount of fines, if any
  - a. After investigation we believe no fines were assessed.
- g) Corrective Action taken
  - a. After investigation we do not believe any corrective action was necessary.



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## Inspection Detail

**Inspection: 317941532 - Universal Protection Service, Llc**

### Inspection Information - Office: Nc Charlotte

Nr: 317941532 Report ID: 0453730 Open Date: 01/06/2015

Universal Protection Service, Llc

1164 Burris Boulevard  
 Lincolnton, NC 28092

Union Status: NonUnion

SIC: 7381/Detective, Guard, and Armored Car Services

NAICS: 561612/Security Guards and Patrol Services

Mailing: 10735 David Taylor Drive, Suite 560, Charlotte, NC 28262

Inspection Type:	Complaint			
Scope:	Partial	Advanced Notice:	N	
Ownership:	Private			
Safety/Health:	Health	Close Conference:	01/06/2015	
Planning Guide:	Health-Manufacturing	Close Case:	01/29/2015	
Related Activity:	Type	ID	Safety	Health
	Complaint	209478676		Yes

### Violation Summary

	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations				1		1
Current Violations				1		1
Initial Penalty				\$3,000		\$3,000
Current Penalty				\$3,000		\$3,000
FTA Amount						

### Violation Items

#	ID	Type	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001	Other	1904004 A	01/15/2015	01/21/2015	\$3,000	\$3,000	\$0		-

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## Violation Detail

**Standard Cited:**19040040 A

### Violation Items

Nr: 317941532	Citation: 01001	Issuance: 01/15/2015	ReportingID: 0453730
Viol Type: Other	NrInstances: 1	Contest Date:	
Abatement Date: 01/21/2015	Nr Exposed: 1	Final Order:	
Initial Penalty: \$3,000.00	REC: C	Emphasis:	
Current Penalty: \$3,000.00	Gravity: 01	Haz Category:	

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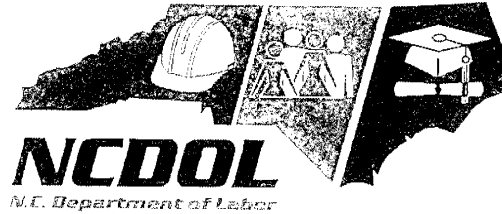
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CHERIE BERRY  
COMMISSIONER OF LABOR

ROBERT JONES  
WEST COMPLIANCE BUREAU CHIEF  
OCCUPATIONAL SAFETY AND HEALTH DIVISION

S  
F  
N

January 26, 2015

Universal Protection Service, LLC  
10735 David Taylor Drive, Suite 560  
Charlotte, NC 28262

Re: Inspection 317941532

Dear Sirs :

A Compliance Safety and Health Officer from the North Carolina Department of Labor Occupational Safety and Health Division conducted a partial inspection of your facility in Lincolnton, NC on January 6, 2015. The compliance officer identified no apparent violations of North Carolina occupational safety and health standards or regulations.

We commend you on maintaining your workplace in this manner, and we appreciate your commitment to protecting the health and safety of your employees.

If you have any questions or comments about our program or about your inspection, please do not hesitate to contact us.

Sincerely,

A handwritten signature in black ink that reads 'John Saunders'.

John Saunders, CSP  
OSH District Supervisor



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## Inspection Detail

**Case Status: VIOLATIONS UNDER CONTEST**

**Note:** The following inspection has not been indicated as closed. Please be aware that the information shown may change, e.g. violations may be added or deleted. For open cases, in which a citation has been issued, the citation information may not be available for 5 days following receipt by the employer for Federal inspections or for 30 days following receipt by the employer for State inspections.

**Inspection: 1076629.015 - Universal Protection Service, Llc**

**Inspection Information - Office: Tenn Cmpl Sfty 1**

Nr: 1076629.015 Report ID: 0454711

Open Date: 07/13/2015

Universal Protection Service, Llc

461 Winchester Rd

Memphis, TN 38109

Union Status: NonUnion

SIC:

NAICS: 561612/Security Guards and Patrol Services

Mailing: 1007 Oakhaven Rd, Memphis, TN 38119

Inspection Type: Referral

Scope: Partial

Advanced Notice: N

Ownership: Private

Safety/Health: Safety

Close Conference: 07/13/2015

Emphasis: N:Amputate

Close Case:

Related Activity: Type

ID Safety

Health

Referral

1000034

Yes

**Case Status: VIOLATIONS UNDER CONTEST**

### Violation Summary

	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	2					2
Current Violations	2					2
Initial Penalty	\$6,400					\$6,400
Current Penalty	\$6,400					\$6,400
FTA Amount						

### Violation Items

#	ID	Type	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001	Serious	19100132 A	10/09/2015	10/26/2015	\$3,200	\$3,200	\$0	11/09/2015	-
2.	01002	Serious	TCA 50-3-105(1)	10/09/2015	10/26/2015	\$3,200	\$3,200	\$0	11/09/2015	-

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## Violation Detail

**Standard Cited:**TCA 50-3-105(1)

### Violation Items

Nr: 1076629.015 Citation: 01002 Issuance: 10/09/2015 ReportingID: 0454711  
Viol Type: Serious NrInstances: 1 Contest Date: 11/09/2015  
Abatement Date: 10/26/2015 Nr Exposed: 1 Final Order:  
Initial Penalty: \$3,200.00 REC: R Emphasis:  
Current Penalty: \$3,200.00 Gravity: 7 Haz Category:

### Penalty and Failure to Abate Event History

Type	Event	Date	Penalty	Abatement	Type	FTA Insp
Penalty	Z: Issued	10/09/2015	\$3,200.00	10/26/2015	Serious	
Penalty	:	01/27/2017	\$3,200.00	10/26/2015	Serious	

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DEPARTMENT OF LABOR

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OSHA Establishment Search Results

#3

**1076629.015** (Activity from Search Results) – 340766294 (Activity NR from spreadsheet)  
Report ID - **0454711**

461 WINCHESTER RD, MEMPHIS, TN  
2015-07-13 (Open Date)  
2015-07-13 (Close conference)  
2015-10-09 (Issuance date)  
2015-10-26 (Abatement date)  
2015-11-09 (Contest date)  
Pending (final order)  
Pending (close case)

- 1) Name and address of the agency issuing the citation or notice
  - b) Date of the notice
    - i) *Please see above*
  - c) Nature of the violation
    - i) *Safety*
    - ii) *19100132 A*
    - iii) *TCA 50-3-105(1)*
    - iv) *Employee was run over by freight truck resulting in amputation of the right leg.*
  - d) Copies of the infraction notice(s) from the agency
    - i) *Please see attached*
  - e) Disposition of case
    - i) *This case is being contested. We have filed the proper paperwork and await the opportunity to appear before the agency.*
  - f) Amount of fines, if any
    - i) *\$6400 in initial fines.*
    - ii) *This case is being contested.*
  - g) Corrective action taken
    - i) *Notices of the citation have been posted.*



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## Inspection Detail

**Case Status: CLOSED**

**Inspection: 1141171.015 - Universal Protection Service, Llc**

**Inspection Information - Office: Pr Carolina**

Nr: 1141171.015 Report ID: 0257230 Open Date: 04/19/2016

Universal Protection Service, Llc

6000 Rio Mar Blvd.

Rio Grande, PR 00745

Union Status: NonUnion

SIC:

NAICS: 561612/Security Guards and Patrol Services

Mailing: Buchanan Office Centercarr. 165 #40 Suite 101, Guaynabo, PR 00968

Inspection Type: Complaint

Scope: Partial

Advanced Notice: N

Ownership: Private

Safety/Health: Safety

Close Conference: 05/13/2016

Close Case: 06/16/2016

Related Activity: Type

ID Safety Health

Complaint 1081000 Yes

Complaint 1081000 Yes

**Case Status: CLOSED**

**Violation Summary**

	Serious	Willful	Repeat	Other	Unclass	Total
Initial Violations	1					1
Current Violations				1		1
Initial Penalty	\$3,150					\$3,150
Current Penalty						
FTA Amount						

**Violation Items**

#	ID	Type	Standard	Issuance	Abate	Curr\$	Init\$	Fta\$	Contest	LastEvent
1.	01001	Other	19100141 C01 I	05/18/2016		\$0	\$3,150	\$0		I - Informal Settlement

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## Violation Detail

**Standard Cited:**19100141 C01 I *Sanitation.*

### Violation Items

Nr: 1141171.015 Citation: 01001 Issuance: 05/18/2016 ReportingID: 0257230  
Viol Type: Other NrInstances: 1 Contest Date:  
Abatement Date: 3 Nr Exposed: 34 Final Order: 06/07/2016  
Initial Penalty: \$3,150.00 REC: Emphasis:  
Current Penalty: Gravity: Haz Category:

### Penalty and Failure to Abate Event History

Type	Event	Date	Penalty	Abatement	Type	FTA Insp
Penalty	Z: Issued	05/18/2016	\$3,150.00		Serious	
Penalty	I: Informal Settlement	06/07/2016			Other	

UNITED STATES  
DEPARTMENT OF LABOR

Occupational Safety and Health Administration  
200 Constitution Ave., NW,  
Washington, DC 20210  
☎ 800-321-6742 (OSHA)  
TTY  
www.OSHA.gov

#### FEDERAL GOVERNMENT

White House  
Affordable Care Act  
Disaster Recovery Assistance  
USA.gov  
Disability.gov  
Plain Writing Act  
Recovery Act  
No Fear Act  
U.S. Office of Special Counsel

#### OCCUPATIONAL SAFETY AND HEALTH

Frequently Asked Questions  
A - Z Index  
Freedom of Information Act  
Read the OSHA Newsletter  
Subscribe to the OSHA Newsletter  
OSHA Publications  
Office of Inspector General

#### ABOUT THE SITE

Freedom of Information Act  
Privacy & Security Statement  
Disclaimers  
Important Web Site Notices  
Plug-ins Used by DOL  
RSS Feeds from DOL  
Accessibility Statement

OSHA Establishment Search Results  
#2

**1141171.015** (Activity from Search Results) – 341411718 (Activity NR from spreadsheet)  
Report ID - **0257230**

Location: **6000 RIO MAR BLVD., RIO GRANDE, PR**

2016-04-19 (Open Date)

2016-05-13 (Close conference)

2016-05-18 (Issuance Date)

2016-06-07 (final order)

2016-06-16 (close case)

- 1) Name and address of the agency issuing the citation or notice
- b) Date of the notice
  - i) *Please see above.*
- c) Nature of the violation
  - i) *Safety*
  - ii) *19100141 C01 I*
  - iii) *Complaint filed by one our Security Professionals. The complaint was based in the allegation that the bathroom facilities were too far from the Guard Hut.*
- d) Copies of the infraction notice(s) from the agency
  - i) *Please see attached*
- e) Disposition of case
  - i) *Settled with no fine during an informal settlement meeting.*
- f) Amount of fines, if any
  - i) *\$3150 initial fine*
  - ii) *\$0 final fine amount*
- g) Corrective action taken
  - i) *None required. During an informal settlement we were able to work with the officers were able to reach a restroom within the time allotted by the regulations.*



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## ENVIROS

### Enforcement Action Advanced Search

**No information was found matching your selection criteria. Please try again.**

Enforcement Action Number:

House Number:  To:

Street:      
**Direction** **Street Name** **Street Type** **Suite**

City:   Zip:

Section:   Township:   Range:

Respondent:

[Help on this page](#)  
Screen ID: 2347



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## Allied Universal Security Services Environmental Commitment

Our commitment to respect natural and human resources effectively is something of which we are very proud. Allied Universal believes a commitment to sustainability means not just developing our own initiatives, but also helping our clients. Sustainability is a good example of how we can support many of your other business functions and initiatives.

### Our Security Professionals & Managers Can

#### Enforce Policies



#### Regulate Energy Usage

(e.g., turning off lights after hours)



#### Utilize Energy Efficient Patrol Vehicles

(Hybrid/electrical cars and SUV's,  
Segways, golf carts, bicycles)



#### Provide Information



### Sustainability & Total Cost of Ownership

Allied Universal works with clients to ensure a cost-effective relationship by pursuing business methods, marketing strategies and service offerings that create and support business methods that preserve our environment and social well-being while maintaining or improving the long term viability of our business. We measure our success by several factors including:

- Reducing consumable products
- Increasing use of recycled content across the company
- We have also begun to "Print on Demand" many brochures and marketing materials on an as-needed basis.
- Establishing new community outreach programs
- Implementing standardized companywide mentoring programs
- Measuring employee understanding of sustainability basics through surveys
- Assembling a subcommittee to identify, review and make recommendations for business practices that support sustainability initiatives

### Companywide Guidelines

Each of Allied Universal branch office locations has their own sustainability practices that support Allied Universal's suggested guidelines. Some of the initiatives our local teams participate in include recycling programs, community and mentoring programs, setting printers to double print automatically to conserve paper, and ordering recyclable toners for our printers. A large percentage of the training our 140,000 employees complete is paperless and done through our award-winning online EDGE learning management system. An additional paperless initiative we



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promote is providing computer kiosks for walk-in applicants to fill out applications online in our offices.

According to our real estate policy and guidelines, we typically choose office locations based on walking distance to public transportation and on sustainability practices already in place including:

- Building-wide recycling programs
- Energy conserving measures such as timed lighting and auto shut-off water in restrooms
- Use of recyclable materials in the construction of the office space or the building

Our corporate headquarters has been recognized for the sustainable practices we follow and was awarded a Recycling Recognition Award from The Town of Conshohocken, PA.

Allied Universal believes a firm commitment to sustainability means not just developing our own sustainability initiatives, but also helping you achieve yours. Sustainability is a good example of how we can naturally and effectively support many of your other business functions and initiatives, in addition to a safer, more secure environment.

Just as we are stewards for our customers' safety and security awareness programs, we can do the same for their sustainability efforts. This commitment may include any number of projects at our client's sites where Allied Universal security professionals and managers can:

- Enforce policies
- Regulate energy usage (example: by turning off lights and computer after hours)
- Utilize energy efficient patrol vehicles including hybrid and electric cars and SUV's, Segways, golf carts and bicycles





## Digital Strategy—Driving Efficiency and Collaboration

Allied Universal's digital business strategy drives efficiency, communication, collaboration and effectiveness. A comprehensive platform of technology and tools results in greater transparency and value.

**Accurate Invoicing and Scheduling: WinTeam**, Allied Universal's integrated system for payroll, invoicing, billing and compliance ensures accuracy, time savings and value - allowing you to focus on the bigger picture. This system also integrates scheduling and allows managers to access and revise the online database in real time, from any location - meeting your need for consistent security coverage.



**Operational Excellence:** Our automated time collection system, optimizes efficiency, ensures safety and consistently manages attendance at your site. Real-time staffing records and supervisor notifications ensure uninterrupted coverage.

**Selection and Staffing:** Allied Universal|**Gateway**<sup>SM</sup>, our employee recruiting, screening and staffing program, ensures that your security program is quickly staffed with carefully selected security professionals who meet your specific requirements -- from special skills to security clearances. Candidate experience and preferences are also evaluated to ensure a good match for the position and your location. You will only be presented with qualified candidates who are a good fit for your environment.

**Predictive Index:** As part of the initial application process, an applicant is required to complete an online aptitude assessment test. This test measures the applicant's propensity to be successful in the field of security, assessing freedom from drug and alcohol use, courtesy, emotional maturity, conscientiousness, trustworthiness, job commitment and safety.

**Electronic Monitoring and Electronic Software:** With our proprietary **CyCop** electronic monitoring software, you will have complete, real-time transparency into the location, movements and activities of each security professional. More importantly, our 24 hour command center uses CyCop to supervise and coach each security professional through their GPS-enabled Smartphone.

**Communication and Collaboration:** Clients experience a high level of transparency and peace of mind knowing critical operational information is readily available to both you and your security team.

- **EHub** provides you with online access to schedules, inspection reports, and payment information; and the ability to order extra coverage. In addition, Allied Universal employees can access their schedules and paychecks online via Smartphones and tablets.

**Learning and Development:** Well-trained, engaged and prepared security teams are an asset to clients.. Our comprehensive approach to learning and development delivers training opportunities in a variety of forms and supports the need for continuous security training.

- Our online learning management system, Allied Universal|**EDGE**<sup>®</sup>, focuses on learning and skill development, and documents results to ensure your security staff is knowledgeable and compliant with your requirements. Proprietary modules prepare employees for future responsibilities and advancement opportunities.
- The Allied Universal|**Path**<sup>SM</sup>, our performance management program, delivers a motivated, professional workforce. Our managers effectively evaluate employee performance through



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online evaluation forms, goal planning and in-person meetings - enabling employee growth and recognition.

**Quality Assurance:** We listen to your needs, track your outcomes and look for opportunities to exceed your expectations.

- Allied Universal|**Voice**<sup>SM</sup>, our voice of customer program, monitors your experience, elicits your feedback through online surveys and tracks our performance. Our employees are also surveyed to gather their input and suggestions. Feedback is critical and continuous improvements and evolving solutions result when you and your security professionals' voices are heard.
- Allied Universal|**Inspector**, our Quality Assurance module, allows for efficient tracking and reporting of inspections - helping to ensure that your security team is exceeding your expectations.

**Safety and Risk Mitigation:** Our continuous focus on safety is supported by Allied Universal|**Risk Knowledge**<sup>SM</sup> which manages online OSHA incident tracking, recordkeeping and incident investigation.

**Security Program Technology:** Today's security professionals are technologically savvy. From monitoring CCTV, to tracking and controlling visitor access, reporting incidents digitally in real time and locating potential threats, Allied Universal's security professionals utilize technology to provide our clients with safe and secure environments. In addition to our proprietary solutions, our carefully vetted, best-in-class strategic partners offer supplemental solutions to address your additional needs. Our proprietary technologies drive efficiencies to each of our client's security programs. Many of our solutions allow for data collection, which is an integral component of any safety and security program and can directly impact the future of your security strategy.